

Regular Sets, Expressions, Derivatives and Relation Algebra

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March 17, 2025

Abstract

This is a library of constructions on regular expressions and languages. It provides the operations of concatenation, Kleene star and left-quotients of languages. A theory of derivatives and partial derivatives is provided. Arden's lemma and finiteness of partial derivatives is established. A simple regular expression matcher based on Brozowski's derivatives is proved to be correct. An executable equivalence checker for regular expressions is verified; it does not need automata but works directly on regular expressions. By mapping regular expressions to binary relations, an automatic and complete proof method for (in)equalities of binary relations over union, concatenation and (reflexive) transitive closure is obtained.

For an exposition of the equivalence checker for regular and relation algebraic expressions see the paper by Krauss and Nipkow [3].

Extended regular expressions with complement and intersection are also defined and an equivalence checker is provided.

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1 Regular sets

```
theory Regular-Set
imports Main
begin
```

type-synonym 'a lang = 'a list set

definition conc :: 'a lang $\Rightarrow$ 'a lang $\Rightarrow$ 'a lang (**infixr** '@@' 75) **where**
 $A @@ B = \{xs@ys \mid xs \text{ ys. } xs:A \ \& \ ys:B\}$

checks the code preprocessor for set comprehensions

export-code conc **checking** SML

overloading lang-pow == compow :: nat $\Rightarrow$ 'a lang $\Rightarrow$ 'a lang
begin

primrec lang-pow :: nat $\Rightarrow$ 'a lang $\Rightarrow$ 'a lang **where**
 $lang-pow \ 0 \ A = \{\}\mid$
 $lang-pow \ (Suc \ n) \ A = A @@ (lang-pow \ n \ A)$

end

for code generation

definition lang-pow :: nat $\Rightarrow$ 'a lang $\Rightarrow$ 'a lang **where**
 $lang-pow-code-def \ [code-abbrev]: \ lang-pow = compow$

lemma [code]:
 $lang-pow \ (Suc \ n) \ A = A @@ (lang-pow \ n \ A)$
 $lang-pow \ 0 \ A = \{\}$
 $\langle proof \rangle$

hide-const (**open**) lang-pow

definition star :: 'a lang $\Rightarrow$ 'a lang **where**
 $star \ A = (\bigcup n. A \ \frown \ n)$

1.1 (@@)

lemma concI[simp,intro]: $u : A \Longrightarrow v : B \Longrightarrow u@v : A @@ B$
 $\langle proof \rangle$

lemma concE[elim]:
assumes $w \in A @@ B$
obtains $u \ v$ **where** $u \in A \ v \in B \ w = u@v$
 $\langle proof \rangle$

lemma conc-mono: $A \subseteq C \Longrightarrow B \subseteq D \Longrightarrow A @@ B \subseteq C @@ D$
 $\langle proof \rangle$

lemma conc-empty[simp]: **shows** $\{\} @@ A = \{\}$ **and** $A @@ \{\} = \{\}$
 $\langle proof \rangle$

lemma conc-epsilon[simp]: **shows** $\{\} @@ A = A$ **and** $A @@ \{\} = A$
 $\langle proof \rangle$

lemma conc-assoc: $(A @@ B) @@ C = A @@ (B @@ C)$
 $\langle proof \rangle$

lemma *conc-Un-distrib*:

shows $A @@@ (B \cup C) = A @@@ B \cup A @@@ C$

and $(A \cup B) @@@ C = A @@@ C \cup B @@@ C$

<proof>

lemma *conc-UNION-distrib*:

shows $A @@@ \bigcup (M \text{ ' } I) = \bigcup ((\%i. A @@@ M i) \text{ ' } I)$

and $\bigcup (M \text{ ' } I) @@@ A = \bigcup ((\%i. M i @@@ A) \text{ ' } I)$

<proof>

lemma *conc-subset-lists*: $A \subseteq \text{lists } S \implies B \subseteq \text{lists } S \implies A @@@ B \subseteq \text{lists } S$

<proof>

lemma *Nil-in-conc[simp]*: $[] \in A @@@ B \longleftrightarrow [] \in A \wedge [] \in B$

<proof>

lemma *concI-if-Nil1*: $[] \in A \implies xs : B \implies xs \in A @@@ B$

<proof>

lemma *conc-Diff-if-Nil1*: $[] \in A \implies A @@@ B = (A - \{[]\}) @@@ B \cup B$

<proof>

lemma *concI-if-Nil2*: $[] \in B \implies xs : A \implies xs \in A @@@ B$

<proof>

lemma *conc-Diff-if-Nil2*: $[] \in B \implies A @@@ B = A @@@ (B - \{[]\}) \cup A$

<proof>

lemma *singleton-in-conc*:

$[x] : A @@@ B \longleftrightarrow [x] : A \wedge [] : B \vee [] : A \wedge [x] : B$

<proof>

1.2 A^n

lemma *lang-pow-add*: $A \text{ } \smile (n + m) = A \text{ } \smile n @@@ A \text{ } \smile m$

<proof>

lemma *lang-pow-empty*: $\{\} \text{ } \smile n = (\text{if } n = 0 \text{ then } \{[]\} \text{ else } \{\})$

<proof>

lemma *lang-pow-empty-Suc[simp]*: $(\{\} :: 'a \text{ lang}) \text{ } \smile \text{Suc } n = \{\}$

<proof>

lemma *conc-pow-comm*:

shows $A @@@ (A \text{ } \smile n) = (A \text{ } \smile n) @@@ A$

<proof>

lemma *length-lang-pow-ub*:

$\forall w \in A. \text{length } w \leq k \implies w : A^{\sim n} \implies \text{length } w \leq k * n$
 $\langle \text{proof} \rangle$

lemma *length-lang-pow-lb*:
 $\forall w \in A. \text{length } w \geq k \implies w : A^{\sim n} \implies \text{length } w \geq k * n$
 $\langle \text{proof} \rangle$

lemma *lang-pow-subset-lists*: $A \subseteq \text{lists } S \implies A^{\sim n} \subseteq \text{lists } S$
 $\langle \text{proof} \rangle$

lemma *empty-pow-add*:
assumes $[] \in A \ s \in A^{\sim n}$
shows $s \in A^{\sim (n + m)}$
 $\langle \text{proof} \rangle$

1.3 *star*

lemma *star-subset-lists*: $A \subseteq \text{lists } S \implies \text{star } A \subseteq \text{lists } S$
 $\langle \text{proof} \rangle$

lemma *star-if-lang-pow[simp]*: $w : A^{\sim n} \implies w : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *Nil-in-star[iff]*: $[] : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *star-if-lang[simp]*: **assumes** $w : A$ **shows** $w : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *append-in-starI[simp]*:
assumes $u : \text{star } A$ **and** $v : \text{star } A$ **shows** $u @ v : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *conc-star-star*: $\text{star } A @ @ \text{star } A = \text{star } A$
 $\langle \text{proof} \rangle$

lemma *conc-star-comm*:
shows $A @ @ \text{star } A = \text{star } A @ @ A$
 $\langle \text{proof} \rangle$

lemma *star-induct[consumes 1, case-names Nil append, induct set: star]*:
assumes $w : \text{star } A$
and $P []$
and step: $!!u \ v. u : A \implies v : \text{star } A \implies P \ v \implies P \ (u @ v)$
shows $P \ w$
 $\langle \text{proof} \rangle$

lemma *star-empty[simp]*: $\text{star } \{\} = \{[]\}$
 $\langle \text{proof} \rangle$

lemma *star-epsilon[simp]*: $\text{star } \{\epsilon\} = \{\epsilon\}$
 $\langle \text{proof} \rangle$

lemma *star-idemp[simp]*: $\text{star } (\text{star } A) = \text{star } A$
 $\langle \text{proof} \rangle$

lemma *star-unfold-left*: $\text{star } A = A \text{ @@ } \text{star } A \cup \{\epsilon\}$ (**is** $?L = ?R$)
 $\langle \text{proof} \rangle$

lemma *concat-in-star*: $\text{set } ws \subseteq A \implies \text{concat } ws : \text{star } A$
 $\langle \text{proof} \rangle$

lemma *in-star-iff-concat*:
 $w \in \text{star } A = (\exists ws. \text{set } ws \subseteq A \wedge w = \text{concat } ws)$
(is $- = (\exists ws. ?R w ws))$
 $\langle \text{proof} \rangle$

lemma *star-conv-concat*: $\text{star } A = \{\text{concat } ws \mid ws. \text{set } ws \subseteq A\}$
 $\langle \text{proof} \rangle$

lemma *star-insert-eps[simp]*: $\text{star } (\text{insert } \epsilon A) = \text{star } A$
 $\langle \text{proof} \rangle$

lemma *star-unfold-left-Nil*: $\text{star } A = (A - \{\epsilon\}) \text{ @@ } (\text{star } A) \cup \{\epsilon\}$
 $\langle \text{proof} \rangle$

lemma *star-Diff-Nil-fold*: $(A - \{\epsilon\}) \text{ @@ } \text{star } A = \text{star } A - \{\epsilon\}$
 $\langle \text{proof} \rangle$

lemma *star-decom*:
assumes $a: x \in \text{star } A \ x \neq \epsilon$
shows $\exists a \ b. x = a @ b \wedge a \neq \epsilon \wedge a \in A \wedge b \in \text{star } A$
 $\langle \text{proof} \rangle$

lemma *star-pow*:
assumes $s \in \text{star } A$
shows $\exists n. s \in A \text{ } ^\sim n$
 $\langle \text{proof} \rangle$

