

Minimal, Maximal, Least, and Greatest Elements w.r.t. Restricted Ordering

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Abstract

This entry provides small, reusable, theories that specify the concepts of minimal, maximal, least, and greatest elements in sets, final sets, and final multisets. The concepts are uniformly specified as predicates parametrized by a binary relation. The binary relation is only required to be an ordering on the elements of the concrete collection considered. This is useful when working with a partial ordering, but some assumption or invariant proves that the ordering is total on all elements of the considered set.

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imports <i>Main</i>		
begin		

1 Definitions

When a binary relation hold for two values, i.e., $R\ x\ y$, we say that x reaches y and, conversely, that y is reachable by x .

definition *non-reachable-wrt* **where**

$$\text{non-reachable-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X - \{x\}. \neg (R \ y \ x))$$

definition *non-reaching-wrt* **where**

$$\text{non-reaching-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X - \{x\}. \neg (R \ x \ y))$$

definition *reaching-all-wrt* **where**

$$\text{reaching-all-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X - \{x\}. R \ x \ y)$$

definition *reachable-by-all-wrt* **where**

$$\text{reachable-by-all-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X - \{x\}. R \ y \ x)$$

2 Conversions

lemma *non-reachable-wrt-iff*:

$$\text{non-reachable-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow \neg R \ y \ x)$$

unfolding *non-reachable-wrt-def* **by** *blast*

lemma *non-reaching-wrt-iff*:

$$\text{non-reaching-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow \neg R \ x \ y)$$

unfolding *non-reaching-wrt-def* **by** *blast*

lemma *reaching-all-wrt-iff*:

$$\text{reaching-all-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow R \ x \ y)$$

unfolding *reaching-all-wrt-def* **by** *blast*

lemma *reachable-by-all-wrt-iff*:

$$\text{reachable-by-all-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow R \ y \ x)$$

unfolding *reachable-by-all-wrt-def* **by** *blast*

lemma *non-reachable-wrt-filter-iff*:

$$\text{non-reachable-wrt } R \ \{y \in X. P \ y\} \ x \longleftrightarrow x \in X \wedge P \ x \wedge (\forall y \in X - \{x\}. P \ y \longrightarrow \neg R \ y \ x)$$

by (*auto simp: non-reachable-wrt-def*)

lemma *non-reachable-wrt-conversep[simp]*:

$$\text{non-reachable-wrt } R^{-1-1} = \text{non-reaching-wrt } R$$

unfolding *non-reaching-wrt-def non-reachable-wrt-def* **by** *simp*

lemma *non-reaching-wrt-conversep[simp]*:

$$\text{non-reaching-wrt } R^{-1-1} = \text{non-reachable-wrt } R$$

unfolding *non-reaching-wrt-def non-reachable-wrt-def* **by** *simp*

lemma *reaching-all-wrt-conversep[simp]*:

$$\text{reaching-all-wrt } R^{-1-1} = \text{reachable-by-all-wrt } R$$

unfolding *reaching-all-wrt-def reachable-by-all-wrt-def* **by** *simp*

lemma *reachable-by-all-wrt-conversep[simp]*:

$$\text{reachable-by-all-wrt } R^{-1-1} = \text{reaching-all-wrt } R$$

unfolding *reaching-all-wrt-def* *reachable-by-all-wrt-def* **by** *simp*

lemma *non-reachable-wrt-eq-reaching-all-wrt*:

assumes *asym*: *asympt-on* *X R* **and** *tot*: *totalp-on* *X R*

shows *non-reachable-wrt* *R X* = *reaching-all-wrt* *R X*

proof (*intro ext iffI*)

fix *x*

from *tot* **show** *non-reachable-wrt* *R X x* $\implies$ *reaching-all-wrt* *R X x*

unfolding *non-reachable-wrt-def* *reaching-all-wrt-def*

by (*metis Diff-iff insertCI totalp-onD*)

next

fix *x*

from *asym* **show** *reaching-all-wrt* *R X x* $\implies$ *non-reachable-wrt* *R X x*

unfolding *reaching-all-wrt-def* *non-reachable-wrt-def*

by (*metis Diff-iff asympt-onD*)

qed

lemma *non-reaching-wrt-eq-reachable-by-all-wrt*:

assumes *asym*: *asympt-on* *X R* **and** *tot*: *totalp-on* *X R*

shows *non-reaching-wrt* *R X* = *reachable-by-all-wrt* *R X*

proof (*intro ext iffI*)

fix *x*

from *tot* **show** *non-reaching-wrt* *R X x* $\implies$ *reachable-by-all-wrt* *R X x*

unfolding *non-reaching-wrt-def* *reachable-by-all-wrt-def*

by (*metis Diff-iff insertCI totalp-onD*)

next

fix *x*

from *asym* **show** *reachable-by-all-wrt* *R X x* $\implies$ *non-reaching-wrt* *R X x*

unfolding *reachable-by-all-wrt-def* *non-reaching-wrt-def*

by (*metis Diff-iff asympt-onD*)

qed

lemma *non-reachable-wrt-reflclp*[*simp*]:

non-reachable-wrt $R^{==}$ = *non-reachable-wrt* *R*

by (*intro ext iffI*) (*simp-all add: non-reachable-wrt-iff*)

lemma *non-reaching-wrt-reflclp*[*simp*]:

non-reaching-wrt $R^{==}$ = *non-reaching-wrt* *R*

by (*intro ext iffI*) (*simp-all add: non-reaching-wrt-iff*)

lemma *reaching-all-wrt-reflclp*[*simp*]:

reaching-all-wrt $R^{==}$ = *reaching-all-wrt* *R*

by (*intro ext iffI*) (*simp-all add: reaching-all-wrt-iff*)

lemma *reachable-by-all-wrt-reflclp*[*simp*]:

reachable-by-all-wrt $R^{==}$ = *reachable-by-all-wrt* *R*

by (*intro ext iffI*) (*simp-all add: reachable-by-all-wrt-iff*)

3 Existence

lemma *ex-non-reachable-wrt:*

transp-on A R $\implies$ asymp-on A R $\implies$ finite A $\implies$ A $\neq$ {} $\implies$ $\exists m$. non-reachable-wrt R A m
using *Finite-Set.bex-min-element*
by (*metis non-reachable-wrt-iff*)

lemma *ex-non-reaching-wrt:*

transp-on A R $\implies$ asymp-on A R $\implies$ finite A $\implies$ A $\neq$ {} $\implies$ $\exists m$. non-reaching-wrt R A m
using *Finite-Set.bex-max-element*
by (*metis non-reaching-wrt-iff*)

lemma *ex-reaching-all-wrt:*

transp-on A R $\implies$ totalp-on A R $\implies$ finite A $\implies$ A $\neq$ {} $\implies$ $\exists g$. reaching-all-wrt R A g
using *Finite-Set.bex-least-element[of A R]*
by (*metis reaching-all-wrt-iff*)

lemma *ex-reachable-by-all-wrt:*

transp-on A R $\implies$ totalp-on A R $\implies$ finite A $\implies$ A $\neq$ {} $\implies$ $\exists g$. reachable-by-all-wrt R A g
using *Finite-Set.bex-greatest-element[of A R]*
by (*metis reachable-by-all-wrt-iff*)

lemma *not-ex-greatest-element-doubleton-if:*

assumes *x $\neq$ y and $\neg R x y$ and $\neg R y x$*
shows *$\nexists g$. reachable-by-all-wrt R {x, y} g*
proof (*rule notI*)
assume *$\exists g$. reachable-by-all-wrt R {x, y} g*
then obtain g where *reachable-by-all-wrt R {x, y} g ..*
then show *False*
unfolding *reachable-by-all-wrt-def*
using *assms(1) assms(2) assms(3) by blast*
qed

4 Uniqueness

lemma *Uniq-non-reachable-wrt:*

totalp-on X R $\implies$ $\exists_{\leq 1} x$. non-reachable-wrt R X x
by (*rule Uniq-I*) (*metis insert-Diff insert-iff non-reachable-wrt-def totalp-onD*)

lemma *Uniq-non-reaching-wrt:*

totalp-on X R $\implies$ $\exists_{\leq 1} x$. non-reaching-wrt R X x
by (*rule Uniq-I*) (*metis insert-Diff insert-iff non-reaching-wrt-def totalp-onD*)

lemma *Uniq-reaching-all-wrt:*

asymp-on X R $\implies$ $\exists_{\leq 1} x$. reaching-all-wrt R X x

by (*rule Uniq-I*)
 (*metis antisymp-onD antisymp-on-if-asymp-on insertE insert-Diff reaching-all-wrt-def*)

lemma *Uniq-reachable-by-all-wrt*:
 $\text{asymp-on } X \ R \implies \exists \leq_1 x. \text{ reachable-by-all-wrt } R \ X \ x$
by (*rule Uniq-I*)
 (*metis antisymp-onD antisymp-on-if-asymp-on insertE insert-Diff reachable-by-all-wrt-def*)

5 Existence of unique element

lemma *ex1-reaching-all-wrt*:
 $\text{transp-on } X \ R \implies \text{asymp-on } X \ R \implies \text{totalp-on } X \ R \implies \text{finite } X \implies X \neq \{\}$
 $\implies$
 $\exists! x. \text{ reaching-all-wrt } R \ X \ x$
using *ex1-iff-ex-Uniq ex-reaching-all-wrt Uniq-reaching-all-wrt by metis*

lemma *ex1-reachable-by-all-wrt*:
 $\text{transp-on } X \ R \implies \text{asymp-on } X \ R \implies \text{totalp-on } X \ R \implies \text{finite } X \implies X \neq \{\}$
 $\implies$
 $\exists! x. \text{ reachable-by-all-wrt } R \ X \ x$
using *ex1-iff-ex-Uniq ex-reachable-by-all-wrt Uniq-reachable-by-all-wrt by metis*

