

Hoare Logics for Time Bounds

Maximilian P. L. Haslbeck Tobias Nipkow*

March 17, 2025

Abstract

We study three different Hoare logics for reasoning about time bounds of imperative programs and formalize them in Isabelle/HOL: a classical Hoare like logic due to Nielson, a logic with potentials due to Carbonneaux *et al.* and a *separation logic* following work by Atkey, Chagu  rand and Pottier. These logics are formally shown to be sound and complete. Verification condition generators are developed and are shown sound and complete too. We also consider variants of the systems where we abstract from multiplicative constants in the running time bounds, thus supporting a big-O style of reasoning. Finally we compare the expressive power of the three systems.

An informal description is found in an accompanying report [HN18].

Contents

1	Arithmetic and Boolean Expressions	4
1.1	Arithmetic Expressions	4
1.2	Boolean Expressions	4
2	IMP — A Simple Imperative Language	5
2.1	Big-Step Semantics of Commands	5
2.2	Rule inversion	7
2.3	Command Equivalence	8
2.4	Execution is deterministic	9
3	Big Step Semantics with Time	9
3.1	Big-Step with Time Semantics of Commands	9
3.2	Rule inversion	10
3.3	Relation to Big-Step Semantics	11
3.4	Execution is deterministic	11

*Supported by DFG GRK 1480 (PUMA) and Koselleck Grant NI 491/16-1

4	Nielson Style Hoare Logic with logical variables	13
4.1	The support of an assn2	13
4.2	Validity	14
4.3	Hoare rules	14
4.4	Soundness	16
4.5	Completeness	16
4.6	Verification Condition Generator	18
4.7	The Variables in an Expression	27
4.8	Optimized Verification Condition Generator	29
4.9	Example: discrete square root in Nielson's logic	45
5	Quantitative Hoare Logic (due to Carbonneaux)	46
5.1	Validity of quantitative Hoare Triple	46
5.2	Hoare logic for quantiative reasoning	46
5.3	Soundness	47
5.4	Completeness	48
5.5	Verification Condition Generator	50
5.6	Examples	52
6	Quantitative Hoare Logic (big-O style)	53
6.1	Definition of Validity	53
6.2	Hoare Rules	53
6.3	Soundness	55
6.4	Completeness	56
6.5	Example	58
6.6	Verification Condition Generator	58
6.7	Examples for quantitative Hoare logic	61
6.8	Example: discrete square root in the quantitative Hoare logic	63
7	Partial States	64
7.1	Partial evaluation of expressions	64
7.2	Big step Semantics on partial states	70
7.3	Partial State	74
7.4	Dollar and Pointsto	74
7.5	Frame Inference	76
7.6	Expression evaluation	78
8	Hoare Logic based on Separation Logic and Time Credits	79
8.1	Definition of Validity	79
8.2	Hoare Rules	79
8.3	Soundness Proof	81
8.4	Completeness	81
8.5	Examples	83

9	Hoare Logic based on Separation Logic and Time Credits (big-O style)	84
9.1	Definition of Validity	84
9.2	Hoare Rules	84
9.3	Soundness	88
9.4	Completeness	88
10	Discussion	93
10.1	Relation between the explicit Hoare logics	93
10.2	Relation between the Hoare logics in big-O style	93
10.3	A General Validity Predicate with Time	94

1 Arithmetic and Boolean Expressions

theory *AExp* **imports** *Main* **begin**

1.1 Arithmetic Expressions

type_synonym *vname* = *string*

type_synonym *val* = *int*

type_synonym *state* = *vname* $\Rightarrow$ *val*

datatype *aexp* = *N int* | *V vname* | *Plus aexp aexp* | *Times aexp aexp* |
Div aexp aexp

fun *aval* :: *aexp* $\Rightarrow$ *state* $\Rightarrow$ *val* **where**

aval (*N n*) *s* = *n* |

aval (*V x*) *s* = *s x* |

aval (*Plus a₁ a₂*) *s* = *aval a₁ s* + *aval a₂ s* |

aval (*Times a₁ a₂*) *s* = *aval a₁ s* * *aval a₂ s* |

aval (*Div a₁ a₂*) *s* = *aval a₁ s* div *aval a₂ s*

value *aval* (*Plus* (*V "x"*) (*N 5*)) ($\lambda x.$ if *x* = "x" then 7 else 0)

The same state more concisely:

value *aval* (*Plus* (*V "x"*) (*N 5*)) (($\lambda x.$ 0) ("x" := 7))

A little syntax magic to write larger states compactly:

definition *null_state* ($\langle _ \rangle$) **where**

null_state $\equiv \lambda x.$ 0

syntax

_State :: *updbinds* $\Rightarrow$ 'a ($\langle _ \rangle$)

translations

_State ms == *_Update* $\langle _ \rangle$ *ms*

_State (*_updbinds b bs*) <= *_Update* (*_State b*) *bs*

end

theory *BExp* **imports** *AExp* **begin**

1.2 Boolean Expressions

datatype *bexp* = *Bc bool* | *Not bexp* | *And bexp bexp* | *Less aexp aexp*

fun *bval* :: *bexp* $\Rightarrow$ *state* $\Rightarrow$ *bool* **where**

bval (*Bc v*) *s* = *v* |

bval (*Not b*) *s* = ($\neg$ *bval b s*) |

```

bval (And b1 b2) s = (bval b1 s ∧ bval b2 s) |
bval (Less a1 a2) s = (aval a1 s < aval a2 s)

value bval (Less (V "x") (Plus (N 3) (V "y")))
  <"x" := 3, "y" := 1>

```

```

end

```

2 IMP — A Simple Imperative Language

```

theory Com imports BExp begin

```

```

datatype

```

```

  com = SKIP
    | Assign vname aexp      (⟨_ ::= _⟩ [1000, 61] 61)
    | Seq    com com        (⟨_;; _⟩ [60, 61] 60)
    | If     bexp com com    (⟨(IF _/ THEN _/ ELSE _)⟩ [0, 0, 61] 61)
    | While  bexp com        (⟨(WHILE _/ DO _)⟩ [0, 61] 61)

```

```

end

```

```

theory Big_Step imports Com begin

```

2.1 Big-Step Semantics of Commands

The big-step semantics is a straight-forward inductive definition with concrete syntax. Note that the first parameter is a tuple, so the syntax becomes $(c, s) \Rightarrow s'$.

```

inductive

```

```

  big_step :: com × state ⇒ state ⇒ bool (infix ⟨⇒⟩ 55)

```

```

where

```

```

Skip: (SKIP, s) ⇒ s |
Assign: (x ::= a, s) ⇒ s(x := aval a s) |
Seq: [ (c1, s1) ⇒ s2; (c2, s2) ⇒ s3 ] ⇒ (c1;; c2, s1) ⇒ s3 |
IfTrue: [ bval b s; (c1, s) ⇒ t ] ⇒ (IF b THEN c1 ELSE c2, s) ⇒ t |
IfFalse: [ ¬bval b s; (c2, s) ⇒ t ] ⇒ (IF b THEN c1 ELSE c2, s) ⇒ t |
WhileFalse: ¬bval b s ⇒ (WHILE b DO c, s) ⇒ s |
WhileTrue:
  [ bval b s1; (c, s1) ⇒ s2; (WHILE b DO c, s2) ⇒ s3 ]
  ⇒ (WHILE b DO c, s1) ⇒ s3