1.4 Left-Quotients of languages

definition *Deriv* :: $'a \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$
where $\text{Deriv } x A = \{ xs. x \# xs \in A \}$

definition *Derivs* :: $'a \text{ list} \Rightarrow 'a \text{ lang} \Rightarrow 'a \text{ lang}$
where $\text{Derivs } xs A = \{ ys. xs @ ys \in A \}$

abbreviation

$Derivss :: 'a \text{ list} \Rightarrow 'a \text{ lang set} \Rightarrow 'a \text{ lang}$

where

$Derivss \ s \ As \equiv \bigcup (Deriv \ s \ ' \ As)$

lemma *Deriv-empty[simp]*: $Deriv \ a \ \{\} = \{\}$
and *Deriv-epsilon[simp]*: $Deriv \ a \ \{\}\} = \{\}$
and *Deriv-char[simp]*: $Deriv \ a \ \{[b]\} = (if \ a = b \ then \ \{\}\} \ else \ \{\})$
and *Deriv-union[simp]*: $Deriv \ a \ (A \cup B) = Deriv \ a \ A \cup Deriv \ a \ B$
and *Deriv-inter[simp]*: $Deriv \ a \ (A \cap B) = Deriv \ a \ A \cap Deriv \ a \ B$
and *Deriv-compl[simp]*: $Deriv \ a \ (\neg A) = \neg \ Deriv \ a \ A$
and *Deriv-Union[simp]*: $Deriv \ a \ (Union \ M) = Union(Deriv \ a \ ' \ M)$
and *Deriv-UN[simp]*: $Deriv \ a \ (UN \ x:I. \ S \ x) = (UN \ x:I. \ Deriv \ a \ (S \ x))$
 $\langle proof \rangle$

lemma *Der-conc [simp]*:
shows $Deriv \ c \ (A \ @\@ \ B) = (Deriv \ c \ A) \ @\@ \ B \cup (if \ [] \in A \ then \ Deriv \ c \ B \ else \ \{\})$
 $\langle proof \rangle$

lemma *Deriv-star [simp]*:
shows $Deriv \ c \ (star \ A) = (Deriv \ c \ A) \ @\@ \ star \ A$
 $\langle proof \rangle$

lemma *Deriv-diff[simp]*:
shows $Deriv \ c \ (A - B) = Deriv \ c \ A - Deriv \ c \ B$
 $\langle proof \rangle$

lemma *Deriv-lists[simp]*: $c : S \implies Deriv \ c \ (lists \ S) = lists \ S$
 $\langle proof \rangle$

lemma *Derivs-simps [simp]*:
shows $Derivs \ [] \ A = A$
and $Derivs \ (c \ \# \ s) \ A = Derivs \ s \ (Deriv \ c \ A)$
and $Derivs \ (s1 \ @ \ s2) \ A = Derivs \ s2 \ (Derivs \ s1 \ A)$
 $\langle proof \rangle$

lemma *in-fold-Deriv*: $v \in fold \ Deriv \ w \ L \longleftrightarrow w \ @ \ v \in L$
 $\langle proof \rangle$

lemma *Derivs-alt-def [code]*: $Derivs \ w \ L = fold \ Deriv \ w \ L$
 $\langle proof \rangle$

lemma *Deriv-code [code]*:
 $Deriv \ x \ A = tl \ ' \ Set.filter \ (\lambda xs. \ case \ xs \ of \ x' \ \# \ - \Rightarrow x = x' \mid - \Rightarrow False) \ A$
 $\langle proof \rangle$

1.5 Shuffle product

definition *Shuffle* (infixr $\langle \parallel \rangle$ 80) **where**

$$\text{Shuffle } A \ B = \bigcup \{ \text{shuffles } xs \ ys \mid xs \ ys. \ xs \in A \wedge ys \in B \}$$

lemma *Deriv-Shuffle[simp]*:

$$\text{Deriv } a \ (A \parallel B) = \text{Deriv } a \ A \parallel B \cup A \parallel \text{Deriv } a \ B$$

<proof>

lemma *shuffle-subset-lists*:

assumes $A \subseteq \text{lists } S \ B \subseteq \text{lists } S$

shows $A \parallel B \subseteq \text{lists } S$

<proof>

lemma *Nil-in-Shuffle[simp]*: $\square \in A \parallel B \longleftrightarrow \square \in A \wedge \square \in B$

<proof>

lemma *shuffle-Un-distrib*:

shows $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$

and $A \parallel (B \cup C) = A \parallel B \cup A \parallel C$

<proof>

lemma *shuffle-UNION-distrib*:

shows $A \parallel \bigcup (M \text{ ' } I) = \bigcup ((\%i. A \parallel M \ i) \text{ ' } I)$

and $\bigcup (M \text{ ' } I) \parallel A = \bigcup ((\%i. M \ i \parallel A) \text{ ' } I)$

<proof>

lemma *Shuffle-empty[simp]*:

$$A \parallel \{\} = \{\}$$

$$\{\} \parallel B = \{\}$$

<proof>

lemma *Shuffle-eps[simp]*:

$$A \parallel \{\square\} = A$$

$$\{\square\} \parallel B = B$$

<proof>

1.6 Arden's Lemma

lemma *arden-helper*:

assumes $\text{eq}: X = A \ @\@ \ X \cup B$

shows $X = (A \ \sim\! \sim \text{Suc } n) \ @\@ \ X \cup (\bigcup m \leq n. (A \ \sim\! \sim m) \ @\@ \ B)$

<proof>

lemma *Arden-star-is-sol*:

$$\text{star } A \ @\@ \ B = A \ @\@ \ \text{star } A \ @\@ \ B \cup B$$

<proof>

lemma *Arden-sol-is-star*:

assumes $\square \notin A \ X = A \ @\@ \ X \cup B$

shows $X = \text{star } A @@ B$
 $\langle \text{proof} \rangle$

lemma *Arden*:
assumes $\square \notin A$
shows $X = A @@ X \cup B \longleftrightarrow X = \text{star } A @@ B$
 $\langle \text{proof} \rangle$

lemma *reversed-arden-helper*:
assumes *eq*: $X = X @@ A \cup B$
shows $X = X @@ (A \rightsquigarrow \text{Suc } n) \cup (\bigcup m \leq n. B @@ (A \rightsquigarrow m))$
 $\langle \text{proof} \rangle$

theorem *reversed-Arden*:
assumes *nemp*: $\square \notin A$
shows $X = X @@ A \cup B \longleftrightarrow X = B @@ \text{star } A$
 $\langle \text{proof} \rangle$

end

2 Regular expressions

theory *Regular-Exp*
imports *Regular-Set*
begin

datatype (*atoms*: 'a) *rexp* =
is-Zero: Zero |
is-One: One |
Atom 'a |
Plus ('a *rexp*) ('a *rexp*) |
Times ('a *rexp*) ('a *rexp*) |
Star ('a *rexp*)

primrec *lang* :: 'a *rexp* $\Rightarrow$ 'a *lang* **where**
lang Zero = {} |
lang One = {[]} |
lang (Atom a) = {[a]} |
lang (Plus r s) = (*lang* r) Un (*lang* s) |
lang (Times r s) = conc (*lang* r) (*lang* s) |
lang (Star r) = star(*lang* r)

abbreviation (*input*) *regular-lang* **where** *regular-lang* A $\equiv (\exists r. \text{lang } r = A)$

primrec *nullable* :: 'a *rexp* $\Rightarrow$ bool **where**
nullable Zero = False |
nullable One = True |
nullable (Atom c) = False |
nullable (Plus r1 r2) = (*nullable* r1 $\vee$ *nullable* r2) |

$nullable (Times\ r1\ r2) = (nullable\ r1 \wedge nullable\ r2) \mid$
 $nullable (Star\ r) = True$

lemma *nullable-iff* [code-abbrev]: $nullable\ r \longleftrightarrow [] \in lang\ r$
 ⟨proof⟩

primrec *rexp-empty* where
 $rexp-empty\ Zero \longleftrightarrow True$
 $| rexp-empty\ One \longleftrightarrow False$
 $| rexp-empty\ (Atom\ a) \longleftrightarrow False$
 $| rexp-empty\ (Plus\ r\ s) \longleftrightarrow rexp-empty\ r \wedge rexp-empty\ s$
 $| rexp-empty\ (Times\ r\ s) \longleftrightarrow rexp-empty\ r \vee rexp-empty\ s$
 $| rexp-empty\ (Star\ r) \longleftrightarrow False$

lemma *rexp-empty-iff* [code-abbrev]: $rexp-empty\ r \longleftrightarrow lang\ r = \{\}$
 ⟨proof⟩

Composition on rhs usually complicates matters:

lemma *map-map-rexp*:
 $map-rexp\ f\ (map-rexp\ g\ r) = map-rexp\ (\lambda r. f\ (g\ r))\ r$
 ⟨proof⟩

lemma *map-rexp-ident*[simp]: $map-rexp\ (\lambda x. x) = (\lambda r. r)$
 ⟨proof⟩

lemma *atoms-lang*: $w : lang\ r \implies set\ w \subseteq atoms\ r$
 ⟨proof⟩

lemma *lang-eq-ext*: $(lang\ r = lang\ s) =$
 $(\forall w \in lists(atoms\ r \cup atoms\ s). w \in lang\ r \longleftrightarrow w \in lang\ s)$
 ⟨proof⟩

lemma *lang-eq-ext-Nil-fold-Deriv*:
fixes $r\ s$
defines $\mathfrak{B} \equiv \{(fold\ Deriv\ w\ (lang\ r), fold\ Deriv\ w\ (lang\ s)) \mid w. w \in lists\ (atoms\ r \cup atoms\ s)\}$
shows $lang\ r = lang\ s \longleftrightarrow (\forall (K, L) \in \mathfrak{B}. [] \in K \longleftrightarrow [] \in L)$
 ⟨proof⟩

2.1 Term ordering

instantiation *rexp* :: (order) {order}
begin

fun *le-rexp* :: ('a::order) *rexp* $\Rightarrow$ ('a::order) *rexp* $\Rightarrow$ bool
where
 $le-rexp\ Zero - = True$
 $| le-rexp - Zero = False$
 $| le-rexp\ One - = True$

```

| le-rexp - One = False
| le-rexp (Atom a) (Atom b) = (a <= b)
| le-rexp (Atom -) - = True
| le-rexp - (Atom -) = False
| le-rexp (Star r) (Star s) = le-rexp r s
| le-rexp (Star -) - = True
| le-rexp - (Star -) = False
| le-rexp (Plus r r') (Plus s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Plus - -) - = True
| le-rexp - (Plus - -) = False
| le-rexp (Times r r') (Times s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)