6 Transformations

lemma *non-reachable-wrt-insert-wrtI*:
assumes
 $\text{trans: transp-on } (\text{insert } y \ X) \ R \text{ and}$
 $\text{asym: asymp-on } (\text{insert } y \ X) \ R \text{ and}$
 $R \ y \ x \text{ and}$
 $x\text{-non-reachable: non-reachable-wrt } R \ X \ x$
shows $\text{non-reachable-wrt } R \ (\text{insert } y \ X) \ y$
proof –
from $x\text{-non-reachable}$ **have** $x\text{-in: } x \in X \text{ and } x\text{-min': } \forall y \in X - \{x\}. \neg R \ y \ x$
by (*simp-all add: non-reachable-wrt-iff*)

have $\neg R \ z \ y$ **if** $z \in \text{insert } y \ X - \{y\}$ **for** z
proof –
from *that* **have** $z \in X \text{ and } z \neq y$
by *simp-all*

show $\neg R \ z \ y$
proof (*cases* $z = x$)
case *True*
thus *?thesis*
using $\langle R \ y \ x \rangle \text{ asym } x\text{-in}$
by (*metis asymp-onD insertI1 insertI2*)
next
case *False*

```

    hence  $\neg R\ z\ x$ 
    using x-min'[rule-format, of z, simplified]  $\langle z \in X \rangle$  by metis
  then show ?thesis
    using  $\langle R\ y\ x \rangle$  trans  $\langle z \in X \rangle$  x-in
    by (meson insertCI transp-onD)
  qed
qed
thus ?thesis
  by (simp add: non-reachable-wrt-def)
qed

end
theory Min-Max-Least-Greatest-Set
  imports
    Relation-Reachability
begin

```

7 Minimal and maximal elements

If the binary relation is a strict partial order, then non-reachability corresponds to minimality and non-reaching correspond to maximality.

definition *is-minimal-in-set-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a\ \text{set} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on}\ X\ R \Longrightarrow \text{asyp-on}\ X\ R \Longrightarrow \text{is-minimal-in-set-wrt}\ R\ X = \text{non-reachable-wrt}\ R\ X$

definition *is-maximal-in-set-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a\ \text{set} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on}\ X\ R \Longrightarrow \text{asyp-on}\ X\ R \Longrightarrow \text{is-maximal-in-set-wrt}\ R\ X = \text{non-reaching-wrt}\ R\ X$

```

context
  fixes  $X\ R$ 
  assumes
    trans: transp-on  $X\ R$  and
    asym: asyp-on  $X\ R$ 
begin

```

7.1 Conversions

lemma *is-minimal-in-set-wrt-iff*:
 $\text{is-minimal-in-set-wrt}\ R\ X\ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow \neg R\ y\ x)$
 using *trans asym is-minimal-in-set-wrt-def*[*unfolded non-reachable-wrt-iff*] by *metis*

lemma *is-maximal-in-set-wrt-iff*:
 $\text{is-maximal-in-set-wrt}\ R\ X\ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow \neg R\ x\ y)$
 using *trans asym is-maximal-in-set-wrt-def*[*unfolded non-reaching-wrt-iff*] by *metis*

7.2 Existence

lemma *ex-minimal-in-set-wrt*:

finite $X \implies X \neq \{\}$ $\implies \exists x. \text{is-minimal-in-set-wrt } R \ X \ x$

using *trans asym ex-non-reachable-wrt is-minimal-in-set-wrt-def* **by** *metis*

lemma *ex-maximal-in-set-wrt*:

finite $X \implies X \neq \{\}$ $\implies \exists m. \text{is-maximal-in-set-wrt } R \ X \ m$

using *trans asym is-maximal-in-set-wrt-def ex-non-reaching-wrt* **by** *metis*

end

7.3 Miscellaneous

lemma *is-minimal-in-set-wrt-filter-iff*:

fixes $X \ R$

assumes *trans*: *transp-on* $\{y \in X. P \ y\} \ R$ **and** *asym*: *asympt-on* $\{y \in X. P \ y\}$

R

shows *is-minimal-in-set-wrt* $R \ \{y \in X. P \ y\} \ x \longleftrightarrow x \in X \wedge P \ x \wedge (\forall y \in X - \{x\}. P \ y \longrightarrow \neg R \ y \ x)$

proof $-$

have *is-minimal-in-set-wrt* $R \ \{y \in X. P \ y\} \ x \longleftrightarrow \text{non-reachable-wrt } R \ \{y \in X. P \ y\} \ x$

using *trans asym is-minimal-in-set-wrt-def* **by** *metis*

also have $\dots \longleftrightarrow x \in X \wedge P \ x \wedge (\forall y \in X - \{x\}. P \ y \longrightarrow \neg R \ y \ x)$

unfolding *non-reachable-wrt-filter-iff* **..**

finally show *?thesis* **.**

qed

lemma *is-minimal-in-set-wrt-insertI*:

assumes

trans: *transp-on* $(\text{insert } y \ X) \ R$ **and**

asym: *asympt-on* $(\text{insert } y \ X) \ R$ **and**

$R \ y \ x$ **and**

x-min: *is-minimal-in-set-wrt* $R \ X \ x$

shows *is-minimal-in-set-wrt* $R \ (\text{insert } y \ X) \ y$

by (*metis* *assms*(3) *asym asympt-on-subset is-minimal-in-set-wrt-def trans non-reachable-wrt-insert-wrtI subset-insertI transp-on-subset x-min*)

8 Least and greatest elements

If the binary relation is a strict total ordering, then an element reaching all others is a least element and an element reachable by all others is a greatest element.

definition *is-least-in-set-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{set} \Rightarrow 'a \Rightarrow \text{bool}$ **where**

transp-on $X \ R \implies \text{asympt-on } X \ R \implies \text{totalp-on } X \ R \implies$

is-least-in-set-wrt $R \ X = \text{reaching-all-wrt } R \ X$

definition *is-greatest-in-set-wrt* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) $\Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on } X \ R \Longrightarrow \text{asympt-on } X \ R \Longrightarrow \text{totalp-on } X \ R \Longrightarrow$
 $\text{is-greatest-in-set-wrt } R \ X = \text{reachable-by-all-wrt } R \ X$

context

fixes $X \ R$

assumes

$\text{trans: transp-on } X \ R$ **and**

$\text{asym: asympt-on } X \ R$ **and**

$\text{tot: totalp-on } X \ R$

begin

8.1 Conversions

lemma *is-least-in-set-wrt-iff*:

$\text{is-least-in-set-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow R \ x \ y)$

using $\text{trans asym tot is-least-in-set-wrt-def[unfolding reaching-all-wrt-iff]}$ **by** *metis*

lemma *is-greatest-in-set-wrt-iff*:

$\text{is-greatest-in-set-wrt } R \ X \ x \longleftrightarrow x \in X \wedge (\forall y \in X. y \neq x \longrightarrow R \ y \ x)$

using $\text{trans asym tot is-greatest-in-set-wrt-def[unfolding reachable-by-all-wrt-iff]}$

by *metis*

lemma *is-minimal-in-set-wrt-eq-is-least-in-set-wrt*:

$\text{is-minimal-in-set-wrt } R \ X = \text{is-least-in-set-wrt } R \ X$

using $\text{trans asym tot non-reachable-wrt-eq-reaching-all-wrt}$

$\text{is-minimal-in-set-wrt-def is-least-in-set-wrt-def}$

by *metis*

lemma *is-maximal-in-set-wrt-eq-is-greatest-in-set-wrt*:

$\text{is-maximal-in-set-wrt } R \ X = \text{is-greatest-in-set-wrt } R \ X$

using $\text{trans asym tot non-reaching-wrt-eq-reachable-by-all-wrt}$

$\text{is-maximal-in-set-wrt-def is-greatest-in-set-wrt-def}$

by *metis*

8.2 Uniqueness

lemma *Uniq-is-least-in-set-wrt*:

$\exists_{\leq 1} x. \text{is-least-in-set-wrt } R \ X \ x$

using $\text{trans asym tot is-least-in-set-wrt-def Uniq-reaching-all-wrt}$ **by** *metis*

lemma *Uniq-is-greatest-in-set-wrt*:

$\exists_{\leq 1} x. \text{is-greatest-in-set-wrt } R \ X \ x$

using $\text{trans asym tot is-greatest-in-set-wrt-def Uniq-reachable-by-all-wrt}$ **by** *metis*

8.3 Existence

lemma *ex-least-in-set-wrt*:

$\text{finite } X \Longrightarrow X \neq \{\} \Longrightarrow \exists x. \text{is-least-in-set-wrt } R \ X \ x$

using $\text{trans asym tot is-least-in-set-wrt-def ex-reaching-all-wrt}$ **by** *metis*

lemma *ex-greatest-in-set-wrt*:
 $\text{finite } X \implies X \neq \{\} \implies \exists x. \text{is-greatest-in-set-wrt } R \ X \ x$
using *trans asym tot is-greatest-in-set-wrt-def ex-reachable-by-all-wrt* **by** *metis*

lemma *ex1-least-in-set-wrt*:
 $\text{finite } X \implies X \neq \{\} \implies \exists!x. \text{is-least-in-set-wrt } R \ X \ x$
using *Uniq-is-least-in-set-wrt ex-least-in-set-wrt* **by** (*metis Uniq-def*)

lemma *ex1-greatest-in-set-wrt*:
 $\text{finite } X \implies X \neq \{\} \implies \exists!x. \text{is-greatest-in-set-wrt } R \ X \ x$
using *Uniq-is-greatest-in-set-wrt ex-greatest-in-set-wrt* **by** (*metis Uniq-def*)

end

9 Hide stuff

We restrict the public interface to ease future internal changes.

hide-fact *is-minimal-in-set-wrt-def is-maximal-in-set-wrt-def*
hide-fact *is-least-in-set-wrt-def is-greatest-in-set-wrt-def*