```

We want to execute the big-step rules:

```

code_pred big_step ⟨proof⟩

```

For inductive definitions we need command **values** instead of **value**.

values $\{t. (SKIP, \lambda_. 0) \Rightarrow t\}$

We need to translate the result state into a list to display it.

values $\{map\ t\ ["x'"]\ |t. (SKIP, <"x" := 42>) \Rightarrow t\}$

values $\{map\ t\ ["x'"]\ |t. ("x" ::= N\ 2, <"x" := 42>) \Rightarrow t\}$

values $\{map\ t\ ["x'", "y'"]\ |t. (WHILE\ Less\ (V\ "x")\ (V\ "y")\ DO\ ("x" ::= Plus\ (V\ "x")\ (N\ 5)), <"x" := 0, "y" := 13>) \Rightarrow t\}$

Proof automation:

The introduction rules are good for automatically construction small program executions. The recursive cases may require backtracking, so we declare the set as unsafe intro rules.

declare *big_step.intros* [*intro*]

The standard induction rule

$$\begin{aligned} & \llbracket x1 \Rightarrow x2; \wedge s. P\ (SKIP, s)\ s; \wedge x\ a\ s. P\ (x ::= a, s)\ (s(x := aval\ a\ s)); \\ & \wedge c_1\ s_1\ s_2\ c_2\ s_3. \\ & \quad \llbracket (c_1, s_1) \Rightarrow s_2; P\ (c_1, s_1)\ s_2; (c_2, s_2) \Rightarrow s_3; P\ (c_2, s_2)\ s_3 \rrbracket \\ & \quad \implies P\ (c_1;;\ c_2, s_1)\ s_3; \\ & \wedge b\ s\ c_1\ t\ c_2. \\ & \quad \llbracket bval\ b\ s; (c_1, s) \Rightarrow t; P\ (c_1, s)\ t \rrbracket \implies P\ (IF\ b\ THEN\ c_1\ ELSE\ c_2, s)\ t; \\ & \wedge b\ s\ c_2\ t\ c_1. \\ & \quad \llbracket \neg\ bval\ b\ s; (c_2, s) \Rightarrow t; P\ (c_2, s)\ t \rrbracket \implies P\ (IF\ b\ THEN\ c_1\ ELSE\ c_2, s)\ t; \\ & \wedge b\ s\ c. \neg\ bval\ b\ s \implies P\ (WHILE\ b\ DO\ c, s)\ s; \\ & \wedge b\ s_1\ c\ s_2\ s_3. \\ & \quad \llbracket bval\ b\ s_1; (c, s_1) \Rightarrow s_2; P\ (c, s_1)\ s_2; (WHILE\ b\ DO\ c, s_2) \Rightarrow s_3; \\ & \quad P\ (WHILE\ b\ DO\ c, s_2)\ s_3 \rrbracket \\ & \quad \implies P\ (WHILE\ b\ DO\ c, s_1)\ s_3 \\ & \implies P\ x1\ x2 \end{aligned}$$

thm *big_step.induct*

This induction schema is almost perfect for our purposes, but our trick for reusing the tuple syntax means that the induction schema has two parameters instead of the c , s , and s' that we are likely to encounter. Splitting the tuple parameter fixes this:

lemmas *big_step_induct* = *big_step.induct*[*split_format*(*complete*)]

thm *big_step_induct*

$$\begin{aligned}
& \llbracket (x1a, x1b) \Rightarrow x2a; \bigwedge s. P \text{ SKIP } s \ s; \bigwedge x \ a \ s. P \ (x ::= a) \ s \ (s(x := \text{aval } a \ s)); \\
& \bigwedge c_1 \ s_1 \ s_2 \ c_2 \ s_3. \\
& \quad \llbracket (c_1, s_1) \Rightarrow s_2; P \ c_1 \ s_1 \ s_2; (c_2, s_2) \Rightarrow s_3; P \ c_2 \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (c_1;; c_2) \ s_1 \ s_3; \\
& \bigwedge b \ s \ c_1 \ t \ c_2. \\
& \quad \llbracket \text{bval } b \ s; (c_1, s) \Rightarrow t; P \ c_1 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \bigwedge b \ s \ c_2 \ t \ c_1. \\
& \quad \llbracket \neg \text{bval } b \ s; (c_2, s) \Rightarrow t; P \ c_2 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \bigwedge b \ s \ c. \neg \text{bval } b \ s \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s \ s; \\
& \bigwedge b \ s_1 \ c \ s_2 \ s_3. \\
& \quad \llbracket \text{bval } b \ s_1; (c, s_1) \Rightarrow s_2; P \ c \ s_1 \ s_2; (\text{WHILE } b \ \text{DO } c, s_2) \Rightarrow s_3; \\
& \quad P \ (\text{WHILE } b \ \text{DO } c) \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s_1 \ s_3 \\
& \Longrightarrow P \ x1a \ x1b \ x2a
\end{aligned}$$

2.2 Rule inversion

What can we deduce from $(\text{SKIP}, s) \Rightarrow t$? That $s = t$. This is how we can automatically prove it:

inductive_cases *SkipE*[*elim!*]: $(\text{SKIP}, s) \Rightarrow t$
thm *SkipE*

This is an *elimination rule*. The [elim] attribute tells auto, blast and friends (but not simp!) to use it automatically; [elim!] means that it is applied eagerly.

Similarly for the other commands:

inductive_cases *AssignE*[*elim!*]: $(x ::= a, s) \Rightarrow t$
thm *AssignE*
inductive_cases *SeqE*[*elim!*]: $(c1;;c2, s1) \Rightarrow s3$
thm *SeqE*
inductive_cases *IfE*[*elim!*]: $(\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2, s) \Rightarrow t$
thm *IfE*

inductive_cases *WhileE*[*elim*]: $(\text{WHILE } b \ \text{DO } c, s) \Rightarrow t$
thm *WhileE*

Only [elim]: [elim!] would not terminate.

An automatic example:

lemma $(\text{IF } b \ \text{THEN } \text{SKIP} \ \text{ELSE } \text{SKIP}, s) \Rightarrow t \Longrightarrow t = s$
 $\langle \text{proof} \rangle$

Rule inversion by hand via the “cases” method:

lemma *assumes* $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow t$
shows $t = s$
 $\langle proof \rangle$

lemma *assign_simp*:
 $(x ::= a, s) \Rightarrow s' \longleftrightarrow (s' = s(x := aval\ a\ s))$
 $\langle proof \rangle$

An example combining rule inversion and derivations

lemma *Seq_assoc*:
 $(c1;; c2;; c3, s) \Rightarrow s' \longleftrightarrow (c1;; (c2;; c3), s) \Rightarrow s'$
 $\langle proof \rangle$

2.3 Command Equivalence

We call two statements c and c' equivalent wrt. the big-step semantics when c started in s terminates in s' iff c' started in the same s also terminates in the same s' . Formally:

abbreviation

equiv_c :: $com \Rightarrow com \Rightarrow bool$ (**infix** $\langle \sim \rangle$ 50) **where**
 $c \sim c' \equiv (\forall s\ t.\ (c, s) \Rightarrow t \implies (c', s) \Rightarrow t)$

Warning: $\sim$ is the symbol written `\ < s i m >` (without spaces).