```

definition *less-eq-rexp* **where** $r \leq s \equiv \text{le-rexp } r \ s$

definition *less-rexp* **where** $r < s \equiv \text{le-rexp } r \ s \wedge r \neq s$

lemma *le-rexp-Zero*: $\text{le-rexp } r \ \text{Zero} \implies r = \text{Zero}$
 $\langle \text{proof} \rangle$

lemma *le-rexp-refl*: $\text{le-rexp } r \ r$
 $\langle \text{proof} \rangle$

lemma *le-rexp-antisym*: $\llbracket \text{le-rexp } r \ s; \text{le-rexp } s \ r \rrbracket \implies r = s$
 $\langle \text{proof} \rangle$

lemma *le-rexp-trans*: $\llbracket \text{le-rexp } r \ s; \text{le-rexp } s \ t \rrbracket \implies \text{le-rexp } r \ t$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

instantiation *rexp* :: (*linorder*) {*linorder*}
begin

lemma *le-rexp-total*: $\text{le-rexp } (r :: 'a :: \text{linorder rexp}) \ s \vee \text{le-rexp } s \ r$
 $\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

end

3 Normalizing Derivative

```
theory NDerivative
imports
  Regular-Exp
begin
```

3.1 Normalizing operations

associativity, commutativity, idempotence, zero

```
fun nPlus :: 'a::order rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r s) t = nPlus r (nPlus s t)
| nPlus r (Plus s t) =
  (if r = s then (Plus s t)
   else if le-rexp r s then Plus r (Plus s t)
   else Plus s (nPlus r t))
| nPlus r s =
  (if r = s then r
   else if le-rexp r s then Plus r s
   else Plus s r)
```

```
lemma lang-nPlus[simp]: lang (nPlus r s) = lang (Plus r s)
<proof>
```

associativity, zero, one

```
fun nTimes :: 'a::order rexp  $\Rightarrow$  'a rexp  $\Rightarrow$  'a rexp
where
  nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s
```

```
lemma lang-nTimes[simp]: lang (nTimes r s) = lang (Times r s)
<proof>
```

```
primrec norm :: 'a::order rexp  $\Rightarrow$  'a rexp
where
  norm Zero = Zero
| norm One = One
| norm (Atom a) = Atom a
| norm (Plus r s) = nPlus (norm r) (norm s)
| norm (Times r s) = nTimes (norm r) (norm s)
| norm (Star r) = Star (norm r)
```

lemma *lang-norm[simp]*: $\text{lang } (\text{norm } r) = \text{lang } r$
 <proof>

primrec *nderiv* :: $'a::\text{order} \Rightarrow 'a \text{ rexp} \Rightarrow 'a \text{ rexp}$
where

nderiv - *Zero* = *Zero*
 | *nderiv* - *One* = *Zero*
 | *nderiv* *a* (*Atom* *b*) = (if *a* = *b* then *One* else *Zero*)
 | *nderiv* *a* (*Plus* *r* *s*) = *nPlus* (*nderiv* *a* *r*) (*nderiv* *a* *s*)
 | *nderiv* *a* (*Times* *r* *s*) =
 (*let* *r's* = *nTimes* (*nderiv* *a* *r*) *s*
 in if nullable *r* then *nPlus* *r's* (*nderiv* *a* *s*) else *r's*)
 | *nderiv* *a* (*Star* *r*) = *nTimes* (*nderiv* *a* *r*) (*Star* *r*)

lemma *lang-nderiv*: $\text{lang } (\text{nderiv } a \ r) = \text{Deriv } a \ (\text{lang } r)$
 <proof>

lemma *deriv-no-occurrence*:
 $x \notin \text{atoms } r \implies \text{nderiv } x \ r = \text{Zero}$
 <proof>

lemma *atoms-nPlus[simp]*: $\text{atoms } (\text{nPlus } r \ s) = \text{atoms } r \cup \text{atoms } s$
 <proof>

lemma *atoms-nTimes*: $\text{atoms } (\text{nTimes } r \ s) \subseteq \text{atoms } r \cup \text{atoms } s$
 <proof>

lemma *atoms-norm*: $\text{atoms } (\text{norm } r) \subseteq \text{atoms } r$
 <proof>

lemma *atoms-nderiv*: $\text{atoms } (\text{nderiv } a \ r) \subseteq \text{atoms } r$
 <proof>

end

4 Deciding Regular Expression Equivalence

theory *Equivalence-Checking*
imports
 NDerivative
 HOL-Library.While-Combinator
begin

4.1 Bisimulation between languages and regular expressions

coinductive *bisimilar* :: $'a \text{ lang} \Rightarrow 'a \text{ lang} \Rightarrow \text{bool}$ **where**
 ($\square \in K \longleftrightarrow \square \in L$)
 $\implies (\bigwedge x. \text{bisimilar } (\text{Deriv } x \ K) \ (\text{Deriv } x \ L))$
 $\implies \text{bisimilar } K \ L$

lemma *equal-if-bisimilar*:

assumes *bisimilar* $K\ L$ **shows** $K = L$

$\langle proof \rangle$

lemma *language-coinduct*:

fixes R (**infixl** $\langle \sim \rangle$ 50)

assumes $K \sim L$

assumes $\bigwedge K\ L. K \sim L \implies (\Box \in K \longleftrightarrow \Box \in L)$

assumes $\bigwedge K\ L\ x. K \sim L \implies \text{Deriv } x\ K \sim \text{Deriv } x\ L$

shows $K = L$

$\langle proof \rangle$

type-synonym $'a\ \text{rexp-pair} = 'a\ \text{rexp} * 'a\ \text{rexp}$

type-synonym $'a\ \text{rexp-pairs} = 'a\ \text{rexp-pair list}$

definition *is-bisimulation* :: $'a::\text{order list} \Rightarrow 'a\ \text{rexp-pair set} \Rightarrow \text{bool}$

where

is-bisimulation as $R =$

$(\forall (r,s) \in R. (\text{atoms } r \cup \text{atoms } s \subseteq \text{set } as) \wedge (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$
 $(\forall a \in \text{set } as. (\text{nderiv } a\ r, \text{nderiv } a\ s) \in R))$

lemma *bisim-lang-eq*:

assumes *bisim*: *is-bisimulation* as ps

assumes $(r, s) \in ps$

shows $\text{lang } r = \text{lang } s$

$\langle proof \rangle$

4.2 Closure computation

definition *closure* ::

$'a::\text{order list} \Rightarrow 'a\ \text{rexp-pair} \Rightarrow ('a\ \text{rexp-pairs} * 'a\ \text{rexp-pair set})\ \text{option}$

where

closure as $= \text{rtranc1-while } (\%(r,s). \text{nullable } r = \text{nullable } s)$

$(\%(r,s). \text{map } (\lambda a. (\text{nderiv } a\ r, \text{nderiv } a\ s))\ as)$

definition *pre-bisim* :: $'a::\text{order list} \Rightarrow 'a\ \text{rexp} \Rightarrow 'a\ \text{rexp} \Rightarrow$

$'a\ \text{rexp-pairs} * 'a\ \text{rexp-pair set} \Rightarrow \text{bool}$

where

pre-bisim as $r\ s = (\lambda(ws,R).$

$(r,s) \in R \wedge \text{set } ws \subseteq R \wedge$

$(\forall (r,s) \in R. \text{atoms } r \cup \text{atoms } s \subseteq \text{set } as) \wedge$

$(\forall (r,s) \in R - \text{set } ws. (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$

$(\forall a \in \text{set } as. (\text{nderiv } a\ r, \text{nderiv } a\ s) \in R)))$

theorem *closure-sound*:

assumes *result*: *closure* as $(r,s) = \text{Some}(\Box, R)$

and *atoms*: $\text{atoms } r \cup \text{atoms } s \subseteq \text{set } as$

shows $\text{lang } r = \text{lang } s$

<proof>

4.3 Bisimulation-free proof of closure computation

The equivalence check can be viewed as the product construction of two automata. The state space is the reflexive transitive closure of the pair of next-state functions, i.e. derivatives.

lemma *rtranc1-nderiv-nderivs*: **defines** *nderivs* == *foldl* (%*r a. nderiv a r*)
shows $\{((r,s),(\text{nderiv } a \ r, \text{nderiv } a \ s)) \mid r \ s \ a. \ a : A\}^* =$
 $\{((r,s),(\text{nderivs } r \ w, \text{nderivs } s \ w)) \mid r \ s \ w. \ w : \text{lists } A\} \text{ (is } ?L = ?R)$
<proof>

lemma *nullable-nderivs*:
nullable (*foldl* (%*r a. nderiv a r*) *r w*) = (*w* : *lang r*)
<proof>

theorem *closure-sound-complete*:
assumes *result*: *closure as* (*r,s*) = *Some(ws,R)*
and *atoms*: *set as* = *atoms r* $\cup$ *atoms s*
shows *ws* = [] $\longleftrightarrow$ *lang r* = *lang s*
<proof>

4.4 The overall procedure

primrec *add-atoms* :: '*a rexp* $\Rightarrow$ '*a list* $\Rightarrow$ '*a list*
where

add-atoms Zero = *id*
| *add-atoms One* = *id*
| *add-atoms (Atom a)* = *List.insert a*
| *add-atoms (Plus r s)* = *add-atoms s o add-atoms r*
| *add-atoms (Times r s)* = *add-atoms s o add-atoms r*
| *add-atoms (Star r)* = *add-atoms r*

lemma *set-add-atoms*: *set* (*add-atoms r as*) = *atoms r* $\cup$ *set as*
<proof>

definition *check-equiv* :: *nat rexp* $\Rightarrow$ *nat rexp* $\Rightarrow$ *bool* **where**
check-equiv r s =
(*let nr* = *norm r*; *ns* = *norm s*; *as* = *add-atoms nr* (*add-atoms ns* []))
in case closure as (*nr, ns*) *of*
Some([],-) $\Rightarrow$ *True* | - $\Rightarrow$ *False*)

lemma *soundness*:
assumes *check-equiv r s* **shows** *lang r* = *lang s*
<proof>

Test:

lemma *check-equiv* (*Plus One* (*Times* (*Atom 0*) (*Star*(*Atom 0*)))) (*Star*(*Atom 0*))

$\langle proof \rangle$

end

5 Regular Expressions as Homogeneous Binary Relations

theory *Relation-Interpretation*

imports *Regular-Exp*

begin

primrec *rel* :: $('a \Rightarrow ('b * 'b) \text{ set}) \Rightarrow 'a \text{ rexp} \Rightarrow ('b * 'b) \text{ set}$

where

$\text{rel } v \text{ Zero} = \{\} \mid$
 $\text{rel } v \text{ One} = \text{Id} \mid$
 $\text{rel } v (\text{Atom } a) = v \ a \mid$
 $\text{rel } v (\text{Plus } r \ s) = \text{rel } v \ r \cup \text{rel } v \ s \mid$
 $\text{rel } v (\text{Times } r \ s) = \text{rel } v \ r \ O \text{ rel } v \ s \mid$
 $\text{rel } v (\text{Star } r) = (\text{rel } v \ r)^*$

primrec *word-rel* :: $('a \Rightarrow ('b * 'b) \text{ set}) \Rightarrow 'a \text{ list} \Rightarrow ('b * 'b) \text{ set}$

where

$\text{word-rel } v [] = \text{Id}$
 $\mid \text{word-rel } v (a \# as) = v \ a \ O \text{ word-rel } v \ as$

lemma *word-rel-append*:

$\text{word-rel } v \ w \ O \text{ word-rel } v \ w' = \text{word-rel } v \ (w \ @ \ w')$

$\langle proof \rangle$

lemma *rel-word-rel*: $\text{rel } v \ r = (\bigcup_{w \in \text{lang } r} \text{word-rel } v \ w)$

$\langle proof \rangle$

Soundness:

lemma *soundness*:

$\text{lang } r = \text{lang } s \implies \text{rel } v \ r = \text{rel } v \ s$

$\langle proof \rangle$

end

6 Proving Relation (In)equalities via Regular Expressions

theory *Regexp-Method*

imports *Equivalence-Checking Relation-Interpretation*

begin

primrec *rel-of-regexp* :: $('a * 'a) \text{ set list} \Rightarrow \text{nat rexp} \Rightarrow ('a * 'a) \text{ set}$ **where**

$rel\text{-of-regex} vs Zero = \{\} \mid$
 $rel\text{-of-regex} vs One = Id \mid$
 $rel\text{-of-regex} vs (Atom\ i) = vs\ !\ i \mid$
 $rel\text{-of-regex} vs (Plus\ r\ s) = rel\text{-of-regex} vs\ r \cup rel\text{-of-regex} vs\ s \mid$
 $rel\text{-of-regex} vs (Times\ r\ s) = rel\text{-of-regex} vs\ r \circ rel\text{-of-regex} vs\ s \mid$
 $rel\text{-of-regex} vs (Star\ r) = (rel\text{-of-regex} vs\ r)^*$

lemma *rel-of-regex-rel*: $rel\text{-of-regex} vs\ r = rel\ (\lambda i. vs\ !\ i)\ r$
 $\langle proof \rangle$

primrec *rel-eq* **where**
 $rel\text{-eq}\ (r, s)\ vs = (rel\text{-of-regex} vs\ r = rel\text{-of-regex} vs\ s)$

lemma *rel-eqI*: $check\text{-eqv}\ r\ s \implies rel\text{-eq}\ (r, s)\ vs$
 $\langle proof \rangle$

lemmas *regex-reify* = *rel-of-regex.simps rel-eq.simps*

lemmas *regex-unfold* = *tranc1-unfold-left subset-Un-eq*

$\langle ML \rangle$

hide-const (**open**) *le-regex nPlus nTimes norm nullable bisimilar is-bisimulation*
closure
pre-bisim add-atoms check-eqv rel word-rel rel-eq

Example:

lemma $(r \cup s^+)^* = (r \cup s)^*$
 $\langle proof \rangle$

end

7 Basic constructions on regular expressions

theory *Regex-Constructions*

imports

Main

HOL-Library.Sublist

Regular-Exp

begin

7.1 Reverse language

lemma *rev-conc* [*simp*]: $rev\ ' (A\ @@\ B) = rev\ ' B\ @@\ rev\ ' A$
 $\langle proof \rangle$

lemma *rev-compower* [*simp*]: $rev\ ' (A\ \frown n) = (rev\ ' A)\ \frown n$
 $\langle proof \rangle$

lemma *rev-star* [*simp*]: $rev\ ' star\ A = star\ (rev\ ' A)$

$\langle \text{proof} \rangle$

7.2 Substituting characters in a language

definition $\text{subst-word} :: ('a \Rightarrow 'b \text{ list}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$ **where**
 $\text{subst-word } f \text{ xs} = \text{concat } (\text{map } f \text{ xs})$

lemma subst-word-Nil [simp]: $\text{subst-word } f [] = []$
 $\langle \text{proof} \rangle$

lemma $\text{subst-word-singleton}$ [simp]: $\text{subst-word } f [x] = f x$
 $\langle \text{proof} \rangle$

lemma subst-word-append [simp]: $\text{subst-word } f (\text{xs} @ \text{ys}) = \text{subst-word } f \text{ xs} @ \text{subst-word } f \text{ ys}$
 $\langle \text{proof} \rangle$

lemma subst-word-Cons [simp]: $\text{subst-word } f (x \# \text{xs}) = f x @ \text{subst-word } f \text{ xs}$
 $\langle \text{proof} \rangle$

lemma subst-word-conc [simp]: $\text{subst-word } f ' (A @@@ B) = \text{subst-word } f ' A @@@ \text{subst-word } f ' B$
 $\langle \text{proof} \rangle$

lemma $\text{subst-word-compower}$ [simp]: $\text{subst-word } f ' (A \smallfrown n) = (\text{subst-word } f ' A) \smallfrown n$
 $\langle \text{proof} \rangle$

lemma subst-word-star [simp]: $\text{subst-word } f ' (\text{star } A) = \text{star } (\text{subst-word } f ' A)$
 $\langle \text{proof} \rangle$

Suffix language

definition $\text{Suffixes} :: 'a \text{ list set} \Rightarrow 'a \text{ list set}$ **where**
 $\text{Suffixes } A = \{w. \exists q. q @ w \in A\}$

lemma Suffixes-altdef [code]: $\text{Suffixes } A = (\bigcup w \in A. \text{set } (\text{suffixes } w))$
 $\langle \text{proof} \rangle$

lemma $\text{Nil-in-Suffixes-iff}$ [simp]: $[] \in \text{Suffixes } A \longleftrightarrow A \neq \{\}$
 $\langle \text{proof} \rangle$

lemma Suffixes-empty [simp]: $\text{Suffixes } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{Suffixes-empty-iff}$ [simp]: $\text{Suffixes } A = \{\} \longleftrightarrow A = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{Suffixes-singleton}$ [simp]: $\text{Suffixes } \{\text{xs}\} = \text{set } (\text{suffixes } \text{xs})$
 $\langle \text{proof} \rangle$

lemma *Suffixes-insert*: $\text{Suffixes } (\text{insert } xs \ A) = \text{set } (\text{suffixes } xs) \cup \text{Suffixes } A$
 ⟨proof⟩

lemma *Suffixes-conc* [simp]: $A \neq \{\} \implies \text{Suffixes } (A \ @\@ \ B) = \text{Suffixes } B \cup (\text{Suffixes } A \ @\@ \ B)$
 ⟨proof⟩

lemma *Suffixes-union* [simp]: $\text{Suffixes } (A \cup B) = \text{Suffixes } A \cup \text{Suffixes } B$
 ⟨proof⟩

lemma *Suffixes-UNION* [simp]: $\text{Suffixes } (\bigcup (f \ ` \ A)) = \bigcup ((\lambda x. \text{Suffixes } (f \ x)) \ ` \ A)$
 ⟨proof⟩

lemma *Suffixes-compower*:
 assumes $A \neq \{\}$
 shows $\text{Suffixes } (A \ \frown \ n) = \text{insert } [] \ (\text{Suffixes } A \ @\@ \ (\bigcup_{k < n}. A \ \frown \ k))$
 ⟨proof⟩

lemma *Suffixes-star* [simp]:
 assumes $A \neq \{\}$
 shows $\text{Suffixes } (\text{star } A) = \text{Suffixes } A \ @\@ \ \text{star } A$
 ⟨proof⟩

Prefix language

definition *Prefixes* :: 'a list set $\Rightarrow$ 'a list set **where**
 $\text{Prefixes } A = \{w. \exists q. w \ @ \ q \in A\}$

lemma *Prefixes-altdef* [code]: $\text{Prefixes } A = (\bigcup w \in A. \text{set } (\text{prefixes } w))$
 ⟨proof⟩

lemma *Nil-in-Prefixes-iff* [simp]: $[] \in \text{Prefixes } A \longleftrightarrow A \neq \{\}$
 ⟨proof⟩

lemma *Prefixes-empty* [simp]: $\text{Prefixes } \{\} = \{\}$
 ⟨proof⟩

lemma *Prefixes-empty-iff* [simp]: $\text{Prefixes } A = \{\} \longleftrightarrow A = \{\}$
 ⟨proof⟩

lemma *Prefixes-singleton* [simp]: $\text{Prefixes } \{xs\} = \text{set } (\text{prefixes } xs)$
 ⟨proof⟩

lemma *Prefixes-insert*: $\text{Prefixes } (\text{insert } xs \ A) = \text{set } (\text{prefixes } xs) \cup \text{Prefixes } A$
 ⟨proof⟩

lemma *Prefixes-conc* [simp]: $B \neq \{\} \implies \text{Prefixes } (A \ @\@ \ B) = \text{Prefixes } A \cup (A \ @\@ \ \text{Prefixes } B)$
 ⟨proof⟩

lemma *Prefixes-union* [simp]: $\text{Prefixes } (A \cup B) = \text{Prefixes } A \cup \text{Prefixes } B$
 $\langle \text{proof} \rangle$

lemma *Prefixes-UNION* [simp]: $\text{Prefixes } (\bigcup (f \text{ ` } A)) = \bigcup ((\lambda x. \text{Prefixes } (f x)) \text{ ` } A)$
 $\langle \text{proof} \rangle$

lemma *Prefixes-rev*: $\text{Prefixes } (\text{rev } \text{ ` } A) = \text{rev } \text{ ` } \text{Suffixes } A$
 $\langle \text{proof} \rangle$

lemma *Suffixes-rev*: $\text{Suffixes } (\text{rev } \text{ ` } A) = \text{rev } \text{ ` } \text{Prefixes } A$
 $\langle \text{proof} \rangle$

lemma *Prefixes-compower*:
 assumes $A \neq \{\}$
 shows $\text{Prefixes } (A \text{ } \rightsquigarrow n) = \text{insert } [] ((\bigcup_{k < n. A \text{ } \rightsquigarrow k) \text{ } @ @ \text{Prefixes } A)$
 $\langle \text{proof} \rangle$

lemma *Prefixes-star* [simp]:
 assumes $A \neq \{\}$
 shows $\text{Prefixes } (\text{star } A) = \text{star } A \text{ } @ @ \text{Prefixes } A$
 $\langle \text{proof} \rangle$

7.3 Subword language

The language of all sub-words, i.e. all words that are a contiguous sublist of a word in the original language.