10 Integration in type classes

abbreviation (**in** *order*) *is-minimal-in-set* **where**
 $\text{is-minimal-in-set} \equiv \text{is-minimal-in-set-wrt } (<)$

abbreviation (**in** *order*) *is-maximal-in-set* **where**
 $\text{is-maximal-in-set} \equiv \text{is-maximal-in-set-wrt } (<)$

lemmas (**in** *order*) *is-minimal-in-set-iff* =
 $\text{is-minimal-in-set-wrt-iff}[OF \ \text{transp-on-less asymp-on-less}]$

lemmas (**in** *order*) *is-maximal-in-set-iff* =
 $\text{is-maximal-in-set-wrt-iff}[OF \ \text{transp-on-less asymp-on-less}]$

lemmas (**in** *order*) *ex-minimal-in-set* =
 $\text{ex-minimal-in-set-wrt}[OF \ \text{transp-on-less asymp-on-less}]$

lemmas (**in** *order*) *ex-maximal-in-set* =
 $\text{ex-maximal-in-set-wrt}[OF \ \text{transp-on-less asymp-on-less}]$

lemmas (**in** *order*) *is-minimal-in-set-filter-iff* =
 $\text{is-minimal-in-set-wrt-filter-iff}[OF \ \text{transp-on-less asymp-on-less}]$

abbreviation (**in** *linorder*) *is-least-in-set* **where**
 $\text{is-least-in-set} \equiv \text{is-least-in-set-wrt } (<)$

abbreviation (in *linorder*) *is-greatest-in-set* **where**
is-greatest-in-set $\equiv$ *is-greatest-in-set-wrt* ($<$)

lemmas (in *linorder*) *is-least-in-set-iff* =
is-least-in-set-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-greatest-in-set-iff* =
is-greatest-in-set-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-minimal-in-set-eq-is-least-in-set* =
is-minimal-in-set-wrt-eq-is-least-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-maximal-in-set-eq-is-greatest-in-set* =
is-maximal-in-set-wrt-eq-is-greatest-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *Uniq-is-least-in-set*[intro] =
Uniq-is-least-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *Uniq-is-greatest-in-set*[intro] =
Uniq-is-greatest-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *ex-least-in-set* =
ex-least-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *ex-greatest-in-set* =
ex-greatest-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *ex1-least-in-set* =
ex1-least-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *ex1-greatest-in-set* =
ex1-greatest-in-set-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

end
theory *Min-Max-Least-Greatest-FSet*
imports
Min-Max-Least-Greatest-Set
HOL-Library.FSet
begin

11 Minimal and maximal elements

definition *is-minimal-in-fset-wrt* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) $\Rightarrow 'a \text{ fset} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on } (\text{fset } X) \ R \Longrightarrow \text{asymp-on } (\text{fset } X) \ R \Longrightarrow$
 $\text{is-minimal-in-fset-wrt } R \ X = \text{is-minimal-in-set-wrt } R \ (\text{fset } X)$

definition *is-maximal-in-fset-wrt* :: ('a $\Rightarrow$ 'a $\Rightarrow$ bool) $\Rightarrow$ 'a fset $\Rightarrow$ 'a $\Rightarrow$ bool
where

transp-on (fset X) R $\Longrightarrow$ *asympt-on* (fset X) R $\Longrightarrow$
is-maximal-in-fset-wrt R X = *is-maximal-in-set-wrt* R (fset X)

context

fixes X R

assumes

trans: *transp-on* (fset X) R **and**

asym: *asympt-on* (fset X) R

begin

11.1 Conversions

lemma *is-minimal-in-fset-wrt-iff*:

is-minimal-in-fset-wrt R X x $\longleftrightarrow$ x | $\in$ | X $\wedge$ fBall X ($\lambda y. y \neq x \longrightarrow \neg R y x$)

using *is-minimal-in-set-wrt-iff*[OF *trans asym*]

using *is-minimal-in-fset-wrt-def*[OF *trans asym*]

by *simp*

lemma *is-maximal-in-fset-wrt-iff*:

is-maximal-in-fset-wrt R X x $\longleftrightarrow$ x | $\in$ | X $\wedge$ fBall X ($\lambda y. y \neq x \longrightarrow \neg R x y$)

using *is-maximal-in-set-wrt-iff*[OF *trans asym*]

using *is-maximal-in-fset-wrt-def*[OF *trans asym*]

by *simp*

11.2 Existence

lemma *ex-minimal-in-fset-wrt*:

X $\neq \{\mid\}$ $\Longrightarrow \exists m. is-minimal-in-fset-wrt R X m$

using *trans asym ex-minimal-in-set-wrt*[of fset X R] *is-minimal-in-fset-wrt-def*

by (*metis all-not-fin-conv empty-iff finite-fset*)

lemma *ex-maximal-in-fset-wrt*:

X $\neq \{\mid\}$ $\Longrightarrow \exists m. is-maximal-in-fset-wrt R X m$

using *trans asym ex-maximal-in-set-wrt*[of fset X R] *is-maximal-in-fset-wrt-def*

by (*metis all-not-fin-conv empty-iff finite-fset*)

end

11.3 Non-existence

lemma *not-is-minimal-in-fset-wrt-fempty*[*simp*]: $\bigwedge R x. \neg is-minimal-in-fset-wrt R \{\mid\} x$

using *is-minimal-in-fset-wrt-iff*[of $\{\mid\}$]

by (*simp add: transp-on-def asympt-on-def*)

lemma *not-is-maximal-in-fset-wrt-fempty*[*simp*]: $\bigwedge R x. \neg is-maximal-in-fset-wrt R \{\mid\} x$

using *is-maximal-in-fset-wrt-iff*[of $\{\mid\}$]

by (simp add: transp-on-def asymp-on-def)

11.4 Miscellaneous

lemma *is-minimal-in-fset-wrt-ffilter-iff*:

assumes

tran: transp-on (fset (ffilter P X)) R **and**

asym: asymp-on (fset (ffilter P X)) R

shows *is-minimal-in-fset-wrt R (ffilter P X) x* $\longleftrightarrow$

$(x \in X \wedge P x \wedge \text{fBall } (X - \{x\}) (\lambda y. P y \longrightarrow \neg R y x))$

proof –

have *is-minimal-in-fset-wrt R (ffilter P X) x* $\longleftrightarrow$ *is-minimal-in-set-wrt R* ($\{y \in \text{fset } X. P y\}$) x

using *is-minimal-in-fset-wrt-iff* [OF *tran asym*]

using *is-minimal-in-set-wrt-iff* [OF *tran asym*]

by (simp only: ffilter.rep-eq Set.filter-def)

also have $\dots \longleftrightarrow x \in X \wedge P x \wedge (\forall y \in \text{fset } X - \{x\}. P y \longrightarrow \neg R y x)$

proof (rule *is-minimal-in-set-wrt-filter-iff*)

show transp-on $\{y. y \in X \wedge P y\}$ R

using *tran ffilter.rep-eq Set.filter-def* **by** metis

next

show asymp-on $\{y. y \in X \wedge P y\}$ R

using *asym ffilter.rep-eq Set.filter-def* **by** metis

qed

finally show ?thesis

by simp

qed

lemma *is-minimal-in-fset-wrt-finsertI*:

assumes *trans*: transp-on (fset (finsert y X)) R **and** *asym*: asymp-on (fset (finsert y X)) R

shows $R y x \implies \text{is-minimal-in-fset-wrt } R X x \implies \text{is-minimal-in-fset-wrt } R (\text{finsert } y X) y$

using *trans asym is-minimal-in-set-wrt-insertI* [of - fset -, folded fset-simps]

by (smt (verit) asymp-on-def finsertCI finsertE is-minimal-in-fset-wrt-iff transp-on-def)

12 Least and greatest elements

definition *is-least-in-fset-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ fset} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on } (\text{fset } X) R \implies \text{asymp-on } (\text{fset } X) R \implies \text{totalp-on } (\text{fset } X) R \implies$
 $\text{is-least-in-fset-wrt } R X = \text{is-least-in-set-wrt } R (\text{fset } X)$

definition *is-greatest-in-fset-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ fset} \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{transp-on } (\text{fset } X) R \implies \text{asymp-on } (\text{fset } X) R \implies \text{totalp-on } (\text{fset } X) R \implies$
 $\text{is-greatest-in-fset-wrt } R X = \text{is-greatest-in-set-wrt } R (\text{fset } X)$

context

fixes X R

assumes

trans: *transp-on* (*fset* *X*) *R* **and**
asym: *asym-on* (*fset* *X*) *R* **and**
tot: *totalp-on* (*fset* *X*) *R*
begin

12.1 Conversions

lemma *is-least-in-fset-wrt-iff*:
is-least-in-fset-wrt *R* *X* $x \longleftrightarrow x \mid \in X \wedge fBall\ X\ (\lambda y. y \neq x \longrightarrow R\ x\ y)$
using *is-least-in-set-wrt-iff*[*OF trans asym tot*]
using *is-least-in-fset-wrt-def*[*OF trans asym tot*]
by *simp*

lemma *is-greatest-in-fset-wrt-iff*:
is-greatest-in-fset-wrt *R* *X* $x \longleftrightarrow x \mid \in X \wedge fBall\ X\ (\lambda y. y \neq x \longrightarrow R\ y\ x)$
using *is-greatest-in-set-wrt-iff*[*OF trans asym tot*]
using *is-greatest-in-fset-wrt-def*[*OF trans asym tot*]
by *simp*

lemma *is-minimal-in-fset-wrt-eq-is-least-in-fset-wrt*:
is-minimal-in-fset-wrt *R* *X* = *is-least-in-fset-wrt* *R* *X*
using *trans asym tot is-minimal-in-set-wrt-eq-is-least-in-set-wrt*
by (*metis is-least-in-fset-wrt-def is-minimal-in-fset-wrt-def*)

lemma *is-maximal-in-fset-wrt-eq-is-greatest-in-fset-wrt*:
is-maximal-in-fset-wrt *R* *X* = *is-greatest-in-fset-wrt* *R* *X*
using *trans asym tot is-maximal-in-set-wrt-eq-is-greatest-in-set-wrt*
by (*metis is-greatest-in-fset-wrt-def is-maximal-in-fset-wrt-def*)