As an example, we show that loop unfolding is an equivalence transformation on programs:

lemma *unfold_while*:
 $(WHILE\ b\ DO\ c) \sim (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP)$ (**is** $?w$
 $\sim ?iw$)
 $\langle proof \rangle$

Luckily, such lengthy proofs are seldom necessary. Isabelle can prove many such facts automatically.

lemma *while_unfold*:
 $(WHILE\ b\ DO\ c) \sim (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP)$
 $\langle proof \rangle$

lemma *triv_if*:
 $(IF\ b\ THEN\ c\ ELSE\ c) \sim c$
 $\langle proof \rangle$

lemma *commute_if*:
 $(IF\ b1\ THEN\ (IF\ b2\ THEN\ c11\ ELSE\ c12)\ ELSE\ c2)$
 $\sim$

(IF b2 THEN (IF b1 THEN c11 ELSE c2) ELSE (IF b1 THEN c12 ELSE c2))
 <proof>

lemma *sim_while_cong_aux*:

(WHILE b DO c,s) $\Rightarrow$ t $\implies$ c $\sim$ c' $\implies$ (WHILE b DO c',s) $\Rightarrow$ t
 <proof>

lemma *sim_while_cong*: c $\sim$ c' $\implies$ WHILE b DO c $\sim$ WHILE b DO c'
 <proof>

Command equivalence is an equivalence relation, i.e. it is reflexive, symmetric, and transitive. Because we used an abbreviation above, Isabelle derives this automatically.

lemma *sim_refl*: c $\sim$ c <proof>

lemma *sim_sym*: (c $\sim$ c') = (c' $\sim$ c) <proof>

lemma *sim_trans*: c $\sim$ c' $\implies$ c' $\sim$ c'' $\implies$ c $\sim$ c'' <proof>

2.4 Execution is deterministic

This proof is automatic.

theorem *big_step_determ*: $\llbracket (c,s) \Rightarrow t; (c,s) \Rightarrow u \rrbracket \implies u = t$
 <proof>

This is the proof as you might present it in a lecture. The remaining cases are simple enough to be proved automatically:

theorem

(c,s) $\Rightarrow$ t $\implies$ (c,s) $\Rightarrow$ t' $\implies$ t' = t
 <proof>

end

3 Big Step Semantics with Time

theory *Big_StepT* imports *Big_Step* begin

3.1 Big-Step with Time Semantics of Commands

inductive

big_step_t :: com $\times$ state $\Rightarrow$ nat $\Rightarrow$ state $\Rightarrow$ bool ($\langle _ \Rightarrow _ \Downarrow _ \rangle$ 55)

where

Skip: (SKIP,s) $\Rightarrow$ Suc 0 $\Downarrow$ s |

Assign: (x ::= a,s) $\Rightarrow$ Suc 0 $\Downarrow$ s(x := aval a s) |

$Seq: \llbracket (c1, s1) \Rightarrow x \Downarrow s2; (c2, s2) \Rightarrow y \Downarrow s3 ; z=x+y \rrbracket \Longrightarrow (c1;;c2, s1) \Rightarrow z \Downarrow s3 \mid$
 $IfTrue: \llbracket bval\ b\ s; (c1, s) \Rightarrow x \Downarrow t; y=x+1 \rrbracket \Longrightarrow (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow y \Downarrow t \mid$
 $IfFalse: \llbracket \neg bval\ b\ s; (c2, s) \Rightarrow x \Downarrow t; y=x+1 \rrbracket \Longrightarrow (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow y \Downarrow t \mid$
 $WhileFalse: \llbracket \neg bval\ b\ s \rrbracket \Longrightarrow (WHILE\ b\ DO\ c, s) \Rightarrow Suc\ 0 \Downarrow s \mid$
 $WhileTrue: \llbracket bval\ b\ s1; (c, s1) \Rightarrow x \Downarrow s2; (WHILE\ b\ DO\ c, s2) \Rightarrow y \Downarrow s3; 1+x+y=z \rrbracket \Longrightarrow (WHILE\ b\ DO\ c, s1) \Rightarrow z \Downarrow s3$

We want to execute the big-step rules:

code_pred *big_step_t* <proof>

For inductive definitions we need command **values** instead of **value**.

values $\{(t, x). (SKIP, \lambda_. 0) \Rightarrow x \Downarrow t\}$

We need to translate the result state into a list to display it.

values $\{map\ t\ ["x"] \mid t\ x. (SKIP, <"x" := 42>) \Rightarrow x \Downarrow t\}$

values $\{map\ t\ ["x"] \mid t\ x. ("x" ::= N\ 2, <"x" := 42>) \Rightarrow x \Downarrow t\}$

values $\{map\ t\ ["x", "y"] \mid t\ x. (WHILE\ Less\ (V\ "x")\ (V\ "y")\ DO\ ("x" ::= Plus\ (V\ "x")\ (N\ 5)), <"x" := 0, "y" := 13>) \Rightarrow x \Downarrow t\}$

Proof automation:

declare *big_step_t.intros* [intro]

lemmas *big_step_t.induct* = *big_step_t.induct*[split_format(complete)]

3.2 Rule inversion

What can we deduce from $(SKIP, s) \Rightarrow x \Downarrow t$? That $s = t$. This is how we can automatically prove it:

inductive_cases *Skip_tE[elim!]*: $(SKIP, s) \Rightarrow x \Downarrow t$
thm *Skip_tE*

This is an *elimination rule*. The [elim] attribute tells auto, blast and friends (but not simp!) to use it automatically; [elim!] means that it is applied eagerly.

Similarly for the other commands:

inductive_cases *Assign_tE[elim!]*: $(x ::= a, s) \Rightarrow p \Downarrow t$
thm *Assign_tE*

inductive_cases *Seq_tE[elim!]*: $(c1;;c2,s1) \Rightarrow p \Downarrow s3$
thm *Seq_tE*
inductive_cases *If_tE[elim!]*: $(IF\ b\ THEN\ c1\ ELSE\ c2,s) \Rightarrow x \Downarrow t$
thm *If_tE*

inductive_cases *While_tE[elim]*: $(WHILE\ b\ DO\ c,s) \Rightarrow x \Downarrow t$
thm *While_tE*

Only [elim]: [elim!] would not terminate.