definition *Sublists* :: 'a list set $\Rightarrow$ 'a list set **where**
 $\text{Sublists } A = \{w. \exists q \in A. \text{sublist } w \text{ } q\}$

lemma *Sublists-altdef* [code]: $\text{Sublists } A = (\bigcup_{w \in A. \text{set } (\text{sublists } w))$
 $\langle \text{proof} \rangle$

lemma *Sublists-empty* [simp]: $\text{Sublists } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *Sublists-singleton* [simp]: $\text{Sublists } \{w\} = \text{set } (\text{sublists } w)$
 $\langle \text{proof} \rangle$

lemma *Sublists-insert*: $\text{Sublists } (\text{insert } w \text{ } A) = \text{set } (\text{sublists } w) \cup \text{Sublists } A$
 $\langle \text{proof} \rangle$

lemma *Sublists-Un* [simp]: $\text{Sublists } (A \cup B) = \text{Sublists } A \cup \text{Sublists } B$
 $\langle \text{proof} \rangle$

lemma *Sublists-UN* [simp]: $\text{Sublists } (\bigcup (f \text{ ` } A)) = \bigcup ((\lambda x. \text{Sublists } (f x)) \text{ ` } A)$
 $\langle \text{proof} \rangle$

lemma *Sublists-conv-Prefixes*: $\text{Sublists } A = \text{Prefixes } (\text{Suffixes } A)$
 $\langle \text{proof} \rangle$

lemma *Sublists-conv-Suffixes*: $\text{Sublists } A = \text{Suffixes } (\text{Prefixes } A)$
 $\langle \text{proof} \rangle$

lemma *Sublists-conc* [simp]:
 assumes $A \neq \{\}$ $B \neq \{\}$
 shows $\text{Sublists } (A \text{ @@ } B) = \text{Sublists } A \cup \text{Sublists } B \cup \text{Suffixes } A \text{ @@ Prefixes } B$
 $\langle \text{proof} \rangle$

lemma *star-not-empty* [simp]: $\text{star } A \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *Sublists-star*:
 $A \neq \{\} \implies \text{Sublists } (\text{star } A) = \text{Sublists } A \cup \text{Suffixes } A \text{ @@ star } A \text{ @@ Prefixes } A$
 $\langle \text{proof} \rangle$

lemma *Prefixes-subset-Sublists*: $\text{Prefixes } A \subseteq \text{Sublists } A$
 $\langle \text{proof} \rangle$

lemma *Suffixes-subset-Sublists*: $\text{Suffixes } A \subseteq \text{Sublists } A$
 $\langle \text{proof} \rangle$

7.4 Fragment language

The following is the fragment language of a given language, i.e. the set of all words that are (not necessarily contiguous) sub-sequences of a word in the original language.

definition *Subseqs* where $\text{Subseqs } A = (\bigcup w \in A. \text{set } (\text{subseqs } w))$

lemma *Subseqs-empty* [simp]: $\text{Subseqs } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *Subseqs-insert* [simp]: $\text{Subseqs } (\text{insert } xs \ A) = \text{set } (\text{subseqs } xs) \cup \text{Subseqs } A$
 $\langle \text{proof} \rangle$

lemma *Subseqs-singleton* [simp]: $\text{Subseqs } \{xs\} = \text{set } (\text{subseqs } xs)$
 $\langle \text{proof} \rangle$

lemma *Subseqs-Un* [simp]: $\text{Subseqs } (A \cup B) = \text{Subseqs } A \cup \text{Subseqs } B$
 $\langle \text{proof} \rangle$

lemma *Subseqs-UNION* [simp]: $\text{Subseqs } (\bigcup (f \text{ ' } A)) = \bigcup ((\lambda x. \text{Subseqs } (f \ x)) \text{ ' } A)$
 $\langle \text{proof} \rangle$

lemma *Subseqs-conc* [simp]: $\text{Subseqs } (A \text{ @@ } B) = \text{Subseqs } A \text{ @@ } \text{Subseqs } B$
 ⟨proof⟩

lemma *Subseqs-compower* [simp]: $\text{Subseqs } (A \text{ }^{\sim} n) = \text{Subseqs } A \text{ }^{\sim} n$
 ⟨proof⟩

lemma *Subseqs-star* [simp]: $\text{Subseqs } (\text{star } A) = \text{star } (\text{Subseqs } A)$
 ⟨proof⟩

lemma *Sublists-subset-Subseqs*: $\text{Sublists } A \subseteq \text{Subseqs } A$
 ⟨proof⟩

7.5 Various regular expression constructions

A construction for language reversal of a regular expression:

primrec *rexp-rev* **where**

rexp-rev Zero = Zero
 | *rexp-rev* One = One
 | *rexp-rev* (Atom x) = Atom x
 | *rexp-rev* (Plus r s) = Plus (*rexp-rev* r) (*rexp-rev* s)
 | *rexp-rev* (Times r s) = Times (*rexp-rev* s) (*rexp-rev* r)
 | *rexp-rev* (Star r) = Star (*rexp-rev* r)

lemma *lang-rexp-rev* [simp]: $\text{lang } (\text{rexp-rev } r) = \text{rev } \text{' lang } r$
 ⟨proof⟩

The obvious construction for a singleton-language regular expression:

fun *rexp-of-word* **where**

rexp-of-word [] = One
 | *rexp-of-word* [x] = Atom x
 | *rexp-of-word* ($x\#xs$) = Times (Atom x) (*rexp-of-word* xs)

lemma *lang-rexp-of-word* [simp]: $\text{lang } (\text{rexp-of-word } xs) = \{xs\}$
 ⟨proof⟩

lemma *size-rexp-of-word* [simp]: $\text{size } (\text{rexp-of-word } xs) = \text{Suc } (2 * (\text{length } xs - 1))$
 ⟨proof⟩

Character substitution in a regular expression:

primrec *rexp-subst* **where**

rexp-subst f Zero = Zero
 | *rexp-subst* f One = One
 | *rexp-subst* f (Atom x) = *rexp-of-word* (f x)
 | *rexp-subst* f (Plus r s) = Plus (*rexp-subst* f r) (*rexp-subst* f s)
 | *rexp-subst* f (Times r s) = Times (*rexp-subst* f r) (*rexp-subst* f s)
 | *rexp-subst* f (Star r) = Star (*rexp-subst* f r)

lemma *lang-rexp-subst*: $\text{lang } (\text{rexp-subst } f \text{ } r) = \text{subst-word } f \text{ ' lang } r$

<proof>

Suffix language of a regular expression:

primrec *suffix-regex* :: 'a *regex* $\Rightarrow$ 'a *regex* **where**
| *suffix-regex* Zero = Zero
| *suffix-regex* One = One
| *suffix-regex* (Atom *a*) = Plus (Atom *a*) One
| *suffix-regex* (Plus *r s*) = Plus (*suffix-regex* *r*) (*suffix-regex* *s*)
| *suffix-regex* (Times *r s*) =
| (if *regex-empty* *r* then Zero else Plus (Times (*suffix-regex* *r*) *s*) (*suffix-regex* *s*))
| *suffix-regex* (Star *r*) =
| (if *regex-empty* *r* then One else Times (*suffix-regex* *r*) (Star *r*))

theorem *lang-suffix-regex* [simp]:

lang (*suffix-regex* *r*) = Suffixes (*lang* *r*)

<proof>

Prefix language of a regular expression:

primrec *prefix-regex* :: 'a *regex* $\Rightarrow$ 'a *regex* **where**
| *prefix-regex* Zero = Zero
| *prefix-regex* One = One
| *prefix-regex* (Atom *a*) = Plus (Atom *a*) One
| *prefix-regex* (Plus *r s*) = Plus (*prefix-regex* *r*) (*prefix-regex* *s*)
| *prefix-regex* (Times *r s*) =
| (if *regex-empty* *s* then Zero else Plus (Times *r* (*prefix-regex* *s*)) (*prefix-regex* *r*))
| *prefix-regex* (Star *r*) =
| (if *regex-empty* *r* then One else Times (Star *r*) (*prefix-regex* *r*))

theorem *lang-prefix-regex* [simp]:

lang (*prefix-regex* *r*) = Prefixes (*lang* *r*)

<proof>

Sub-word language of a regular expression

primrec *sublist-regex* :: 'a *regex* $\Rightarrow$ 'a *regex* **where**
| *sublist-regex* Zero = Zero
| *sublist-regex* One = One
| *sublist-regex* (Atom *a*) = Plus (Atom *a*) One
| *sublist-regex* (Plus *r s*) = Plus (*sublist-regex* *r*) (*sublist-regex* *s*)
| *sublist-regex* (Times *r s*) =
| (if *regex-empty* *r* $\vee$ *regex-empty* *s* then Zero else
| Plus (*sublist-regex* *r*) (Plus (*sublist-regex* *s*) (Times (*suffix-regex* *r*) (*prefix-regex* *s*))))
| *sublist-regex* (Star *r*) =
| (if *regex-empty* *r* then One else
| Plus (*sublist-regex* *r*) (Times (*suffix-regex* *r*) (Times (Star *r*) (*prefix-regex* *r*))))

theorem *lang-sublist-regex* [simp]:

lang (*sublist-regex* *r*) = Sublists (*lang* *r*)

<proof>

Fragment language of a regular expression:

```

primrec subseqs-regex :: 'a regex  $\Rightarrow$  'a regex where
  subseqs-regex Zero = Zero
| subseqs-regex One = One
| subseqs-regex (Atom x) = Plus (Atom x) One
| subseqs-regex (Plus r s) = Plus (subseqs-regex r) (subseqs-regex s)
| subseqs-regex (Times r s) = Times (subseqs-regex r) (subseqs-regex s)
| subseqs-regex (Star r) = Star (subseqs-regex r)

lemma lang-subseqs-regex [simp]: lang (subseqs-regex r) = Subseqs (lang r)
  <proof>

  Subword language of a regular expression

end

```

8 Derivatives of regular expressions

```

theory Derivatives
imports Regular-Exp
begin

```

This theory is based on work by Brozowski [2] and Antimirov [1].

8.1 Brzowski's derivatives of regular expressions

```

fun
  deriv :: 'a  $\Rightarrow$  'a regex  $\Rightarrow$  'a regex
where
  deriv c (Zero) = Zero
| deriv c (One) = Zero
| deriv c (Atom c') = (if c = c' then One else Zero)
| deriv c (Plus r1 r2) = Plus (deriv c r1) (deriv c r2)
| deriv c (Times r1 r2) =
  (if nullable r1 then Plus (Times (deriv c r1) r2) (deriv c r2) else Times (deriv
  c r1) r2)
| deriv c (Star r) = Times (deriv c r) (Star r)

fun
  derivs :: 'a list  $\Rightarrow$  'a regex  $\Rightarrow$  'a regex
where
  derivs [] r = r
| derivs (c # s) r = derivs s (deriv c r)

```

```

lemma atoms-deriv-subset: atoms (deriv x r)  $\subseteq$  atoms r
  <proof>

```

```

lemma atoms-derivs-subset: atoms (derivs w r)  $\subseteq$  atoms r

```


$\langle \text{proof} \rangle$

lemma *lang-deriv*: $\text{lang } (\text{deriv } c \ r) = \text{Deriv } c \ (\text{lang } r)$
 $\langle \text{proof} \rangle$

lemma *lang-derivs*: $\text{lang } (\text{derivs } s \ r) = \text{Derivs } s \ (\text{lang } r)$
 $\langle \text{proof} \rangle$

A regular expression matcher:

definition *matcher* :: $'a \ \text{rexp} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$ **where**
 $\text{matcher } r \ s = \text{nullable } (\text{derivs } s \ r)$

lemma *matcher-correctness*: $\text{matcher } r \ s \longleftrightarrow s \in \text{lang } r$
 $\langle \text{proof} \rangle$

8.2 Antimirov's partial derivatives

abbreviation

$\text{Timess } rs \ r \equiv (\bigcup r' \in rs. \{\text{Times } r' \ r\})$

lemma *Timess-eq-image*:

$\text{Timess } rs \ r = (\lambda r'. \text{Times } r' \ r) \ ` \ rs$

$\langle \text{proof} \rangle$

primrec

$\text{pderiv} :: 'a \Rightarrow 'a \ \text{rexp} \Rightarrow 'a \ \text{rexp set}$

where

$\text{pderiv } c \ \text{Zero} = \{\}$
 $\text{pderiv } c \ \text{One} = \{\}$
 $\text{pderiv } c \ (\text{Atom } c') = (\text{if } c = c' \text{ then } \{\text{One}\} \text{ else } \{\})$
 $\text{pderiv } c \ (\text{Plus } r1 \ r2) = (\text{pderiv } c \ r1) \cup (\text{pderiv } c \ r2)$
 $\text{pderiv } c \ (\text{Times } r1 \ r2) =$
 $\quad (\text{if nullable } r1 \text{ then } \text{Timess } (\text{pderiv } c \ r1) \ r2 \cup \text{pderiv } c \ r2 \text{ else } \text{Timess } (\text{pderiv } c \ r1) \ r2)$
 $\text{pderiv } c \ (\text{Star } r) = \text{Timess } (\text{pderiv } c \ r) \ (\text{Star } r)$

primrec

$\text{pderivs} :: 'a \ \text{list} \Rightarrow 'a \ \text{rexp} \Rightarrow ('a \ \text{rexp}) \ \text{set}$

where

$\text{pderivs } [] \ r = \{r\}$
 $\text{pderivs } (c \ # \ s) \ r = \bigcup (\text{pderivs } s \ ` \ \text{pderiv } c \ r)$

abbreviation

$\text{pderiv-set} :: 'a \Rightarrow 'a \ \text{rexp set} \Rightarrow 'a \ \text{rexp set}$

where

$\text{pderiv-set } c \ rs \equiv \bigcup (\text{pderiv } c \ ` \ rs)$

abbreviation

$\text{pderivs-set} :: 'a \ \text{list} \Rightarrow 'a \ \text{rexp set} \Rightarrow 'a \ \text{rexp set}$

where

$$pderivs\text{-}set\ s\ rs \equiv \bigcup (pderivs\ s\ 'rs)$$

lemma *pderivs-append*:

$$pderivs\ (s1\ @\ s2)\ r = \bigcup (pderivs\ s2\ 'pderivs\ s1\ r)$$

<proof>

lemma *pderivs-snoc*:

$$\text{shows } pderivs\ (s\ @\ [c])\ r = pderiv\text{-}set\ c\ (pderivs\ s\ r)$$

<proof>

lemma *pderivs-simps [simp]*:

$$\begin{aligned} &\text{shows } pderivs\ s\ Zero = (if\ s = []\ then\ \{Zero\}\ else\ \{\}) \\ &\text{and } pderivs\ s\ One = (if\ s = []\ then\ \{One\}\ else\ \{\}) \\ &\text{and } pderivs\ s\ (Plus\ r1\ r2) = (if\ s = []\ then\ \{Plus\ r1\ r2\}\ else\ (pderivs\ s\ r1) \cup \\ &\quad (pderivs\ s\ r2)) \end{aligned}$$

<proof>

lemma *pderivs-Atom*:

$$\text{shows } pderivs\ s\ (Atom\ c) \subseteq \{Atom\ c,\ One\}$$

<proof>

8.3 Relating left-quotients and partial derivatives

lemma *Deriv-pderiv*:

$$\text{shows } Deriv\ c\ (lang\ r) = \bigcup (lang\ 'pderiv\ c\ r)$$

<proof>

lemma *Derivs-pderivs*:

$$\text{shows } Derivs\ s\ (lang\ r) = \bigcup (lang\ 'pderivs\ s\ r)$$

<proof>

8.4 Relating derivatives and partial derivatives

lemma *deriv-pderiv*:

$$\text{shows } \bigcup (lang\ 'pderiv\ c\ r) = lang\ (deriv\ c\ r)$$

<proof>

lemma *derivs-pderivs*:

$$\text{shows } \bigcup (lang\ 'pderivs\ s\ r) = lang\ (derivs\ s\ r)$$

<proof>

8.5 Finiteness property of partial derivatives

definition

$$pderivs\text{-}lang :: 'a\ lang \Rightarrow 'a\ rexp \Rightarrow 'a\ rexp\ set$$

where

$$pderivs\text{-}lang\ A\ r \equiv \bigcup x \in A.\ pderivs\ x\ r$$

lemma *pderivs-lang-subsetI*:

$$\text{assumes } \bigwedge s.\ s \in A \implies pderivs\ s\ r \subseteq C$$

shows $pderivs\text{-}lang\ A\ r \subseteq C$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}union$:
shows $pderivs\text{-}lang\ (A \cup B)\ r = (pderivs\text{-}lang\ A\ r \cup pderivs\text{-}lang\ B\ r)$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}subset$:
shows $A \subseteq B \implies pderivs\text{-}lang\ A\ r \subseteq pderivs\text{-}lang\ B\ r$
 $\langle proof \rangle$

definition
 $UNIV1 \equiv UNIV - \{\epsilon\}$

lemma $pderivs\text{-}lang\text{-}Zero$ [simp]:
shows $pderivs\text{-}lang\ UNIV1\ Zero = \{\}$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}One$ [simp]:
shows $pderivs\text{-}lang\ UNIV1\ One = \{\}$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}Atom$ [simp]:
shows $pderivs\text{-}lang\ UNIV1\ (Atom\ c) = \{One\}$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}Plus$ [simp]:
shows $pderivs\text{-}lang\ UNIV1\ (Plus\ r1\ r2) = pderivs\text{-}lang\ UNIV1\ r1 \cup pderivs\text{-}lang\ UNIV1\ r2$
 $\langle proof \rangle$

Non-empty suffixes of a string (needed for the cases of *Times* and *Star* below)

definition
 $PSuf\ s \equiv \{v. v \neq \epsilon \wedge (\exists u. u @ v = s)\}$

lemma $PSuf\text{-}snoc$:
shows $PSuf\ (s @ [c]) = (PSuf\ s) @\@ \{[c]\} \cup \{[c]\}$
 $\langle proof \rangle$

lemma $PSuf\text{-}Union$:
shows $(\bigcup v \in PSuf\ s @\@ \{[c]\}. f\ v) = (\bigcup v \in PSuf\ s. f\ (v @ [c]))$
 $\langle proof \rangle$

lemma $pderivs\text{-}lang\text{-}snoc$:
shows $pderivs\text{-}lang\ (PSuf\ s @\@ \{[c]\})\ r = (pderiv\text{-}set\ c\ (pderivs\text{-}lang\ (PSuf\ s)\ r))$
 $\langle proof \rangle$

lemma *pderivs-Times*:
shows $pderivs\ s\ (Times\ r1\ r2) \subseteq Timess\ (pderivs\ s\ r1)\ r2 \cup (pderivs\text{-}lang\ (PSuf\ s)\ r2)$
 $\langle proof \rangle$

lemma *pderivs-lang-Times-aux1*:
assumes $a: s \in UNIV1$
shows $pderivs\text{-}lang\ (PSuf\ s)\ r \subseteq pderivs\text{-}lang\ UNIV1\ r$
 $\langle proof \rangle$

lemma *pderivs-lang-Times-aux2*:
assumes $a: s \in UNIV1$
shows $Timess\ (pderivs\ s\ r1)\ r2 \subseteq Timess\ (pderivs\text{-}lang\ UNIV1\ r1)\ r2$
 $\langle proof \rangle$

lemma *pderivs-lang-Times*:
shows $pderivs\text{-}lang\ UNIV1\ (Times\ r1\ r2) \subseteq Timess\ (pderivs\text{-}lang\ UNIV1\ r1)\ r2 \cup pderivs\text{-}lang\ UNIV1\ r2$
 $\langle proof \rangle$

lemma *pderivs-Star*:
assumes $a: s \neq []$
shows $pderivs\ s\ (Star\ r) \subseteq Timess\ (pderivs\text{-}lang\ (PSuf\ s)\ r)\ (Star\ r)$
 $\langle proof \rangle$

lemma *pderivs-lang-Star*:
shows $pderivs\text{-}lang\ UNIV1\ (Star\ r) \subseteq Timess\ (pderivs\text{-}lang\ UNIV1\ r)\ (Star\ r)$
 $\langle proof \rangle$

lemma *finite-Timess [simp]*:
assumes $a: finite\ A$
shows $finite\ (Timess\ A\ r)$
 $\langle proof \rangle$

lemma *finite-pderivs-lang-UNIV1*:
shows $finite\ (pderivs\text{-}lang\ UNIV1\ r)$
 $\langle proof \rangle$

lemma *pderivs-lang-UNIV*:
shows $pderivs\text{-}lang\ UNIV\ r = pderivs\ []\ r \cup pderivs\text{-}lang\ UNIV1\ r$
 $\langle proof \rangle$

lemma *finite-pderivs-lang-UNIV*:
shows $finite\ (pderivs\text{-}lang\ UNIV\ r)$
 $\langle proof \rangle$

lemma *finite-pderivs-lang*:
shows $finite\ (pderivs\text{-}lang\ A\ r)$
 $\langle proof \rangle$

The following relationship between the alphabetic width of regular expressions (called *awidth* below) and the number of partial derivatives was proved by Antimirov [1] and formalized by Max Haslbeck.

```
fun awidth :: 'a rexp  $\Rightarrow$  nat where
  awidth Zero = 0 |
  awidth One = 0 |
  awidth (Atom a) = 1 |
  awidth (Plus r1 r2) = awidth r1 + awidth r2 |
  awidth (Times r1 r2) = awidth r1 + awidth r2 |
  awidth (Star r1) = awidth r1
```

```
lemma card-Times-pderivs-lang-le:
  card (Times (pderivs-lang A r) s)  $\leq$  card (pderivs-lang A r)
  <proof>
```

```
lemma card-pderivs-lang-UNIV1-le-awidth: card (pderivs-lang UNIV1 r)  $\leq$  awidth
r
<proof>
```

Antimirov's Theorem 3.4:

```
theorem card-pderivs-lang-UNIV-le-awidth: card (pderivs-lang UNIV r)  $\leq$  awidth
r + 1
<proof>
```

Antimirov's Corollary 3.5:

```
corollary card-pderivs-lang-le-awidth: card (pderivs-lang A r)  $\leq$  awidth r + 1
<proof>
```

end

9 Deciding Regular Expression Equivalence (2)

```
theory pEquivalence-Checking
imports Equivalence-Checking Derivatives
begin
```

Similar to theory *Regular-Sets.Equivalence-Checking*, but with partial derivatives instead of derivatives.