12.2 Uniqueness

lemma *Uniq-is-least-in-fset-wrt*[*intro*]:
 $\exists_{\leq 1} x. is-least-in-fset-wrt\ R\ X\ x$
using *trans asym tot Uniq-is-least-in-set-wrt*
by (*metis is-least-in-fset-wrt-def*)

lemma *Uniq-is-greatest-in-fset-wrt*[*intro*]:
 $\exists_{\leq 1} x. is-greatest-in-fset-wrt\ R\ X\ x$
using *trans asym tot Uniq-is-greatest-in-set-wrt*
by (*metis is-greatest-in-fset-wrt-def*)

12.3 Existence

lemma *ex-least-in-fset-wrt*:
 $X \neq \{\mid\} \implies \exists x. is-least-in-fset-wrt\ R\ X\ x$
using *trans asym tot ex-least-in-set-wrt*
by (*metis bot-fset.rep-eq finite-fset fset-cong is-least-in-fset-wrt-def*)

lemma *ex-greatest-in-fset-wrt*:
 $X \neq \{\mid\} \implies \exists x. is-greatest-in-fset-wrt\ R\ X\ x$

using *trans asym tot ex-greatest-in-set-wrt*
by (*metis bot-fset.rep-eq finite-fset fset-cong is-greatest-in-fset-wrt-def*)

lemma *ex1-least-in-fset-wrt*:
 $X \neq \{\mid\} \implies \exists!x. \text{is-least-in-fset-wrt } R \ X \ x$
using *Uniq-is-least-in-fset-wrt ex-least-in-fset-wrt*
by (*metis Uniq-def*)

lemma *ex1-greatest-in-fset-wrt*:
 $X \neq \{\mid\} \implies \exists!x. \text{is-greatest-in-fset-wrt } R \ X \ x$
using *Uniq-is-greatest-in-fset-wrt ex-greatest-in-fset-wrt*
by (*metis Uniq-def*)

end

12.4 Nonexistence

lemma *not-is-least-in-fset-wrt-fempty[simp]*: $\bigwedge R \ x. \neg \text{is-least-in-fset-wrt } R \ \{\mid\} \ x$
using *is-least-in-fset-wrt-iff[of \{\mid\}]*
by (*simp add: transp-on-def asymp-on-def*)

lemma *not-is-greatest-in-fset-wrt-fempty[simp]*: $\bigwedge R \ x. \neg \text{is-greatest-in-fset-wrt } R \ \{\mid\} \ x$
using *is-greatest-in-fset-wrt-iff[of \{\mid\}]*
by (*simp add: transp-on-def asymp-on-def*)

12.5 Miscellaneous

lemma *is-least-in-ffilter-wrt-iff*:
assumes
trans: transp-on (fset (ffilter P X)) R and
asym: asymp-on (fset (ffilter P X)) R and
tot: totalp-on (fset (ffilter P X)) R
shows *is-least-in-fset-wrt R (ffilter P X) x $\longleftrightarrow$*
(x | $\in$ | X $\wedge$ P x $\wedge$ fBall X ($\lambda y. y \neq x \longrightarrow P y \longrightarrow R x y$))
unfolding *is-least-in-fset-wrt-iff[OF trans asym tot] by auto*

lemma *is-least-in-ffilter-wrt-swap-predicate*:
assumes
trans: transp-on (fset X) R and
asym: asymp-on (fset X) R and
tot: totalp-on (fset X) R
assumes
y-least: is-least-in-fset-wrt R (ffilter P X) y and
same-on-prefix: $\bigwedge x. x | $\in$ | X \implies R^== x y \implies P x \longleftrightarrow Q x$
shows *is-least-in-fset-wrt R (ffilter Q X) y*
proof –
have $\bigwedge P. \text{fset } (\text{ffilter } P \ X) \subseteq \text{fset } X$
by *simp*

hence
linorder-wrt-P:
 $\text{transp-on } (fset \ (ffilter \ P \ X)) \ R$
 $\text{asympt-on } (fset \ (ffilter \ P \ X)) \ R$
 $\text{totalp-on } (fset \ (ffilter \ P \ X)) \ R$ **and**
linorder-wrt-Q:
 $\text{transp-on } (fset \ (ffilter \ Q \ X)) \ R$
 $\text{asympt-on } (fset \ (ffilter \ Q \ X)) \ R$
 $\text{totalp-on } (fset \ (ffilter \ Q \ X)) \ R$
unfolding *atomize-conj*
using *trans asym tot by (metis transp-on-subset asympt-on-subset totalp-on-subset)*

have $y \in X$ **and** $P \ y$ **and** $y \text{-lt}$: $\forall z \in X. z \neq y \longrightarrow P \ z \longrightarrow R \ y \ z$
using *y-least unfolding is-least-in-ffilter-wrt-iff[OF linorder-wrt-P]* **by** *argo+*

show *?thesis*
unfolding *is-least-in-ffilter-wrt-iff[OF linorder-wrt-Q]*
proof (*intro conjI ballI impI*)

show $y \in X$
using $\langle y \in X \rangle$.

show $Q \ y$
using *same-on-prefix[of y] $\langle y \in X \rangle \langle P \ y \rangle$* **by** *simp*

fix z
assume $z \in X$ **and** $z \neq y$ **and** $Q \ z$
then show $R \ y \ z$
using *y-lt[rule-format, of z]*
using *same-on-prefix[of z]*
by (*metis $\langle y \in X \rangle \text{sup2I1 tot totalp-onD}$*)
qed
qed

lemma *ex-is-least-in-ffilter-wrt-iff*:
assumes
 $\text{trans: transp-on } (fset \ (ffilter \ P \ X)) \ R$ **and**
 $\text{asym: asympt-on } (fset \ (ffilter \ P \ X)) \ R$ **and**
 $\text{tot: totalp-on } (fset \ (ffilter \ P \ X)) \ R$
shows $(\exists x. \text{is-least-in-fset-wrt } R \ (ffilter \ P \ X) \ x) \longleftrightarrow (\exists x \in X. P \ x)$
by (*metis asym bot-fset.rep-eq empty-iff ex-least-in-fset-wrt ffilter-member-filter is-least-in-fset-wrt-iff local.trans tot*)

13 Hide stuff

We restrict the public interface to ease future internal changes.

hide-fact *is-minimal-in-fset-wrt-def is-maximal-in-fset-wrt-def*

hide-fact *is-least-in-fset-wrt-def is-greatest-in-fset-wrt-def*

14 Integration in type classes

abbreviation (in *order*) *is-minimal-in-fset* **where**
is-minimal-in-fset $\equiv$ *is-minimal-in-fset-wrt* ($<$)

abbreviation (in *order*) *is-maximal-in-fset* **where**
is-maximal-in-fset $\equiv$ *is-maximal-in-fset-wrt* ($<$)

lemmas (in *order*) *is-minimal-in-fset-iff* =
is-minimal-in-fset-wrt-iff[*OF transp-on-less asymp-on-less*]

lemmas (in *order*) *is-maximal-in-fset-iff* =
is-maximal-in-fset-wrt-iff[*OF transp-on-less asymp-on-less*]

lemmas (in *order*) *ex-minimal-in-fset* =
ex-minimal-in-fset-wrt[*OF transp-on-less asymp-on-less*]

lemmas (in *order*) *ex-maximal-in-fset* =
ex-maximal-in-fset-wrt[*OF transp-on-less asymp-on-less*]

lemmas (in *order*) *is-minimal-in-fset-ffilter-iff* =
is-minimal-in-fset-wrt-ffilter-iff[*OF transp-on-less asymp-on-less*]

lemmas (in *order*) *is-minimal-in-fset-finsertI* =
is-minimal-in-fset-wrt-finsertI[*OF transp-on-less asymp-on-less*]

abbreviation (in *linorder*) *is-least-in-fset* **where**
is-least-in-fset $\equiv$ *is-least-in-fset-wrt* ($<$)

abbreviation (in *linorder*) *is-greatest-in-fset* **where**
is-greatest-in-fset $\equiv$ *is-greatest-in-fset-wrt* ($<$)

lemmas (in *linorder*) *is-least-in-fset-iff* =
is-least-in-fset-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-greatest-in-fset-iff* =
is-greatest-in-fset-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-minimal-in-fset-eq-is-least-in-fset* =
is-minimal-in-fset-wrt-eq-is-least-in-fset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *is-maximal-in-fset-eq-is-greatest-in-fset* =
is-maximal-in-fset-wrt-eq-is-greatest-in-fset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (in *linorder*) *Uniq-is-least-in-fset*[*intro*] =
Uniq-is-least-in-fset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

```

lemmas (in linorder) Uniq-is-greatest-in-fset[intro] =
  Uniq-is-greatest-in-fset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) ex-least-in-fset =
  ex-least-in-fset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) ex-greatest-in-fset =
  ex-greatest-in-fset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) ex1-least-in-fset =
  ex1-least-in-fset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) ex1-greatest-in-fset =
  ex1-greatest-in-fset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) is-least-in-ffilter-iff =
  is-least-in-ffilter-wrt-iff[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) ex-is-least-in-ffilter-iff =
  ex-is-least-in-ffilter-wrt-iff[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) is-least-in-ffilter-swap-predicate =
  is-least-in-ffilter-wrt-swap-predicate[OF transp-on-less asymp-on-less totalp-on-less]

end
theory Min-Max-Least-Greatest-Multiset
imports
  Relation-Reachability
  Min-Max-Least-Greatest-Set
  HOL-Library.Multiset
  HOL-Library.Multiset-Order
begin