An automatic example:

lemma $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow x \Downarrow t \Longrightarrow t = s$
 $\langle proof \rangle$

Rule inversion by hand via the “cases” method:

lemma assumes $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow x \Downarrow t$
shows $t = s$
 $\langle proof \rangle$

lemma *assign_t_simp*:
 $(x ::= a,s) \Rightarrow Suc\ 0 \Downarrow\ s' \longleftrightarrow (s' = s(x := aval\ a\ s))$
 $\langle proof \rangle$

An example combining rule inversion and derivations

lemma *Seq_t_assoc*:
 $((c1;;\ c2;;\ c3,\ s) \Rightarrow p \Downarrow\ s') \longleftrightarrow ((c1;;\ (c2;;\ c3),\ s) \Rightarrow p \Downarrow\ s')$
 $\langle proof \rangle$

3.3 Relation to Big-Step Semantics

lemma $(\exists p. ((c,\ s) \Rightarrow p \Downarrow\ s')) = ((c,\ s) \Rightarrow s')$
 $\langle proof \rangle$

3.4 Execution is deterministic

This proof is automatic.

theorem *big_step_t_determ*: $\llbracket (c,s) \Rightarrow p \Downarrow\ t; (c,s) \Rightarrow q \Downarrow\ u \rrbracket \Longrightarrow u = t$
 $\langle proof \rangle$

theorem *big_step_t_determ2*: $\llbracket (c,s) \Rightarrow p \Downarrow\ t; (c,s) \Rightarrow q \Downarrow\ u \rrbracket \Longrightarrow (u = t \wedge p=q)$
 $\langle proof \rangle$

lemma *bigstep_det*: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1 = p \wedge t1 = t$
 $\langle proof \rangle$

lemma *bigstep_progress*: $(c, s) \Rightarrow p \Downarrow t \Longrightarrow p > 0$
 $\langle proof \rangle$

abbreviation *terminates* $(\Downarrow)$ **where** *terminates* $cs \equiv (\exists n a. (cs \Rightarrow n \Downarrow a))$

abbreviation *thestate* $(\Downarrow_s)$ **where** *thestate* $cs \equiv (THE a. \exists n. (cs \Rightarrow n \Downarrow a))$

abbreviation *thetime* $(\Downarrow_t)$ **where** *thetime* $cs \equiv (THE n. \exists a. (cs \Rightarrow n \Downarrow a))$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \Downarrow_t(c, s) = t$
 $\langle proof \rangle$

lemma *bigstepT_the_state*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \Downarrow_s(c, s) = s'$
 $\langle proof \rangle$

lemma *SKIPnot*: $(\neg (SKIP, s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc\ 0)$ $\langle proof \rangle$

lemma *SKIPp*: $\Downarrow_t(SKIP, s) = Suc\ 0$
 $\langle proof \rangle$

lemma *SKIPlt*: $\Downarrow_s(SKIP, s) = s$
 $\langle proof \rangle$

lemma *ASSp*: $(THE p. \exists x. (big_step_t\ (x ::= e, s)\ p)) = Suc\ 0$
 $\langle proof \rangle$

lemma *ASSt*: $(THE t. \exists p. (x ::= e, s) \Rightarrow p \Downarrow t) = s(x := aval\ e\ s)$
 $\langle proof \rangle$

lemma *ASSnot*: $(\neg (x ::= e, s) \Rightarrow p \Downarrow t) = (p \neq Suc\ 0 \vee t \neq s(x := aval\ e\ s))$
 $\langle proof \rangle$

lemma *If_THE_True*: $Suc (THE\ n.\ \exists a.\ (c1,\ s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a)$
if $T: bval\ b\ s$ **and** $c1_t: terminates\ (c1,s)$ **for** $s\ l$
 $\langle proof \rangle$

lemma *If_THE_False*: $Suc (THE\ n.\ \exists a.\ (c2,\ s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a)$
if $T: \neg bval\ b\ s$ **and** $c2_t: \downarrow (c2,s)$ **for** $s\ l$
 $\langle proof \rangle$

end
theory *Nielson_Hoare*
imports *Big_StepT*
begin

4 Nielson Style Hoare Logic with logical variables

abbreviation $eq\ a\ b == (And\ (Not\ (Less\ a\ b))\ (Not\ (Less\ b\ a)))$

type_synonym *lname* = *string*
type_synonym *assn2* = $(lname \Rightarrow nat) \Rightarrow state \Rightarrow bool$
type_synonym *tbd* = $state \Rightarrow nat$

4.1 The support of an assn2

definition *support* :: $assn2 \Rightarrow string\ set$ **where**
 $support\ P = \{x.\ \exists l1\ l2\ s.\ (\forall y.\ y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s\}$

lemma *support_and*: $support\ (\lambda l\ s.\ P\ l\ s \wedge Q\ l\ s) \subseteq support\ P \cup support\ Q$
 $\langle proof \rangle$

lemma *support_impl*: $support\ (\lambda l\ s.\ P\ s \longrightarrow Q\ l\ s) \subseteq support\ Q$
 $\langle proof \rangle$

lemma *support_exist*: $support\ (\lambda l\ s.\ \exists z::nat.\ Q\ z\ l\ s) \subseteq (UN\ n.\ support\ (Q\ n))$
 $\langle proof \rangle$

lemma *support_all*: $\text{support } (\lambda l s. \forall z. Q z l s) \subseteq (UN n. \text{support } (Q n))$
 $\langle \text{proof} \rangle$

lemma *support_single*: $\text{support } (\lambda l s. P (l a) s) \subseteq \{a\}$
 $\langle \text{proof} \rangle$

lemma *support_inv*: $\bigwedge P. \text{support } (\lambda l s. P s) = \{\}$
 $\langle \text{proof} \rangle$

lemma *assn2_lupd*: $x \notin \text{support } Q \implies Q (l(x:=n)) = Q l$
 $\langle \text{proof} \rangle$

4.2 Validity

abbreviation *state_subst* :: $\text{state} \Rightarrow \text{aexp} \Rightarrow \text{vname} \Rightarrow \text{state}$
 $(\langle _ _ _ \rangle [1000, 0, 0] 999)$
where $s[a/x] == s(x := \text{aval } a s)$

definition *hoare1_valid* :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$
 $(\langle \vdash_1 \{ (1_) \} / (_) / \{ _ \Downarrow (1_) \} \rangle 50)$ **where**
 $\vdash_1 \{ P \} c \{ q \Downarrow Q \} \longleftrightarrow (\exists k > 0. (\forall l s. P l s \longrightarrow (\exists t p. ((c, s) \Rightarrow p \Downarrow t) \wedge p \leq k * (q s) \wedge Q l t)))$

4.3 Hoare rules

inductive

hoare1 :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$ $(\langle \vdash_1 \{ (1_) \} / (_) / \{ _ \Downarrow (1_) \} \rangle 50)$
where

Skip: $\vdash_1 \{ P \} \text{SKIP } \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

Assign: $\vdash_1 \{ \lambda l s. P l (s[a/x]) \} x ::= a \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

If: $\llbracket \vdash_1 \{ \lambda l s. P l s \wedge \text{bval } b s \} c_1 \{ e1 \Downarrow Q \};$
 $\vdash_1 \{ \lambda l s. P l s \wedge \neg \text{bval } b s \} c_2 \{ e1 \Downarrow Q \} \rrbracket$
 $\implies \vdash_1 \{ P \} \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ (\lambda s. e1 s + \text{Suc } 0) \Downarrow Q \} \mid$

Seq: $\llbracket \vdash_1 \{ (\%l s. P_1 l s \wedge l x = e2' s) \} c_1 \{ e1 \Downarrow (\%l s. P_2 l s \wedge e2 s \leq l x) \};$
 $\vdash_1 \{ P_2 \} c_2 \{ e2 \Downarrow P_3 \}; x \notin \text{support } P_1; x \notin \text{support } P_2;$
 $\llbracket \lambda l s. P_1 l s \implies e1 s + e2' s \leq e s \rrbracket$
 $\implies \vdash_1 \{ P_1 \} c_1;; c_2 \{ e \Downarrow P_3 \} \mid$