Lifting many notions to sets:

```
definition Lang R == UN r:R. lang r
definition Nullable R == EX r:R. nullable r
definition Pderiv a R == UN r:R. pderiv a r
definition Atoms R == UN r:R. atoms r
```

```
lemma Atoms-pderiv: Atoms(pderiv a r)  $\subseteq$  atoms r
```

$\langle \text{proof} \rangle$

lemma *Atoms-Pderiv*: $\text{Atoms}(\text{Pderiv } a \ R) \subseteq \text{Atoms } R$
 $\langle \text{proof} \rangle$

lemma *pderiv-no-occurrence*:
 $x \notin \text{atoms } r \implies \text{pderiv } x \ r = \{\}$
 $\langle \text{proof} \rangle$

lemma *Pderiv-no-occurrence*:
 $x \notin \text{Atoms } R \implies \text{Pderiv } x \ R = \{\}$
 $\langle \text{proof} \rangle$

lemma *Deriv-Lang*: $\text{Deriv } c \ (\text{Lang } R) = \text{Lang } (\text{Pderiv } c \ R)$
 $\langle \text{proof} \rangle$

lemma *Nullable-pderiv[simp]*: $\text{Nullable}(\text{pderivs } w \ r) = (w : \text{lang } r)$
 $\langle \text{proof} \rangle$

type-synonym *'a Rexp-pair* = *'a rexp set * 'a rexp set*
type-synonym *'a Rexp-pairs* = *'a Rexp-pair list*

definition *is-Bisimulation* :: *'a list $\Rightarrow$ 'a Rexp-pairs $\Rightarrow$ bool*
where

is-Bisimulation as ps =
 $(\forall (R,S) \in \text{set } ps. \text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as \wedge$
 $(\text{Nullable } R \longleftrightarrow \text{Nullable } S) \wedge$
 $(\forall a \in \text{set } as. (\text{Pderiv } a \ R, \text{Pderiv } a \ S) \in \text{set } ps))$

lemma *Bisim-Lang-eq*:
assumes *Bisim*: *is-Bisimulation as ps*
assumes $(R, S) \in \text{set } ps$
shows $\text{Lang } R = \text{Lang } S$
 $\langle \text{proof} \rangle$

9.1 Closure computation

fun *test* :: *'a Rexp-pairs * 'a Rexp-pairs $\Rightarrow$ bool* **where**
test $(ws, ps) = (\text{case } ws \text{ of } [] \Rightarrow \text{False} \mid (R,S) \# _ \Rightarrow \text{Nullable } R = \text{Nullable } S)$

fun *step* :: *'a list $\Rightarrow$*
*'a Rexp-pairs * 'a Rexp-pairs $\Rightarrow$ 'a Rexp-pairs * 'a Rexp-pairs*
where *step as (ws,ps)* =
 $(\text{let}$
 $(R,S) = \text{hd } ws;$
 $ps' = (R,S) \# ps;$
 $\text{succs} = \text{map } (\lambda a. (\text{Pderiv } a \ R, \text{Pderiv } a \ S)) \ as;$
 $\text{new} = \text{filter } (\lambda p. p \notin \text{set } ps \cup \text{set } ws) \ \text{succs}$

in (remdups new @ tl ws, ps')

definition *closure* ::

'a list $\Rightarrow$ *'a Rexp-pairs* * *'a Rexp-pairs*
 $\Rightarrow$ (*'a Rexp-pairs* * *'a Rexp-pairs*) *option* **where**
closure as = *while-option test (step as)*

definition *pre-Bisim* :: *'a list* $\Rightarrow$ *'a rexp set* $\Rightarrow$ *'a rexp set* $\Rightarrow$
'a Rexp-pairs * *'a Rexp-pairs* $\Rightarrow$ *bool*

where

pre-Bisim as R S = ($\lambda(ws,ps).$
 $((R,S) \in \text{set } ws \cup \text{set } ps) \wedge$
 $(\forall (R,S) \in \text{set } ws \cup \text{set } ps. \text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as) \wedge$
 $(\forall (R,S) \in \text{set } ps. (\text{Nullable } R \longleftrightarrow \text{Nullable } S) \wedge$
 $(\forall a \in \text{set } as. (Pderiv a R, Pderiv a S) \in \text{set } ps \cup \text{set } ws)))$

lemma *step-set-eq*: $\llbracket \text{test } (ws,ps); \text{step as } (ws,ps) = (ws',ps') \rrbracket$
 $\implies \text{set } ws' \cup \text{set } ps' =$
 $\text{set } ws \cup \text{set } ps$
 $\cup (\bigcup a \in \text{set } as. \{(Pderiv a (\text{fst}(\text{hd } ws)), Pderiv a (\text{snd}(\text{hd } ws)))\})$
 $\langle \text{proof} \rangle$

theorem *closure-sound*:

assumes *result*: *closure as* ($[(R,S)], []$) = *Some*($[], ps$)
and *atoms*: $\text{Atoms } R \cup \text{Atoms } S \subseteq \text{set } as$
shows $\text{Lang } R = \text{Lang } S$
 $\langle \text{proof} \rangle$

9.2 The overall procedure

definition *check-equiv* :: *'a rexp* $\Rightarrow$ *'a rexp* $\Rightarrow$ *bool*

where

check-equiv r s =
 $(\text{case } \text{closure } (\text{add-atoms } r (\text{add-atoms } s [])) ([(\{r\}, \{s\})], []) \text{ of}$
 $\text{Some}([], -) \Rightarrow \text{True} \mid - \Rightarrow \text{False})$

lemma *soundness*: **assumes** *check-equiv r s* **shows** $\text{lang } r = \text{lang } s$
 $\langle \text{proof} \rangle$

Test:

lemma *check-equiv*

$(\text{Plus One } (\text{Times } (\text{Atom } 0) (\text{Star}(\text{Atom } 0))))$
 $(\text{Star}(\text{Atom}(0::\text{nat})))$
 $\langle \text{proof} \rangle$

9.3 Termination and Completeness

definition *PDERIVS* :: *'a rexp set* $\Rightarrow$ *'a rexp set* **where**
 $PDERIVS R = (\text{UN } r:R. \text{pderivs-lang UNIV } r)$

lemma *PDERIVS-incr*[simp]: $R \subseteq PDERIVS R$
 $\langle proof \rangle$

lemma *Pderiv-PDERIVS*: **assumes** $R' \subseteq PDERIVS R$ **shows** $Pderiv\ a\ R' \subseteq PDERIVS R$
 $\langle proof \rangle$

lemma *finite-PDERIVS*: $finite\ R \implies finite(PDERIVS\ R)$
 $\langle proof \rangle$

lemma *closure-Some*: **assumes** $finite\ R0\ finite\ S0$ **shows** $\exists p. closure\ as\ ([(R0, S0)], []) = Some\ p$
 $\langle proof \rangle$

theorem *closure-Some-Inv*: **assumes** $closure\ as\ ([(\{r\}, \{s\})], []) = Some\ p$
shows $\forall (R, S) \in set(fst\ p). \exists w. R = pderivs\ w\ r \wedge S = pderivs\ w\ s\ (\text{is } ?Inv\ p)$
 $\langle proof \rangle$

lemma *closure-complete*: **assumes** $lang\ r = lang\ s$
shows $EX\ bs. closure\ as\ ([(\{r\}, \{s\})], []) = Some([], bs)\ (\text{is } ?C)$
 $\langle proof \rangle$

corollary *completeness*: $lang\ r = lang\ s \implies check_equiv\ r\ s$
 $\langle proof \rangle$

end

10 Extended Regular Expressions

theory *Regular-Exp2*
imports *Regular-Set*
begin

datatype (*atoms*: 'a) *rexp* =
is-Zero: *Zero* |
is-One: *One* |
Atom 'a |
Plus ('a *rexp*) ('a *rexp*) |
Times ('a *rexp*) ('a *rexp*) |
Star ('a *rexp*) |
Not ('a *rexp*) |
Inter ('a *rexp*) ('a *rexp*)

context
fixes $S :: 'a\ set$
begin

primrec $lang :: 'a\ rexp \Rightarrow 'a\ lang$ **where**
 $lang\ Zero = \{\}$ |


```

lang One = {[]} |
lang (Atom a) = {[a]} |
lang (Plus r s) = (lang r) Un (lang s) |
lang (Times r s) = conc (lang r) (lang s) |
lang (Star r) = star(lang r) |
lang (Not r) = lists S - lang r |
lang (Inter r s) = (lang r Int lang s)

```

end

lemma *lang-subset-lists*: $\text{atoms } r \subseteq S \implies \text{lang } S \ r \subseteq \text{lists } S$
 <proof>

```

primrec nullable :: 'a rexp  $\Rightarrow$  bool where
  nullable Zero = False |
  nullable One = True |
  nullable (Atom c) = False |
  nullable (Plus r1 r2) = (nullable r1  $\vee$  nullable r2) |
  nullable (Times r1 r2) = (nullable r1  $\wedge$  nullable r2) |
  nullable (Star r) = True |
  nullable (Not r) = ( $\neg$  (nullable r)) |
  nullable (Inter r s) = (nullable r  $\wedge$  nullable s)

```

lemma *nullable-iff*: $\text{nullable } r \longleftrightarrow [] \in \text{lang } S \ r$
 <proof>

end

11 Deciding Equivalence of Extended Regular Expressions

```

theory Equivalence-Checking2
imports Regular-Exp2 HOL-Library.While-Combinator
begin

```

11.1 Term ordering

```

fun le-rexp :: nat rexp  $\Rightarrow$  nat rexp  $\Rightarrow$  bool
where
  le-rexp Zero - = True
| le-rexp - Zero = False
| le-rexp One - = True
| le-rexp - One = False
| le-rexp (Atom a) (Atom b) = (a <= b)
| le-rexp (Atom -) - = True
| le-rexp - (Atom -) = False
| le-rexp (Star r) (Star s) = le-rexp r s
| le-rexp (Star -) - = True

```

```

| le-rexp - (Star -) = False
| le-rexp (Not r) (Not s) = le-rexp r s
| le-rexp (Not -) - = True
| le-rexp - (Not -) = False
| le-rexp (Plus r r') (Plus s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Plus - -) - = True
| le-rexp - (Plus - -) = False
| le-rexp (Times r r') (Times s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)
| le-rexp (Times - -) - = True
| le-rexp - (Times - -) = False
| le-rexp (Inter r r') (Inter s s') =
  (if r = s then le-rexp r' s' else le-rexp r s)