```

15 Minimal and maximal elements

definition *is-minimal-in-mset-wrt* :: (*'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool*) $\Rightarrow$ *'a multiset* $\Rightarrow$ *'a* $\Rightarrow$ *bool*
where

```

  transp-on (set-mset X) R  $\Longrightarrow$  asymp-on (set-mset X) R  $\Longrightarrow$ 
    is-minimal-in-mset-wrt R X = is-minimal-in-set-wrt R (set-mset X)

```

definition *is-maximal-in-mset-wrt* :: (*'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool*) $\Rightarrow$ *'a multiset* $\Rightarrow$ *'a* $\Rightarrow$ *bool*
where

```

  transp-on (set-mset X) R  $\Longrightarrow$  asymp-on (set-mset X) R  $\Longrightarrow$ 
    is-maximal-in-mset-wrt R X = is-maximal-in-set-wrt R (set-mset X)

```

definition *is-strictly-minimal-in-mset-wrt* :: (*'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool*) $\Rightarrow$ *'a multiset* $\Rightarrow$ *'a* $\Rightarrow$ *bool* **where**

```

  transp-on (set-mset X) R  $\Longrightarrow$  asymp-on (set-mset X) R  $\Longrightarrow$ 

```

is-strictly-minimal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\# x \# \}. \neg (R = y\ x))$

definition *is-strictly-maximal-in-mset-wrt* :: ('a $\Rightarrow$ 'a $\Rightarrow$ bool) $\Rightarrow$ 'a multiset $\Rightarrow$ 'a $\Rightarrow$ bool **where**

transp-on (set-mset X) $R \Longrightarrow$ *asympt-on* (set-mset X) $R \Longrightarrow$
is-strictly-maximal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\# x \# \}. \neg (R = x\ y))$

context

fixes $X\ R$

assumes

trans: *transp-on* (set-mset X) R **and**

asym: *asympt-on* (set-mset X) R

begin

15.1 Conversions

lemma *is-minimal-in-mset-wrt-iff*:

is-minimal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X. y \neq x \longrightarrow \neg R\ y\ x)$

using *is-minimal-in-set-wrt-iff*[OF *trans asym*]

using *is-minimal-in-mset-wrt-def*[OF *trans asym*]

by *simp*

lemma *is-minimal-in-mset-wrt* $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X. \neg R\ y\ x)$

unfolding *is-minimal-in-mset-wrt-iff*

proof (rule *refl-conj-eq*, rule *ball-cong*)

show *set-mset* $X =$ *set-mset* X ..

next

show $\bigwedge y. y \in \# X \Longrightarrow (y \neq x \longrightarrow \neg R\ y\ x) = (\neg R\ y\ x)$

using *asym*[THEN *asympt-onD*] **by** *metis*

qed

lemma *is-maximal-in-mset-wrt-iff*:

is-maximal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X. y \neq x \longrightarrow \neg R\ x\ y)$

using *is-maximal-in-set-wrt-iff*[OF *trans asym*]

using *is-maximal-in-mset-wrt-def*[OF *trans asym*]

by *simp*

lemma *is-maximal-in-mset-wrt* $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X. \neg R\ x\ y)$

unfolding *is-maximal-in-mset-wrt-iff*

proof (rule *refl-conj-eq*, rule *ball-cong*)

show *set-mset* $X =$ *set-mset* X ..

next

show $\bigwedge y. y \in \# X \Longrightarrow (y \neq x \longrightarrow \neg R\ x\ y) = (\neg R\ x\ y)$

using *asym*[THEN *asympt-onD*] **by** *metis*

qed

lemma *is-strictly-minimal-in-mset-wrt-iff*:

is-strictly-minimal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\# x \# \}. \neg R^{==} y\ x)$
unfolding *is-strictly-minimal-in-mset-wrt-def*[*OF trans asym*]
by(*rule refl*)

lemma *is-strictly-maximal-in-mset-wrt-iff*:
is-strictly-maximal-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\# x \# \}. \neg R^{==} x\ y)$
unfolding *is-strictly-maximal-in-mset-wrt-def*[*OF trans asym*]
by(*rule refl*)

lemma *is-minimal-in-mset-wrt-if-is-strictly-minimal-in-mset-wrt*:
is-strictly-minimal-in-mset-wrt $R\ X\ x \implies is-minimal-in-mset-wrt\ R\ X\ x$
unfolding *is-minimal-in-mset-wrt-iff is-strictly-minimal-in-mset-wrt-iff*
using *multi-member-split* **by** *fastforce*

lemma *is-maximal-in-mset-wrt-if-is-strictly-maximal-in-mset-wrt*:
is-strictly-maximal-in-mset-wrt $R\ X\ x \implies is-maximal-in-mset-wrt\ R\ X\ x$
unfolding *is-maximal-in-mset-wrt-iff is-strictly-maximal-in-mset-wrt-iff*
using *multi-member-split* **by** *fastforce*

15.2 Existence

lemma *ex-minimal-in-mset-wrt*:
 $X \neq \{\#\} \implies \exists m. is-minimal-in-mset-wrt\ R\ X\ m$
using *trans asym ex-minimal-in-set-wrt[of set-mset X R]* *is-minimal-in-mset-wrt-def*
by (*metis finite-set-mset set-mset-eq-empty-iff*)

lemma *ex-maximal-in-mset-wrt*:
 $X \neq \{\#\} \implies \exists m. is-maximal-in-mset-wrt\ R\ X\ m$
using *trans asym ex-maximal-in-set-wrt[of set-mset X R]* *is-maximal-in-mset-wrt-def*
by (*metis finite-set-mset set-mset-eq-empty-iff*)

15.3 Miscellaneous

lemma *explode-maximal-in-mset-wrt*:
assumes *max*: *is-maximal-in-mset-wrt* $R\ X\ x$
obtains $n :: nat$ **where** *replicate-mset* (*Suc n*) $x + \{\#y \in \# X. y \neq x\# \} = X$
using *max[unfolded is-maximal-in-mset-wrt-iff]*
by (*metis filter-eq-replicate-mset in-countE multiset-partition*)

lemma *explode-strictly-maximal-in-mset-wrt*:
assumes *max*: *is-strictly-maximal-in-mset-wrt* $R\ X\ x$
shows *add-mset* $x\ \{\#y \in \# X. y \neq x\# \} = X$
proof –
have $x \in \# X$ **and** $\forall y \in \# X - \{\#x\# \}. x \neq y$
using *max unfolding is-strictly-maximal-in-mset-wrt-iff* **by** *simp-all*
have *add-mset* $x\ (X - \{\#x\# \}) = X$
using $\langle x \in \# X \rangle$ **by** (*metis insert-DiffM*)

```

moreover have  $\{\#y \in \# X. y \neq x\# \} = X - \{\#x\# \}$ 
using  $\langle \forall y \in \# X - \{\#x\# \}. x \neq y \rangle$ 
by (smt (verit, best)  $\langle x \in \# X \rangle$  add-diff-cancel-left' diff-subset-eq-self filter-mset-eq-conv
    insert-DiffM2 set-mset-add-mset-insert set-mset-empty singletonD)

ultimately show ?thesis
by (simp only:)
qed

end

lemma is-minimal-in-filter-mset-wrt-iff:
assumes
  tran: transp-on (set-mset (filter-mset P X)) R and
  asym: asympt-on (set-mset (filter-mset P X)) R
shows is-minimal-in-mset-wrt R (filter-mset P X)  $x \longleftrightarrow$ 
  ( $x \in \# X \wedge P x \wedge (\forall y \in \# X - \{\#x\# \}. P y \longrightarrow \neg R y x)$ )
proof -
have is-minimal-in-mset-wrt R (filter-mset P X)  $x \longleftrightarrow$ 
  is-minimal-in-set-wrt R ( $\{y \in \text{set-mset } X. P y\}$ ) x
using is-minimal-in-mset-wrt-iff[OF tran asym]
using is-minimal-in-set-wrt-iff[OF tran asym]
by auto
also have  $\dots \longleftrightarrow x \in \# X \wedge P x \wedge (\forall y \in \text{set-mset } X - \{x\}. P y \longrightarrow \neg R y x)$ 
proof (rule is-minimal-in-set-wrt-filter-iff)
  show transp-on  $\{y. y \in \# X \wedge P y\}$  R
    using tran by simp
next
  show asympt-on  $\{y. y \in \# X \wedge P y\}$  R
    using asym by simp
qed
finally show ?thesis
by (metis (no-types, lifting) DiffD1 asym asympt-onD at-most-one-mset-mset-diff
insertE
  insert-Diff is-minimal-in-mset-wrt-iff more-than-one-mset-mset-diff tran)
qed

lemma multp-if-maximal-of-lhs-is-less:
assumes
  trans: transp R and
  asym: asympt-on (set-mset M1) R and
  tot: totalp-on (set-mset M1  $\cup$  set-mset M2) R and
   $x1 \in \# M1$  and  $x2 \in \# M2$  and
  is-maximal-in-mset-wrt R M1 x1 and R x1 x2
shows multp R M1 M2
proof (rule one-step-implies-multp[of - - - {#}, simplified])
show  $M2 \neq \{\#\}$ 
  using  $\langle x2 \in \# M2 \rangle$  by auto

```

```

next
  show  $\forall k \in \#M1. \exists j \in \#M2. R\ k\ j$ 
  using assms
  using is-maximal-in-mset-wrt-iff[OF transp-on-subset[OF trans subset-UNIV]]
  using asym]
  by (metis Un-iff totalp-onD transpE)
qed

```

15.4 Nonuniqueness

lemma

fixes $x :: 'a$ **and** $y :: 'a$

assumes $x \neq y$

shows

not-Uniq-is-minimal-in-mset-if-two-distinct-elements:

$\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$
 $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asym-on } (\text{set-mset } X) R \longrightarrow$
 $(\exists \leq_1 x. \text{is-minimal-in-mset-wrt } R\ X\ x))$ **and**

not-Uniq-is-maximal-in-mset-wrt-if-two-distinct-elements:

$\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$
 $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asym-on } (\text{set-mset } X) R \longrightarrow$
 $(\exists \leq_1 x. \text{is-maximal-in-mset-wrt } R\ X\ x))$ **and**

not-Uniq-is-strictly-minimal-in-mset-if-two-distinct-elements:

$\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$
 $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asym-on } (\text{set-mset } X) R \longrightarrow$
 $(\exists \leq_1 x. \text{is-strictly-minimal-in-mset-wrt } R\ X\ x))$ **and**

not-Uniq-is-strictly-maximal-in-mset-wrt-if-two-distinct-elements:

$\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$
 $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asym-on } (\text{set-mset } X) R \longrightarrow$
 $(\exists \leq_1 x. \text{is-strictly-maximal-in-mset-wrt } R\ X\ x))$

proof –

let $?R = \lambda - . \text{False}$

let $?X = \{\#x, y\}$

have *trans*: $\text{transp-on } (\text{set-mset } ?X) ?R$ **and** *asym*: $\text{asym-on } (\text{set-mset } ?X) ?R$
by (*simp-all add: transp-onI asym-onI*)

have *is-minimal-in-mset-wrt* $?R\ ?X\ x$ **and** *is-minimal-in-mset-wrt* $?R\ ?X\ y$
using *is-minimal-in-mset-wrt-iff*[*OF trans asym*] **by** *simp-all*

thus $\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$
 $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asym-on } (\text{set-mset } X) R \longrightarrow$
 $(\exists \leq_1 x. \text{is-minimal-in-mset-wrt } R\ X\ x))$
using $\langle x \neq y \rangle$ *trans asym*
by (*metis Uniq-D*)

have *is-maximal-in-mset-wrt* $?R\ ?X\ x$ **and** *is-maximal-in-mset-wrt* $?R\ ?X\ y$
using *is-maximal-in-mset-wrt-iff*[*OF trans asym*] **by** *simp-all*

```

thus  $\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$ 
   $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asympt-on } (\text{set-mset } X) R \longrightarrow$ 
   $(\exists_{\leq 1} x. \text{is-maximal-in-mset-wrt } R X x))$ 
using  $\langle x \neq y \rangle \text{ trans asym}$ 
by  $(\text{metis Uniq-D})$ 

have is-strictly-minimal-in-mset-wrt ?R ?X x and is-strictly-minimal-in-mset-wrt
  ?R ?X y
using  $\langle x \neq y \rangle \text{ is-strictly-minimal-in-mset-wrt-iff}[OF \text{ trans asym}]$  by simp-all

thus  $\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$ 
   $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asympt-on } (\text{set-mset } X) R \longrightarrow$ 
   $(\exists_{\leq 1} x. \text{is-strictly-minimal-in-mset-wrt } R X x))$ 
using  $\langle x \neq y \rangle \text{ trans asym}$ 
by  $(\text{metis Uniq-D})$ 

have is-strictly-maximal-in-mset-wrt ?R ?X x and is-strictly-maximal-in-mset-wrt
  ?R ?X y
using  $\langle x \neq y \rangle \text{ is-strictly-maximal-in-mset-wrt-iff}[OF \text{ trans asym}]$  by simp-all

thus  $\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}) (X :: 'a \text{ multiset}).$ 
   $\text{transp-on } (\text{set-mset } X) R \longrightarrow \text{asympt-on } (\text{set-mset } X) R \longrightarrow$ 
   $(\exists_{\leq 1} x. \text{is-strictly-maximal-in-mset-wrt } R X x))$ 
using  $\langle x \neq y \rangle \text{ trans asym}$ 
by  $(\text{metis Uniq-D})$ 
qed

```

16 Least and greatest elements

definition *is-least-in-mset-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \Rightarrow \text{bool}$
where
 $\text{transp-on } (\text{set-mset } X) R \Longrightarrow \text{asympt-on } (\text{set-mset } X) R \Longrightarrow \text{totalp-on } (\text{set-mset } X) R \Longrightarrow$
 $\text{is-least-in-mset-wrt } R X x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R x y)$

definition *is-greatest-in-mset-wrt* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \Rightarrow \text{bool}$
where
 $\text{transp-on } (\text{set-mset } X) R \Longrightarrow \text{asympt-on } (\text{set-mset } X) R \Longrightarrow \text{totalp-on } (\text{set-mset } X) R \Longrightarrow$
 $\text{is-greatest-in-mset-wrt } R X x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R y x)$

context
fixes $X R$
assumes
 $\text{trans: transp-on } (\text{set-mset } X) R$ **and**
 $\text{asym: asympt-on } (\text{set-mset } X) R$ **and**
 $\text{tot: totalp-on } (\text{set-mset } X) R$
begin

16.1 Conversions

lemma *is-least-in-mset-wrt-iff*:

is-least-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ x\ y)$
using *is-least-in-mset-wrt-def*[*OF trans asym tot*] .

lemma *is-greatest-in-mset-wrt-iff*:

is-greatest-in-mset-wrt $R\ X\ x \longleftrightarrow x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ y\ x)$
using *is-greatest-in-mset-wrt-def*[*OF trans asym tot*] .

lemma *is-minimal-in-mset-wrt-if-is-least-in-mset-wrt*[*intro*]:

is-least-in-mset-wrt $R\ X\ x \implies is-minimal-in-mset-wrt\ R\ X\ x$

unfolding *is-minimal-in-mset-wrt-iff*[*OF trans asym*]

unfolding *is-least-in-mset-wrt-def*[*OF trans asym tot*]

by (*metis add-mset-remove-trivial-eq asym asymp-onD insert-noteq-member*)

lemma *is-maximal-in-mset-wrt-if-is-greatest-in-mset-wrt*[*intro*]:

is-greatest-in-mset-wrt $R\ X\ x \implies is-maximal-in-mset-wrt\ R\ X\ x$

unfolding *is-maximal-in-mset-wrt-iff*[*OF trans asym*]

unfolding *is-greatest-in-mset-wrt-def*[*OF trans asym tot*]

by (*metis add-mset-remove-trivial-eq asym asymp-onD insert-noteq-member*)

lemma *is-strictly-minimal-in-mset-wrt-iff-is-least-in-mset-wrt*:

is-strictly-minimal-in-mset-wrt $R\ X = is-least-in-mset-wrt\ R\ X$

unfolding *is-strictly-minimal-in-mset-wrt-iff*[*OF trans asym*] *is-least-in-mset-wrt-iff*

proof(*intro ext iffI*)

fix x

show $x \in \# X \wedge (\forall y \in \# X - \{\#x\}. \neg R^{==}\ y\ x) \implies x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ x\ y)$

by (*metis (mono-tags, lifting) in-diffD sup2CI tot totalp-onD*)

next

fix x

show $x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ x\ y) \implies x \in \# X \wedge (\forall y \in \# X - \{\#x\}. \neg R^{==}\ y\ x)$

by (*metis (full-types) asym asymp-onD in-diffD sup2E*)

qed

lemma *is-strictly-maximal-in-mset-wrt-iff-is-greatest-in-mset-wrt*:

is-strictly-maximal-in-mset-wrt $R\ X = is-greatest-in-mset-wrt\ R\ X$

unfolding *is-strictly-maximal-in-mset-wrt-iff*[*OF trans asym*] *is-greatest-in-mset-wrt-iff*

proof(*intro ext iffI*)

fix x

show $x \in \# X \wedge (\forall y \in \# X - \{\#x\}. \neg R^{==}\ x\ y) \implies x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ y\ x)$

by (*metis (mono-tags, lifting) in-diffD sup2CI tot totalp-onD*)

next

fix x

show $x \in \# X \wedge (\forall y \in \# X - \{\#x\}. R\ y\ x) \implies x \in \# X \wedge (\forall y \in \# X - \{\#x\}. \neg R^{==}\ x\ y)$

$\neg R^{\text{==}} x y$
 by (metis (full-types) asym asymp-onD in-diffD sup2E)
 qed

16.2 Uniqueness

lemma *Uniq-is-minimal-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-minimal-in-mset-wrt } R \ X \ x$
unfolding *is-minimal-in-mset-wrt-iff*[OF trans asym]
 by (smt (verit, best) Uniq-I tot totalp-onD)

lemma *Uniq-is-maximal-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-maximal-in-mset-wrt } R \ X \ x$
unfolding *is-maximal-in-mset-wrt-iff*[OF trans asym]
 by (smt (verit, best) Uniq-I tot totalp-onD)

lemma *Uniq-is-least-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-least-in-mset-wrt } R \ X \ x$
using *is-least-in-mset-wrt-iff*
 by (smt (verit, best) Uniq-I asym asymp-onD insert-DiffM insert-noteq-member)

lemma *Uniq-is-greatest-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-greatest-in-mset-wrt } R \ X \ x$
unfolding *is-greatest-in-mset-wrt-iff*
 by (smt (verit, best) Uniq-I asym asymp-onD insert-DiffM insert-noteq-member)

lemma *Uniq-is-strictly-minimal-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-strictly-minimal-in-mset-wrt } R \ X \ x$
using *Uniq-is-least-in-mset-wrt*
unfolding *is-strictly-minimal-in-mset-wrt-iff-is-least-in-mset-wrt*.

lemma *Uniq-is-strictly-maximal-in-mset-wrt*[intro]:
 $\exists_{\leq 1} x. \text{is-strictly-maximal-in-mset-wrt } R \ X \ x$
using *Uniq-is-greatest-in-mset-wrt*
unfolding *is-strictly-maximal-in-mset-wrt-iff-is-greatest-in-mset-wrt*.