While:

$$\begin{aligned} & \llbracket \vdash_1 \{ \lambda l s. P l s \wedge bval b s \wedge e' s = l y \} c \{ e'' \Downarrow \lambda l s. P l s \wedge e s \leq l y \}; \\ & \quad \forall l s. bval b s \wedge P l s \longrightarrow e s \geq 1 + e' s + e'' s ; \\ & \quad \forall l s. \sim bval b s \wedge P l s \longrightarrow 1 \leq e s; \\ & \quad y \notin support P \rrbracket \\ & \implies \vdash_1 \{P\} WHILE b DO c \{ e \Downarrow \lambda l s. P l s \wedge \neg bval b s \} \mid \end{aligned}$$

$$\begin{aligned} & \text{conseq: } \llbracket \exists k > 0. \forall l s. P' l s \longrightarrow (e s \leq k * (e' s) \wedge (\forall t. \exists l'. P l' s \wedge (Q \\ & l' t \longrightarrow Q' l t)) \rrbracket; \\ & \quad \vdash_1 \{P\} c \{ e \Downarrow Q \} \rrbracket \implies \\ & \quad \vdash_1 \{P'\} c \{ e' \Downarrow Q' \} \end{aligned}$$

Derived Rules:

$$\begin{aligned} & \textbf{lemma } \textit{conseq_old}: \llbracket \exists k > 0. \forall l s. P' l s \longrightarrow (P l s \wedge (e' s \leq k * (e s))) \rrbracket; \\ & \vdash_1 \{P\} c \{ e' \Downarrow Q \}; \forall l s. Q l s \longrightarrow Q' l s \rrbracket \implies \\ & \quad \vdash_1 \{P'\} c \{ e \Downarrow Q' \} \\ & \langle proof \rangle \end{aligned}$$

$$\begin{aligned} & \textbf{lemma } \textit{If2}: \llbracket \vdash_1 \{ \lambda l s. P l s \wedge bval b s \} c_1 \{ e \Downarrow Q \}; \vdash_1 \{ \lambda l s. P l s \wedge \neg \\ & bval b s \} c_2 \{ e \Downarrow Q \}; \\ & \quad \bigwedge l s. P l s \implies e s + 1 = e' s \rrbracket \\ & \implies \vdash_1 \{P\} IF b THEN c_1 ELSE c_2 \{ e' \Downarrow Q \} \\ & \langle proof \rangle \end{aligned}$$

lemma *strengthen_pre*:

$$\llbracket \forall l s. P' l s \longrightarrow P l s ; \vdash_1 \{P\} c \{ e \Downarrow Q \} \rrbracket \implies \vdash_1 \{P'\} c \{ e \Downarrow Q \} \langle proof \rangle$$

lemma *weaken_post*:

$$\llbracket \vdash_1 \{P\} c \{ e \Downarrow Q \}; \forall l s. Q l s \longrightarrow Q' l s \rrbracket \implies \vdash_1 \{P\} c \{ e \Downarrow Q' \} \langle proof \rangle$$

lemma *ub_cost*:

$$\begin{aligned} & \llbracket (\exists k > 0. \forall l s. P l s \longrightarrow e' s \leq k * (e s)); \vdash_1 \{P\} c \{ e' \Downarrow Q \} \rrbracket \implies \vdash_1 \\ & \{P\} c \{ e \Downarrow Q \} \\ & \langle proof \rangle \end{aligned}$$

$$\begin{aligned} & \textbf{lemma } \textit{Assign'}: \forall l s. P l s \longrightarrow Q l (s[a/x]) \implies (\vdash_1 \{P\} x ::= a \{ (\%s. 1) \\ & \Downarrow Q \}) \\ & \langle proof \rangle \end{aligned}$$

4.4 Soundness

The soundness theorem:

theorem *hoare1_sound*: $\vdash_1 \{P\}c\{e \Downarrow Q\} \implies \models_1 \{P\}c\{e \Downarrow Q\}$
 $\langle proof \rangle$

4.5 Completeness

definition *wp1* :: *com* $\Rightarrow$ *assn2* $\Rightarrow$ *assn2* ($\langle wp_1 \rangle$) **where**
 $wp_1 \ c \ Q = (\lambda l \ s. \exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge Q \ l \ t)$

lemma *support_wpt*: $support \ (wp_1 \ c \ Q) \subseteq support \ Q$
 $\langle proof \rangle$

lemma *wp1_terminates*: $wp_1 \ c \ Q \ l \ s \implies \downarrow (c, s) \ \langle proof \rangle$

lemma *wp1_SKIP[simp]*: $wp_1 \ SKIP \ Q = Q \ \langle proof \rangle$

lemma *wp1_Assign[simp]*: $wp_1 \ (x ::= e) \ Q = (\lambda l \ s. Q \ l \ (s(x := aval \ e \ s)))$
 $\langle proof \rangle$

lemma *wp1_Seq[simp]*: $wp_1 \ (c_1;;c_2) \ Q = wp_1 \ c_1 \ (wp_1 \ c_2 \ Q) \ \langle proof \rangle$

lemma *wp1_If[simp]*: $wp_1 \ (IF \ b \ THEN \ c_1 \ ELSE \ c_2) \ Q = (\lambda l \ s. wp_1 \ (if \ bval \ b \ s \ then \ c_1 \ else \ c_2) \ Q \ l \ s) \ \langle proof \rangle$

definition *prec* *c* *E* == %s. *E* (*THE* *t*. ($\exists p. (c, s) \Rightarrow p \Downarrow t$))

lemma *wp1_prec_Seq_correct*: $wp_1 \ (c_1;;c_2) \ Q \ l \ s \implies \downarrow_t (c_1, s) + prec \ c_1 \ (\lambda s. \downarrow_t (c_2, s)) \ s \leq \downarrow_t (c_1;; c_2, s)$
 $\langle proof \rangle$

abbreviation *new* *Q* $\equiv SOME \ x. x \notin support \ Q$

lemma *bigstep_det*: $(c_1, s) \Rightarrow p_1 \Downarrow t_1 \implies (c_1, s) \Rightarrow p \Downarrow t \implies p_1 = p \wedge t_1 = t$
 $\langle proof \rangle$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE\ n.\ \exists a.\ (c, s) \Rightarrow n \Downarrow a) = P$
 $\langle proof \rangle$

lemma *bigstepT_the_state*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE\ a.\ \exists n.\ (c, s) \Rightarrow n \Downarrow a) = T$
 $\langle proof \rangle$

lemma *assumes b: bval b s*
shows *wp1WhileTrue'*: $wp_1\ (WHILE\ b\ DO\ c)\ Q\ l\ s = wp_1\ c\ (wp_1\ (WHILE\ b\ DO\ c)\ Q)\ l\ s$
 $\langle proof \rangle$

lemma *assumes b: ~ bval b s*
shows *wp1WhileFalse'*: $wp_1\ (WHILE\ b\ DO\ c)\ Q\ l\ s = Q\ l\ s$
 $\langle proof \rangle$

lemma *wp1While*: $wp_1\ (WHILE\ b\ DO\ c)\ Q\ l\ s = (if\ bval\ b\ s\ then\ wp_1\ c\ (wp_1\ (WHILE\ b\ DO\ c)\ Q)\ l\ s\ else\ Q\ l\ s)$
 $\langle proof \rangle$