```

11.2 Normalizing operations

associativity, commutativity, idempotence, zero

fun *nPlus* :: *nat rexp* ⇒ *nat rexp* ⇒ *nat rexp*

where

```

  nPlus Zero r = r
| nPlus r Zero = r
| nPlus (Plus r s) t = nPlus r (nPlus s t)
| nPlus r (Plus s t) =
  (if r = s then (Plus s t)
   else if le-rexp r s then Plus r (Plus s t)
   else Plus s (nPlus r t))
| nPlus r s =
  (if r = s then r
   else if le-rexp r s then Plus r s
   else Plus s r)

```

lemma *lang-nPlus[simp]*: *lang S (nPlus r s) = lang S (Plus r s)*
 ⟨proof⟩

associativity, zero, one

fun *nTimes* :: *nat rexp* ⇒ *nat rexp* ⇒ *nat rexp*

where

```

  nTimes Zero - = Zero
| nTimes - Zero = Zero
| nTimes One r = r
| nTimes r One = r
| nTimes (Times r s) t = Times r (nTimes s t)
| nTimes r s = Times r s

```

lemma *lang-nTimes[simp]*: *lang S (nTimes r s) = lang S (Times r s)*
 ⟨proof⟩

more optimisations:

fun *nInter* :: *nat rexp* $\Rightarrow$ *nat rexp* $\Rightarrow$ *nat rexp*

where

nInter *Zero* - = *Zero*
| *nInter* - *Zero* = *Zero*
| *nInter* *r s* = *Inter* *r s*

lemma *lang-nInter[simp]*: *lang S (nInter r s) = lang S (Inter r s)*
 $\langle$ *proof* $\rangle$

primrec *norm* :: *nat rexp* $\Rightarrow$ *nat rexp*

where

norm *Zero* = *Zero*
| *norm* *One* = *One*
| *norm* (*Atom* *a*) = *Atom* *a*
| *norm* (*Plus* *r s*) = *nPlus* (*norm* *r*) (*norm* *s*)
| *norm* (*Times* *r s*) = *nTimes* (*norm* *r*) (*norm* *s*)
| *norm* (*Star* *r*) = *Star* (*norm* *r*)
| *norm* (*Not* *r*) = *Not* (*norm* *r*)
| *norm* (*Inter* *r1 r2*) = *nInter* (*norm* *r1*) (*norm* *r2*)

lemma *lang-norm[simp]*: *lang S (norm r) = lang S r*
 $\langle$ *proof* $\rangle$

11.3 Derivative

primrec *nderiv* :: *nat* $\Rightarrow$ *nat rexp* $\Rightarrow$ *nat rexp*

where

nderiv - *Zero* = *Zero*
| *nderiv* - *One* = *Zero*
| *nderiv* *a* (*Atom* *b*) = (if *a* = *b* then *One* else *Zero*)
| *nderiv* *a* (*Plus* *r s*) = *nPlus* (*nderiv* *a* *r*) (*nderiv* *a* *s*)
| *nderiv* *a* (*Times* *r s*) =
 (*let* *r's* = *nTimes* (*nderiv* *a* *r*) *s*
 in if nullable *r* then *nPlus* *r's* (*nderiv* *a* *s*) else *r's*)
| *nderiv* *a* (*Star* *r*) = *nTimes* (*nderiv* *a* *r*) (*Star* *r*)
| *nderiv* *a* (*Not* *r*) = *Not* (*nderiv* *a* *r*)
| *nderiv* *a* (*Inter* *r1 r2*) = *nInter* (*nderiv* *a* *r1*) (*nderiv* *a* *r2*)

lemma *lang-nderiv*: *a:S* $\Longrightarrow$ *lang S (nderiv a r) = Deriv a (lang S r)*
 $\langle$ *proof* $\rangle$

lemma *atoms-nPlus[simp]*: *atoms (nPlus r s) = atoms r $\cup$ atoms s*
 $\langle$ *proof* $\rangle$

lemma *atoms-nTimes*: *atoms (nTimes r s) $\subseteq$ atoms r $\cup$ atoms s*
 $\langle$ *proof* $\rangle$

lemma *atoms-nInter*: *atoms (nInter r s) $\subseteq$ atoms r $\cup$ atoms s*
 $\langle$ *proof* $\rangle$

lemma *atoms-norm*: $\text{atoms } (\text{norm } r) \subseteq \text{atoms } r$
 <proof>

lemma *atoms-nderiv*: $\text{atoms } (\text{nderiv } a \ r) \subseteq \text{atoms } r$
 <proof>

11.4 Bisimulation between languages and regular expressions

context

fixes $S :: 'a \text{ set}$

begin

coinductive *bisimilar* :: $'a \text{ lang} \Rightarrow 'a \text{ lang} \Rightarrow \text{bool}$ **where**
 $K \subseteq \text{lists } S \Longrightarrow L \subseteq \text{lists } S$
 $\Longrightarrow (\[] \in K \longleftrightarrow \[] \in L)$
 $\Longrightarrow (\bigwedge x. x:S \Longrightarrow \text{bisimilar } (\text{Deriv } x \ K) (\text{Deriv } x \ L))$
 $\Longrightarrow \text{bisimilar } K \ L$

lemma *equal-if-bisimilar*:

assumes $K \subseteq \text{lists } S \ L \subseteq \text{lists } S$ *bisimilar* $K \ L$ **shows** $K = L$
 <proof>

lemma *language-coinduct*:

fixes R (**infixl** $\langle \sim \rangle$ 50)

assumes $\bigwedge K \ L. K \sim L \Longrightarrow K \subseteq \text{lists } S \wedge L \subseteq \text{lists } S$

assumes $K \sim L$

assumes $\bigwedge K \ L. K \sim L \Longrightarrow (\[] \in K \longleftrightarrow \[] \in L)$

assumes $\bigwedge K \ L \ x. K \sim L \Longrightarrow x : S \Longrightarrow \text{Deriv } x \ K \sim \text{Deriv } x \ L$

shows $K = L$

<proof>

end

type-synonym *rexp-pair* = $\text{nat rexp} * \text{nat rexp}$

type-synonym *rexp-pairs* = *rexp-pair list*

definition *is-bisimulation* :: $\text{nat list} \Rightarrow \text{rexp-pairs} \Rightarrow \text{bool}$

where

is-bisimulation $as \ ps =$

$(\forall (r,s) \in \text{set } ps. (\text{atoms } r \cup \text{atoms } s \subseteq \text{set } as) \wedge (\text{nullable } r \longleftrightarrow \text{nullable } s) \wedge$
 $(\forall a \in \text{set } as. (\text{nderiv } a \ r, \text{nderiv } a \ s) \in \text{set } ps))$

lemma *bisim-lang-eq*:

assumes *bisim*: *is-bisimulation* $as \ ps$

assumes $(r, s) \in \text{set } ps$

shows $\text{lang } (\text{set } as) \ r = \text{lang } (\text{set } as) \ s$

<proof>

11.5 Closure computation

fun *test* :: *rexp-pairs* * *rexp-pairs* $\Rightarrow$ *bool*
where *test* (*ws*, *ps*) = (*case ws of* [] $\Rightarrow$ *False* | (*p,q*)#- $\Rightarrow$ *nullable p = nullable q*)

fun *step* :: *nat list* $\Rightarrow$ *rexp-pairs* * *rexp-pairs* $\Rightarrow$ *rexp-pairs* * *rexp-pairs*
where *step as* (*ws,ps*) =
 (*let*
 (*r*, *s*) = *hd ws*;
 ps' = (*r*, *s*) # *ps*;
 succs = *map* ($\lambda a. (nderiv\ a\ r, nderiv\ a\ s)$) *as*;
 new = *filter* ($\lambda p. p \notin set\ ps' \cup set\ ws$) *succs*
 in (*new* @ *tl ws*, *ps'*))

definition *closure* ::
nat list $\Rightarrow$ *rexp-pairs* * *rexp-pairs*
 $\Rightarrow$ (*rexp-pairs* * *rexp-pairs*) *option* **where**
closure as = *while-option test (step as)*

definition *pre-bisim* :: *nat list* $\Rightarrow$ *nat rexp* $\Rightarrow$ *nat rexp* $\Rightarrow$
rexp-pairs * *rexp-pairs* $\Rightarrow$ *bool*
where
pre-bisim as r s = ($\lambda(ws,ps).$
 ($(r, s) \in set\ ws \cup set\ ps$) $\wedge$
 ($\forall (r,s) \in set\ ws \cup set\ ps. atoms\ r \cup atoms\ s \subseteq set\ as$) $\wedge$
 ($\forall (r,s) \in set\ ps. (nullable\ r \longleftrightarrow nullable\ s)$) $\wedge$
 ($\forall a \in set\ as. (nderiv\ a\ r, nderiv\ a\ s) \in set\ ps \cup set\ ws$))

theorem *closure-sound*:
assumes *result*: *closure as* ([(*r,s*)],[]) = *Some*([],*ps*)
and *atoms*: *atoms r* $\cup$ *atoms s* $\subseteq$ *set as*
shows *lang (set as) r* = *lang (set as) s*
<proof>

11.6 The overall procedure

primrec *add-atoms* :: *nat rexp* $\Rightarrow$ *nat list* $\Rightarrow$ *nat list*
where
 add-atoms Zero = *id*
 | *add-atoms One* = *id*
 | *add-atoms (Atom a)* = *List.insert a*
 | *add-atoms (Plus r s)* = *add-atoms s o add-atoms r*
 | *add-atoms (Times r s)* = *add-atoms s o add-atoms r*
 | *add-atoms (Not r)* = *add-atoms r*
 | *add-atoms (Inter r s)* = *add-atoms s o add-atoms r*
 | *add-atoms (Star r)* = *add-atoms r*

lemma *set-add-atoms*: *set (add-atoms r as)* = *atoms r* $\cup$ *set as*
<proof>

definition *check-equiv* :: nat list $\Rightarrow$ nat rexp $\Rightarrow$ nat rexp $\Rightarrow$ bool
where
check-equiv as r s $\longleftrightarrow$ set(add-atoms r (add-atoms s [])) $\subseteq$ set as $\wedge$
 (case closure as ([norm r, norm s], []) of
 Some([],-) $\Rightarrow$ True | - $\Rightarrow$ False)

lemma *soundness*:

assumes *check-equiv* as r s **shows** lang (set as) r = lang (set as) s
 <proof>

lemma *check-equiv* [0] (Plus One (Times (Atom 0) (Star (Atom 0)))) (Star (Atom 0))
 <proof>

lemma *check-equiv* [0,1] (Not (Atom 0))
 (Plus One (Times (Plus (Atom 1) (Times (Atom 0) (Plus (Atom 0) (Atom 1))))
 (Star (Plus (Atom 0) (Atom 1)))))
 <proof>

lemma *check-equiv* [0] (Atom 0) (Inter (Star (Atom 0)) (Atom 0))
 <proof>

end

References

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