16.3 Miscellaneous

lemma *is-least-in-mset-wrt-iff-is-minimal-and-count-eq-one*:
 $\text{is-least-in-mset-wrt } R \ X \ x \longleftrightarrow \text{is-minimal-in-mset-wrt } R \ X \ x \wedge \text{count } X \ x = 1$
proof (rule iffI)
 assume *is-least-in-mset-wrt* $R \ X \ x$
 thus $\text{is-minimal-in-mset-wrt } R \ X \ x \wedge \text{count } X \ x = 1$
 unfolding *is-least-in-mset-wrt-iff* *is-minimal-in-mset-wrt-iff*[OF trans asym]
 by (metis One-nat-def add-mset-remove-trivial-eq asym asymp-onD count-add-mset
 not-in-iff)
 next
 assume $\text{is-minimal-in-mset-wrt } R \ X \ x \wedge \text{count } X \ x = 1$
 then show *is-least-in-mset-wrt* $R \ X \ x$
 unfolding *is-least-in-mset-wrt-iff* *is-minimal-in-mset-wrt-iff*[OF trans asym]

by (metis count-single in-diffD in-diff-count nat-less-le tot totalp-onD)
qed

lemma *is-greatest-in-mset-wrt-iff-is-maximal-and-count-eq-one:*

is-greatest-in-mset-wrt R X x $\longleftrightarrow$ is-maximal-in-mset-wrt R X x $\wedge$ count X x = 1

proof (rule iffI)

assume *is-greatest-in-mset-wrt R X x*

thus *is-maximal-in-mset-wrt R X x $\wedge$ count X x = 1*

unfolding *is-greatest-in-mset-wrt-iff is-maximal-in-mset-wrt-iff* [OF trans asym]

by (metis One-nat-def add-mset-remove-trivial-eq asym asymp-onD count-add-mset not-in-iff)

next

assume *is-maximal-in-mset-wrt R X x $\wedge$ count X x = 1*

then show *is-greatest-in-mset-wrt R X x*

unfolding *is-greatest-in-mset-wrt-iff is-maximal-in-mset-wrt-iff* [OF trans asym]

by (metis count-single in-diffD in-diff-count nat-less-le tot totalp-onD)

qed

lemma *count-ge-2-if-minimal-in-mset-wrt-and-not-least-in-mset-wrt:*

assumes *is-minimal-in-mset-wrt R X x* and $\neg$ *is-least-in-mset-wrt R X x*

shows *count X x $\geq$ 2*

using *assms*

unfolding *is-least-in-mset-wrt-iff-is-minimal-and-count-eq-one*

by (metis Suc-1 Suc-le-eq antisym-conv1 asym count-greater-eq-one-iff is-minimal-in-mset-wrt-iff trans)

lemma *count-ge-2-if-maximal-in-mset-wrt-and-not-greatest-in-mset-wrt:*

assumes *is-maximal-in-mset-wrt R X x* and $\neg$ *is-greatest-in-mset-wrt R X x*

shows *count X x $\geq$ 2*

using *assms*

unfolding *is-greatest-in-mset-wrt-iff-is-maximal-and-count-eq-one*

by (metis Suc-1 Suc-le-eq antisym-conv1 asym count-greater-eq-one-iff is-maximal-in-mset-wrt-iff trans)

lemma *explode-greatest-in-mset-wrt:*

assumes *max: is-greatest-in-mset-wrt R X x*

shows *add-mset x {#y $\in$ # X. y $\neq$ x#} = X*

using *max* [folded *is-strictly-maximal-in-mset-wrt-iff-is-greatest-in-mset-wrt*]

using *explode-strictly-maximal-in-mset-wrt* [OF trans asym]

by *metis*

end

lemma *multp_{HO}-if-maximal-wrt-less-than-maximal-wrt:*

assumes

trans: transp-on (set-mset M1 $\cup$ set-mset M2) R and

asym: asymp-on (set-mset M1 $\cup$ set-mset M2) R and

```

    tot: totalp-on (set-mset M1  $\cup$  set-mset M2) R and
    x1-maximal: is-maximal-in-mset-wrt R M1 x1 and
    x2-maximal: is-maximal-in-mset-wrt R M2 x2 and
    R x1 x2
  shows multpHO R M1 M2
proof -
  have
    trans1: transp-on (set-mset M1) R and trans2: transp-on (set-mset M2) R and
    asym1: asymp-on (set-mset M1) R and asym2: asymp-on (set-mset M2) R
  and
    tot1: totalp-on (set-mset M1) R and tot2: totalp-on (set-mset M2) R
  using trans[THEN transp-on-subset] asym[THEN asymp-on-subset] tot[THEN
totalp-on-subset]
  by simp-all

  have x1-in: x1  $\in$  # M1 and x1-gr:  $\forall y \in \# M1. y \neq x1 \longrightarrow \neg R x1 y$ 
    using x1-maximal[unfolded is-maximal-in-mset-wrt-iff[OF trans1 asym1]] by
  argo+

  have x2-in: x2  $\in$  # M2 and x2-gr:  $\forall y \in \# M2. y \neq x2 \longrightarrow \neg R x2 y$ 
    using x2-maximal[unfolded is-maximal-in-mset-wrt-iff[OF trans2 asym2]] by
  argo+

  show multpHO R M1 M2
    unfolding multpHO-def
  proof (intro conjI)
    show M1  $\neq$  M2
      using x1-in x2-in x1-gr
      by (metis  $\langle R x1 x2 \rangle$  asym2 asymp-onD)
    next
      show  $\forall y. \text{count } M2 y < \text{count } M1 y \longrightarrow (\exists x. R y x \wedge \text{count } M1 x < \text{count } M2 x)$ 
        using x1-in x2-in x1-gr x2-gr
        by (smt (verit, best) assms(6) asym1 asymp-onD count-greater-zero-iff count-inI
          dual-order.strict-trans local.trans subsetD sup-ge1 sup-ge2 tot1 totalp-onD
          transp-onD)
      qed
    qed
  qed

lemma multpDM-if-maximal-wrt-less-that-maximal-wrt:
  assumes
    trans: transp-on (set-mset M1  $\cup$  set-mset M2) R and
    asym: asymp-on (set-mset M1  $\cup$  set-mset M2) R and
    tot: totalp-on (set-mset M1  $\cup$  set-mset M2) R and
    x1-maximal: is-maximal-in-mset-wrt R M1 x1 and
    x2-maximal: is-maximal-in-mset-wrt R M2 x2 and
    R x1 x2
  shows multpDM R M1 M2
  using multpHO-if-maximal-wrt-less-that-maximal-wrt[OF assms, THEN multpHO-imp-multpDM]

```

lemma *multp-if-maximal-wrt-less-that-maximal-wrt:*

assumes

trans: *transp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
asym: *asyp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
tot: *totalp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
x1-maximal: *is-maximal-in-mset-wrt* *R* *M1* *x1* **and**
x2-maximal: *is-maximal-in-mset-wrt* *R* *M2* *x2* **and**
R *x1* *x2*

shows *multp* *R* *M1* *M2*

using *multp_{DM}-if-maximal-wrt-less-that-maximal-wrt*[*OF* *assms*, *THEN multp_{DM}-imp-multp*]

lemma *multp_{HO}-if-same-maximal-wrt-and-count-lt:*

assumes

trans: *transp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
asym: *asyp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
tot: *totalp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
x1-maximal: *is-maximal-in-mset-wrt* *R* *M1* *x* **and**
x2-maximal: *is-maximal-in-mset-wrt* *R* *M2* *x* **and**
count *M1* *x* < *count* *M2* *x*

shows *multp_{HO}* *R* *M1* *M2*

by (*metis* *assms*(6) *asym* *asyp-on-subset* *count-inI* *is-greatest-in-set-wrt-iff*
is-maximal-in-mset-wrt-def *is-maximal-in-set-wrt-eq-is-greatest-in-set-wrt* *less-zeroE*
local.trans *multp_{HO}-def* *order-less-imp-not-less* *sup-ge1* *tot* *totalp-on-subset*

transp-on-subset

x1-maximal)

lemma *multp-if-same-maximal-wrt-and-count-lt:*

assumes

trans: *transp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
asym: *asyp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
tot: *totalp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
x1-maximal: *is-maximal-in-mset-wrt* *R* *M1* *x* **and**
x2-maximal: *is-maximal-in-mset-wrt* *R* *M2* *x* **and**
count *M1* *x* < *count* *M2* *x*

shows *multp* *R* *M1* *M2*

using *multp_{HO}-if-same-maximal-wrt-and-count-lt*[

OF *assms*, *THEN multp_{HO}-imp-multp_{DM}*, *THEN multp_{DM}-imp-multp*] .

lemma *less-than-maximal-wrt-if-multp_{HO}:*

assumes

trans: *transp-on* (*set-mset* *M1* $\cup$ *set-mset* *M2*) *R* **and**
asym: *asyp-on* (*set-mset* *M2*) *R* **and**
tot: *totalp-on* (*set-mset* *M2*) *R* **and**
x2-maximal: *is-maximal-in-mset-wrt* *R* *M2* *x2* **and**
multp_{HO} *R* *M1* *M2* **and**

```

     $x1 \in \# M1$ 
  shows  $R = x1\ x2$ 
proof -
  have
    trans2: transp-on (set-mset  $M2$ )  $R$  and
    asym2: asympt-on (set-mset  $M2$ )  $R$  and
    tot2: totalp-on (set-mset  $M2$ )  $R$ 
    using trans[THEN transp-on-subset] asym[THEN asympt-on-subset] tot[THEN totalp-on-subset]
    by simp-all

  have  $x2\text{-in}$ :  $x2 \in \# M2$  and  $x2\text{-gr}$ :  $\forall y \in \# M2. y \neq x2 \longrightarrow \neg R\ x2\ y$ 
    using  $x2\text{-maximal}$ [unfolded is-maximal-in-mset-wrt-iff[OF trans2 asym2]] by
  argo+

  show ?thesis
proof (cases  $x1 \in \# M2$ )
  case True
  thus ?thesis
    using  $x2\text{-gr}$  by (metis (mono-tags) sup2CI tot2 totalp-onD  $x2\text{-in}$ )
next
  case False

  hence  $x1 \in \# M1 - M2$ 
    using  $\langle x1 \in \# M1 \rangle$  by (simp add: in-diff-count not-in-iff)

  moreover have  $\forall k \in \# M1 - M2. \exists x \in \# M2 - M1. R\ k\ x$ 
    using multpHO-implies-one-step-strong(2)[OF  $\langle \text{multp}_{HO}\ R\ M1\ M2 \rangle$ ] .

  ultimately obtain  $x$  where  $x \in \# M2 - M1$  and  $R\ x1\ x$ 
    by metis

  hence  $x \neq x2 \longrightarrow \neg R\ x2\ x$ 
    using  $x2\text{-gr}$  by (metis in-diffD)

  hence  $x \neq x2 \longrightarrow R\ x\ x2$ 
    by (metis  $\langle x \in \# M2 - M1 \rangle$  in-diffD tot2 totalp-onD  $x2\text{-in}$ )

  thus ?thesis
    using  $\langle R\ x1\ x \rangle$ 
    by (meson Un-iff  $\langle x \in \# M2 - M1 \rangle$  assms(6) in-diffD local.trans sup2I1
transp-onD  $x2\text{-in}$ )
qed
qed