lemma *wp1_prec2*: **fixes** *e::tbd*
shows $(wp_1\ c1\ Q\ l\ s \wedge l\ x = prec\ c1\ e\ s) = wp_1\ c1\ (\lambda l\ s.\ Q\ l\ s \wedge e\ s = l\ x)\ l\ s$
 $\langle proof \rangle$

lemma *wp1_prec*: **fixes** *e::tbd*
shows $wp_1\ c1\ Q\ l\ s \Longrightarrow l\ x = prec\ c1\ e\ s \Longrightarrow wp_1\ c1\ (\lambda l\ s.\ Q\ l\ s \wedge e\ s = l\ x)\ l\ s$
 $\langle proof \rangle$

lemma *wp1_is_pre*: *finite (support Q)* $\Longrightarrow \vdash_1 \{wp_1\ c\ Q\} c \{ \lambda s.\ \downarrow_t (c, s) \Downarrow Q \}$
 $\langle proof \rangle$

lemma *valid_wp*: $\vdash_1 \{P\} c \{p \Downarrow Q\} \longleftrightarrow (\exists k > 0.\ (\forall l\ s.\ P\ l\ s \longrightarrow (wp_1\ c\ Q\ l\ s \wedge ((THE\ n.\ (\exists t.\ ((c, s) \Rightarrow n \Downarrow t)))) \leq k * p\ s)))$
 $\langle proof \rangle$

theorem *hoare1_complete*: *finite (support Q)* $\Longrightarrow \vdash_1 \{P\} c \{p \Downarrow Q\} \Longrightarrow$

$\vdash_1 \{P\}c\{p \Downarrow Q\}$
 $\langle proof \rangle$

corollary *hoare1_sound_complete*: $finite (support\ Q) \implies \vdash_1 \{P\}c\{p \Downarrow Q\}$
 $\longleftrightarrow \models_1 \{P\}c\{p \Downarrow Q\}$
 $\langle proof \rangle$

end

theory *Nielson_VCG* **imports** *Nielson_Hoare* **begin**

4.6 Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

datatype *acom* =
Askip $(\langle SKIP \rangle) \mid$
Aassign *vname* *aexp* $(\langle _ ::= _ \rangle [1000, 61] 61) \mid$
Aseq *acom* *acom* $(\langle _ ;; _ \rangle [60, 61] 60) \mid$
Aif *bexp* *acom* *acom* $(\langle (IF _ / THEN _ / ELSE _) \rangle [0, 0, 61] 61) \mid$
Aconseq *assn2* *assn2* *tbd* *acom*
 $(\langle \{ _ / _ / _ \} CONSEQ _ \rangle [0, 0, 0, 61] 61) \mid$
Awhile (*assn2*)*((*state* $\Rightarrow$ *state*)*(*tbd*)) *bexp* *acom* $(\langle \{ _ \} / WHILE _ / DO _ \rangle [0, 0, 61] 61)$

notation *com.SKIP* $(\langle SKIP \rangle)$

Strip annotations:

fun *strip* :: *acom* $\Rightarrow$ *com* **where**
strip *SKIP* = *SKIP* |
strip (*x* ::= *a*) = (*x* ::= *a*) |
strip (*C*₁;; *C*₂) = (*strip* *C*₁;; *strip* *C*₂) |
strip (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = (*IF* *b* *THEN* *strip* *C*₁ *ELSE* *strip* *C*₂)
|
strip ($\{ _ / _ / _ \}$ *CONSEQ* *C*) = *strip* *C* |
strip ($\{ _ \}$ *WHILE* *b* *DO* *C*) = (*WHILE* *b* *DO* *strip* *C*)
support of an expression

4.6.1 support and supportE

definition *supportE* :: (*char list* $\Rightarrow$ *nat*) $\Rightarrow$ (*char list* $\Rightarrow$ *int*) $\Rightarrow$ *nat* $\Rightarrow$ *string set* **where**

$\text{supportE } P = \{x. \exists l1 \ l2 \ s. (\forall y. y \neq x \longrightarrow l1 \ y = l2 \ y) \wedge P \ l1 \ s \neq P \ l2 \ s\}$

lemma *expr_lupd*: $x \notin \text{supportE } Q \implies Q \ (l(x:=n)) = Q \ l$
 $\langle \text{proof} \rangle$

lemma *supportE_if*: $\text{supportE } (\lambda l \ s. \text{if } b \ s \text{ then } A \ l \ s \text{ else } B \ l \ s) \subseteq \text{supportE } A \cup \text{supportE } B$
 $\langle \text{proof} \rangle$

lemma *support_eq*: $\text{support } (\lambda l \ s. l \ x = E \ l \ s) \subseteq \text{supportE } E \cup \{x\}$
 $\langle \text{proof} \rangle$

lemma *support_impl_in*: $G \ e \longrightarrow \text{support } (\lambda l \ s. H \ e \ l \ s) \subseteq T \implies \text{support } (\lambda l \ s. G \ e \longrightarrow H \ e \ l \ s) \subseteq T$
 $\langle \text{proof} \rangle$

lemma *support_supportE*: $\bigwedge P \ e. \text{support } (\lambda l \ s. P \ (e \ l \ s)) \subseteq \text{supportE } e$
 $\langle \text{proof} \rangle$

fun *varacom* :: *acom* $\Rightarrow$ *lname set* **where**
 $\text{varacom } (C_1;; C_2) = \text{varacom } C_1 \cup \text{varacom } C_2$
 $|\ \text{varacom } (\text{IF } b \ \text{THEN } C_1 \ \text{ELSE } C_2) = \text{varacom } C_1 \cup \text{varacom } C_2$
 $|\ \text{varacom } (\{P/Q\text{annot}/_ \} \ \text{CONSEQ } C) = \text{support } P \cup \text{varacom } C \cup \text{support } Q\text{annot}$
 $|\ \text{varacom } (\{(I,(S,(E)))\} \ \text{WHILE } b \ \text{DO } C) = \text{support } I \cup \text{varacom } C$
 $|\ \text{varacom } _ = \{\}$

Weakest precondition from annotated commands:

fun *preT* :: *acom* $\Rightarrow$ *tbd* $\Rightarrow$ *tbd* **where**
 $\text{preT } \text{SKIP } e = e \mid$
 $\text{preT } (x ::= a) \ e = (\lambda s. e(s(x := \text{aval } a \ s))) \mid$
 $\text{preT } (C_1;; C_2) \ e = \text{preT } C_1 \ (\text{preT } C_2 \ e) \mid$
 $\text{preT } (\{ _ / _ / _ \} \ \text{CONSEQ } C) \ e = \text{preT } C \ e \mid$
 $\text{preT } (\text{IF } b \ \text{THEN } C_1 \ \text{ELSE } C_2) \ e =$
 $(\lambda s. \text{if } \text{bval } b \ s \text{ then } \text{preT } C_1 \ e \ s \text{ else } \text{preT } C_2 \ e \ s) \mid$
 $\text{preT } (\{(_,(S,_))\} \ \text{WHILE } b \ \text{DO } C) \ e = e \ o \ S$

lemma *preT_linear*: $\text{preT } C \ (\%s. k * e \ s) = (\%s. k * \text{preT } C \ e \ s)$
 $\langle \text{proof} \rangle$

fun *postQ* :: *acom* $\Rightarrow$ *state* $\Rightarrow$ *state* **where**
postQ *SKIP* *s* = *s* |
postQ (*x* ::= *a*) *s* = *s*(*x* := *aval a s*) |
postQ (*C*₁;; *C*₂) *s* = *postQ* *C*₂ (*postQ* *C*₁ *s*) |
postQ ({*_*/*_*/*_*} *CONSEQ* *C*) *s* = *postQ* *C* *s* |
postQ (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *s* =
(*if bval b s then postQ C*₁ *s* *else postQ C*₂ *s*) |
postQ ({*(_,(S,_))*} *WHILE* *b* *DO* *C*) *s* = *S s*

lemma *TQ*: *preT C e s* = *e* (*postQ C s*)
 $\langle$ *proof* $\rangle$

function (*domintros*) *times* :: *state* $\Rightarrow$ *bexp* $\Rightarrow$ *acom* $\Rightarrow$ *nat* **where**
times s b C = (*if bval b s then Suc (times (postQ C s) b C)* *else 0*)
 $\langle$ *proof* $\rangle$

lemma *assumes I*: *I z s* **and**
i: $\bigwedge s z. I (Suc\ z) s \implies bval\ b\ s \wedge I\ z\ (postQ\ C\ s)$
and *ii*: $\bigwedge s. I\ 0\ s \implies \sim bval\ b\ s$
shows *times_z*: *times s b C* = *z*
 $\langle$ *proof* $\rangle$

function (*domintros*) *postQs* :: *acom* $\Rightarrow$ *bexp* $\Rightarrow$ *state* $\Rightarrow$ *state* **where**
postQs C b s = (*if bval b s then (postQs C b (postQ C s))* *else s*)
 $\langle$ *proof* $\rangle$

fun *postQz* :: *acom* $\Rightarrow$ *state* $\Rightarrow$ *nat* $\Rightarrow$ *state* **where**
postQz C s 0 = *s* |
postQz C s (Suc n) = (*postQz C (postQ C s) n*)

fun *preTz* :: *acom* $\Rightarrow$ *tbd* $\Rightarrow$ *nat* $\Rightarrow$ *tbd* **where**
preTz C e 0 = *e* |
preTz C e (Suc n) = *preT C (preTz C e n)*

lemma TzQ : $preTz\ C\ e\ n\ s = e\ (postQz\ C\ s\ n)$
 $\langle proof \rangle$

4.6.2 Weakest precondition from annotated commands:

fun $pre :: acom \Rightarrow assn2 \Rightarrow assn2$ **where**
 $pre\ SKIP\ Q = Q \mid$
 $pre\ (x ::= a)\ Q = (\lambda l\ s.\ Q\ l\ (s(x := aval\ a\ s))) \mid$
 $pre\ (C_1;;\ C_2)\ Q = pre\ C_1\ (pre\ C_2\ Q) \mid$
 $pre\ (\{P'/_/_\}\ CONSEQ\ C)\ Q = P' \mid$
 $pre\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ Q =$
 $(\lambda l\ s.\ if\ bval\ b\ s\ then\ pre\ C_1\ Q\ l\ s\ else\ pre\ C_2\ Q\ l\ s) \mid$
 $pre\ (\{(I,(S,(E)))\}\ WHILE\ b\ DO\ C)\ Q = I$

lemma $supportE_preT$: $supportE\ (\%l.\ preT\ C\ (e\ l)) \subseteq supportE\ e$
 $\langle proof \rangle$

lemma $supportE_twicepreT$: $supportE\ (\%l.\ preT\ C1\ (preT\ C2\ (e\ l))) \subseteq$
 $supportE\ e$
 $\langle proof \rangle$

lemma $supportE_preTz$: $supportE\ (\%l.\ preTz\ C\ (e\ l)\ n) \subseteq supportE\ e$
 $\langle proof \rangle$

lemma $supportE_preTz_Un$:
 $supportE\ (\lambda l.\ preTz\ C\ (e\ l)\ (l\ x)) \subseteq insert\ x\ (UN\ n.\ supportE\ (\lambda l.\ preTz\ C\ (e\ l)\ n))$
 $\langle proof \rangle$

lemma $supportE_preTz2$: $supportE\ (\%l.\ preTz\ C\ (e\ l)\ (l\ x)) \subseteq insert\ x\ (supportE\ e)$
 $\langle proof \rangle$

lemma pff : $\bigwedge n.\ support\ (\lambda l.\ I\ (l(x := n))) \subseteq support\ I - \{x\}$
 $\langle proof \rangle$

lemma pff : $\bigwedge n.\ support\ (\lambda l.\ I\ (l(x := n))) \subseteq support\ I$
 $\langle proof \rangle$

lemma *supportAB*: $\text{support } (\lambda l \ s. A \ l \ s \wedge B \ s) \subseteq \text{support } A$
 $\langle \text{proof} \rangle$

lemma *support* ($\text{pre } (\{(I, (S, (E)))\} \text{ WHILE } b \text{ DO } C) \ Q$) $\subseteq \text{support } I$
 $\langle \text{proof} \rangle$

lemma *support_pre*: $\text{support } (\text{pre } C \ Q) \subseteq \text{support } Q \cup \text{varacom } C$
 $\langle \text{proof} \rangle$

lemma *finite_support_pre[simp]*: $\text{finite } (\text{support } Q) \implies \text{finite } (\text{varacom } C) \implies \text{finite } (\text{support } (\text{pre } C \ Q))$
 $\langle \text{proof} \rangle$

fun *time* :: $\text{acom} \Rightarrow \text{tbd}$ **where**
 $\text{time } \text{SKIP} = (\%s. \text{Suc } 0) \mid$
 $\text{time } (x ::= a) = (\%s. \text{Suc } 0) \mid$
 $\text{time } (C_1 ;; C_2) = (\%s. \text{time } C_1 \ s + \text{preT } C_1 \ (\text{time } C_2) \ s) \mid$
 $\text{time } (\{_/_/_/e\} \text{ CONSEQ } C) = e \mid$
 $\text{time } (\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) =$
 $(\lambda s. \text{if } \text{bval } b \ s \text{ then } 1 + \text{time } C_1 \ s \text{ else } 1 + \text{time } C_2 \ s) \mid$
 $\text{time } (\{(_, (E', (E)))\} \text{ WHILE } b \text{ DO } C) = E$

lemma *supportE_single*: $\text{supportE } (\lambda l \ s. P) = \{\}$
 $\langle \text{proof} \rangle$

lemma *supportE_plus*: $\text{supportE } (\lambda l \ s. e1 \ l \ s + e2 \ l \ s) \subseteq \text{supportE } e1 \cup \text{supportE } e2$
 $\langle \text{proof} \rangle$

lemma *supportE_Suc*: $\text{supportE } (\lambda l \ s. \text{Suc } (e1 \ l \ s)) = \text{supportE } e1$
 $\langle \text{proof} \rangle$

lemma *supportE_single2*: $\text{supportE } (\lambda l . P) = \{\}$
 $\langle \text{proof} \rangle$

lemma *supportE_time*: $\text{supportE } (\lambda l. \text{time } C) = \{\}$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=0)) s) = (\forall l. l x = 0 \longrightarrow I l s)$
 $\langle proof \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=Suc (l x))) s) = (\forall l. (\exists n. l x = Suc n) \longrightarrow I l s)$
 $\langle proof \rangle$