```

17 Examples of duplicate handling in set and multiset definitions

lemma

fixes $M :: \text{nat multiset}$

defines $M \equiv \{\#0, 0, 1, 1, 2, 2\# \}$

shows

$\text{is-minimal-in-set-wrt } (<) \text{ (set-mset } M) 0$

$\text{is-minimal-in-mset-wrt } (<) M 0$

$\text{is-least-in-set-wrt } (<) \text{ (set-mset } M) 0$

$\nexists y. \text{is-least-in-mset-wrt } (<) M y$

by (*auto simp: M-def is-minimal-in-set-wrt-iff is-minimal-in-mset-wrt-def is-least-in-set-wrt-iff is-least-in-mset-wrt-def*)

lemma

fixes $x y :: 'a$ **and** $M :: 'a \text{ multiset}$

defines $M \equiv \{\#x, y, y\# \}$

defines $R \equiv \lambda-. \text{False}$

assumes $x \neq y$

shows

$\text{is-maximal-in-mset-wrt } R M x$

$\text{is-maximal-in-mset-wrt } R M y$

$\text{is-strictly-maximal-in-mset-wrt } R M x$

$\neg \text{is-strictly-maximal-in-mset-wrt } R M y$

proof –

have $\text{transp-on-False[simp]: } \bigwedge A. \text{transp-on } A (\lambda-. \text{False})$

by (*simp add: transp-onI*)

have $\text{asympt-on-False[simp]: } \bigwedge A. \text{asympt-on } A (\lambda-. \text{False})$

by (*simp add: asympt-onI*)

show

$\text{is-maximal-in-mset-wrt } R M x$

$\text{is-maximal-in-mset-wrt } R M y$

$\text{is-strictly-maximal-in-mset-wrt } R M x$

$\neg \text{is-strictly-maximal-in-mset-wrt } R M y$

unfolding $\text{is-maximal-in-mset-wrt-iff[of } M R, \text{unfolded } R\text{-def, simplified, folded } R\text{-def]}$

unfolding $\text{is-strictly-maximal-in-mset-wrt-iff[of } M R, \text{unfolded } R\text{-def, simplified, folded } R\text{-def]}$

unfolding *atomize-conj*

using $\langle x \neq y \rangle$

by (*simp add: M-def*)

qed

18 Hide stuff

We restrict the public interface to ease future internal changes.

hide-fact *is-minimal-in-mset-wrt-def is-maximal-in-mset-wrt-def*
hide-fact *is-strictly-minimal-in-mset-wrt-def is-strictly-maximal-in-mset-wrt-def*
hide-fact *is-least-in-mset-wrt-def is-greatest-in-mset-wrt-def*

19 Integration in type classes

abbreviation (in order) *is-minimal-in-mset* **where**
is-minimal-in-mset $\equiv$ *is-minimal-in-mset-wrt* ($<$)

abbreviation (in order) *is-maximal-in-mset* **where**
is-maximal-in-mset $\equiv$ *is-maximal-in-mset-wrt* ($<$)

abbreviation (in order) *is-strictly-minimal-in-mset* **where**
is-strictly-minimal-in-mset $\equiv$ *is-strictly-minimal-in-mset-wrt* ($<$)

abbreviation (in order) *is-strictly-maximal-in-mset* **where**
is-strictly-maximal-in-mset $\equiv$ *is-strictly-maximal-in-mset-wrt* ($<$)

lemmas (in order) *is-minimal-in-mset-iff* =
is-minimal-in-mset-wrt-iff [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-maximal-in-mset-iff* =
is-maximal-in-mset-wrt-iff [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-strictly-minimal-in-mset-iff* =
is-strictly-minimal-in-mset-wrt-iff [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-strictly-maximal-in-mset-iff* =
is-strictly-maximal-in-mset-wrt-iff [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-minimal-in-mset-if-is-strictly-minimal-in-mset* [*intro*] =
is-minimal-in-mset-wrt-if-is-strictly-minimal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-maximal-in-mset-if-is-strictly-maximal-in-mset* [*intro*] =
is-maximal-in-mset-wrt-if-is-strictly-maximal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *ex-minimal-in-mset* =
ex-minimal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *ex-maximal-in-mset* =
ex-maximal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *explode-maximal-in-mset* =
explode-maximal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *explode-strictly-maximal-in-mset* =
explode-strictly-maximal-in-mset-wrt [*OF transp-on-less asymp-on-less*]

lemmas (in order) *is-minimal-in-filter-mset-iff* =

is-minimal-in-filter-mset-wrt-iff[*OF transp-on-less asymp-on-less*]

abbreviation (**in** *linorder*) *is-least-in-mset* **where**

is-least-in-mset $\equiv$ *is-least-in-mset-wrt* ($<$)

abbreviation (**in** *linorder*) *is-greatest-in-mset* **where**

is-greatest-in-mset $\equiv$ *is-greatest-in-mset-wrt* ($<$)

lemmas (**in** *linorder*) *is-least-in-mset-iff* =

is-least-in-mset-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *is-greatest-in-mset-iff* =

is-greatest-in-mset-wrt-iff[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *is-minimal-in-mset-if-is-least-in-mset*[*intro*] =

is-minimal-in-mset-wrt-if-is-least-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *is-maximal-in-mset-if-is-greatest-in-mset*[*intro*] =

is-maximal-in-mset-wrt-if-is-greatest-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-minimal-in-mset*[*intro*] =

Uniq-is-minimal-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-maximal-in-mset*[*intro*] =

Uniq-is-maximal-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-least-in-mset*[*intro*] =

Uniq-is-least-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-greatest-in-mset*[*intro*] =

Uniq-is-greatest-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-strictly-minimal-in-mset*[*intro*] =

Uniq-is-strictly-minimal-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *Uniq-is-strictly-maximal-in-mset*[*intro*] =

Uniq-is-strictly-maximal-in-mset-wrt[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *is-least-in-mset-iff-is-minimal-and-count-eq-one* =

is-least-in-mset-wrt-iff-is-minimal-and-count-eq-one[*OF transp-on-less asymp-on-less totalp-on-less*]

lemmas (**in** *linorder*) *is-greatest-in-mset-iff-is-maximal-and-count-eq-one* =

is-greatest-in-mset-wrt-iff-is-maximal-and-count-eq-one[*OF transp-on-less asymp-on-less totalp-on-less*]

```

lemmas (in linorder) count-ge-2-if-minimal-in-mset-and-not-least-in-mset =
  count-ge-2-if-minimal-in-mset-wrt-and-not-least-in-mset-wrt[OF transp-on-less asymp-on-less
    totalp-on-less]

lemmas (in linorder) count-ge-2-if-maximal-in-mset-and-not-greatest-in-mset =
  count-ge-2-if-maximal-in-mset-wrt-and-not-greatest-in-mset-wrt[OF transp-on-less
    asymp-on-less
    totalp-on-less]

lemmas (in linorder) explode-greatest-in-mset =
  explode-greatest-in-mset-wrt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) multpHO-if-maximal-less-that-maximal =
  multpHO-if-maximal-wrt-less-that-maximal-wrt[OF transp-on-less asymp-on-less
    totalp-on-less]

lemmas (in linorder) multpDM-if-maximal-less-that-maximal =
  multpDM-if-maximal-wrt-less-that-maximal-wrt[OF transp-on-less asymp-on-less
    totalp-on-less]

lemmas (in linorder) multp-if-maximal-less-that-maximal =
  multp-if-maximal-wrt-less-that-maximal-wrt[OF transp-on-less asymp-on-less
    totalp-on-less]

lemmas (in linorder) multpHO-if-same-maximal-and-count-lt =
  multpHO-if-same-maximal-wrt-and-count-lt[OF transp-on-less asymp-on-less to-
    talp-on-less]

lemmas (in linorder) multp-if-same-maximal-and-count-lt =
  multp-if-same-maximal-wrt-and-count-lt[OF transp-on-less asymp-on-less totalp-on-less]

lemmas (in linorder) less-than-maximal-if-multpHO =
  less-than-maximal-wrt-if-multpHO[OF transp-on-less asymp-on-less totalp-on-less]

lemma (in linorder)
  assumes is-greatest-in-mset C L
  shows  $C - \{\#L\} = \{\#K \in \# C. K \neq L\}$ 
  using assms
  by (smt (verit, del-insts) add-diff-cancel-left' diff-subset-eq-self diff-zero filter-empty-mset
    filter-mset-add-mset filter-mset-eq-conv insert-DiffM2 local.is-greatest-in-mset-iff
    local.not-less-iff-gr-or-eq)

end

```