Verification condition:

fun $vc :: acom \Rightarrow assn2 \Rightarrow bool$ **where**
 $vc \text{ SKIP } Q = True \mid$
 $vc (x ::= a) Q = True \mid$
 $vc (C_1 ;; C_2) Q = ((vc C_1 (pre C_2 Q)) \wedge (vc C_2 Q)) \mid$
 $vc (IF b THEN C_1 ELSE C_2) Q = (vc C_1 Q \wedge vc C_2 Q) \mid$
 $vc (\{P'/Q/e'\} CONSEQ C) Q' = (vc C Q \wedge (\exists k > 0. (\forall l s. P' l s \longrightarrow$
 $time C s \leq k * e' s \wedge (\forall t. \exists l'. (pre C Q) l' s \wedge (Q l' t \longrightarrow Q' l t)))) \mid$
 $vc (\{(I, (S, (E)))\} WHILE b DO C) Q =$
 $((\forall l s. (I l s \wedge bval b s \longrightarrow pre C I l s \wedge E s \geq 1 + preT C E s + time$
 $C s$
 $\wedge S s = S (postQ C s)) \wedge$
 $(I l s \wedge \neg bval b s \longrightarrow Q l s \wedge E s \geq 1 \wedge S s = s)) \wedge$
 $vc C I)$

lemma $pre_mono:$
 $(\forall l s. P l s \longrightarrow P' l s) \Longrightarrow pre C P l s \Longrightarrow pre C P' l s$
 $\langle proof \rangle$

lemma $vc_mono: (\forall l s. P l s \longrightarrow P' l s) \Longrightarrow vc C P \Longrightarrow vc C P'$
 $\langle proof \rangle$

4.6.3 Soundness:

abbreviation $preSet U C l s == (Ball U (\%u. case u of (x,e) \Rightarrow l x = preT C e s))$

abbreviation $postSet U l s == (Ball U (\%u. case u of (x,e) \Rightarrow l x = e s))$

fun $ListUpdate$ **where**
 $ListUpdate f [] l = f$
 $\mid ListUpdate f ((x,e)\#xs) q = (ListUpdate f xs q)(x:=q e x)$

lemma $allg:$
assumes $U2: \bigwedge l s n x. x \in fst \text{ ' } upds \Longrightarrow A (l(x := n)) = A l$
shows
 $fst \text{ ' } set xs \subseteq fst \text{ ' } upds \Longrightarrow A (ListUpdate l'' xs q) = A l''$

$\langle \text{proof} \rangle$

fun *ListUpdateE* **where**

ListUpdateE $\square$ = *f*
| *ListUpdateE* *f* $((x,v)\#xs)$ = (*ListUpdateE* *f* *xs*) (*x:=v*)

lemma *ListUpdate_E*: *ListUpdateE* *f* *xs* = *ListUpdate* *f* *xs* (%*e* *x*. *e*)

$\langle \text{proof} \rangle$

lemma *allg_E*: **fixes** *A::assn2*

assumes

$(\bigwedge l\ s\ n\ x. x \in \text{fst } ' \text{ upds} \implies A\ (l(x := n)) = A\ l)\ \text{fst } ' \text{ set } xs \subseteq \text{fst } ' \text{ upds}$

shows $A\ (\text{ListUpdateE } f\ xs) = A\ f$

$\langle \text{proof} \rangle$

lemma *ListUpdateE_updates*: $\text{distinct } (\text{map } \text{fst } xs) \implies x \in \text{set } xs \implies \text{ListUpdateE } l''\ xs\ (\text{fst } x) = \text{snd } x$

$\langle \text{proof} \rangle$

lemma *ListUpdate_updates*: $x \in \text{fst } ' (\text{set } xs) \implies \text{ListUpdate } l''\ xs\ (%e. l)\ x = l\ x$

$\langle \text{proof} \rangle$

abbreviation *lesvars* *xs* == *fst* ' (*set* *xs*)

fun *preList* **where**

preList $\square$ *C* *l* *s* = *True*
| *preList* $((x,e)\#xs)$ *C* *l* *s* = (*l* *x* = *preT* *C* *e* *s* $\wedge$ *preList* *xs* *C* *l* *s*)

lemma *preList_Seq*: *preList* *upds* (*C1*;; *C2*) *l* *s* = *preList* (*map* $(\lambda(x, e). (x, \text{preT } C2\ e))$ *upds*) *C1* *l* *s*

$\langle \text{proof} \rangle$

lemma *support_True[simp]*: *support* $(\lambda a\ b. \text{True}) = \{\}$

$\langle \text{proof} \rangle$

lemma *support_preList*: *support* (*preList* *upds* *C1*) $\subseteq$ *lesvars* *upds*

$\langle \text{proof} \rangle$

lemma *preListpreSet*: *preSet* (*set* *xs*) *C* *l* *s* $\implies$ *preList* *xs* *C* *l* *s*

$\langle \text{proof} \rangle$

lemma *preSetpreList*: *preList* *xs* *C* *l* *s* $\implies$ *preSet* (*set* *xs*) *C* *l* *s*

$\langle proof \rangle$

lemma *preSetpreList_eq*: $preList\ xs\ C\ l\ s = preSet\ (set\ xs)\ C\ l\ s$
 $\langle proof \rangle$

fun *postList* **where**
 postList [] *l s* = *True*
| *postList* ((*x,e*)#*xs*) *l s* = (*l x = e s* $\wedge$ *postList xs l s*)

lemma *support_postList*: $support\ (postList\ xs) \subseteq lesvars\ xs$
 $\langle proof \rangle$

lemma *postpreList_inv*: **assumes** $S\ s = S\ (postQ\ C\ s)$
 shows $postList\ (map\ (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ upds)\ l\ s = preList\ (map\ (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ upds)\ C\ l\ s$
 $\langle proof \rangle$

lemma *postList_preList*: $postList\ (map\ (\lambda(x, e). (x, preT\ C\ e))\ upds)\ l\ s = preList\ upds\ C\ l\ s$
 $\langle proof \rangle$

lemma *postSetpostList*: $postList\ xs\ l\ s \implies postSet\ (set\ xs)\ l\ s$
 $\langle proof \rangle$

lemma *postListpostSet*: $postSet\ (set\ xs)\ l\ s \implies postList\ xs\ l\ s$
 $\langle proof \rangle$

lemma *ListAskip*: $preList\ xs\ Askip\ l\ s = postList\ xs\ l\ s$
 $\langle proof \rangle$

lemma *SetAskip*: $preSet\ U\ Askip\ l\ s = postSet\ U\ l\ s$
 $\langle proof \rangle$

lemma *ListAassign*: $preList\ upds\ (Aassign\ x1\ x2)\ l\ s = postList\ upds\ l\ (s[x2/x1])$
 $\langle proof \rangle$

lemma *SetAassign*: $\text{preSet } U \ (\text{Aassign } x1 \ x2) \ l \ s = \text{postSet } U \ l \ (s[x2/x1])$
 $\langle \text{proof} \rangle$

lemma *ListAconseq*: $\text{preList } \text{upds} \ (\text{Aconseq } x1 \ x2 \ x3 \ C) \ l \ s = \text{preList } \text{upds} \ C \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAconseq*: $\text{preSet } U \ (\text{Aconseq } x1 \ x2 \ x3 \ C) \ l \ s = \text{preSet } U \ C \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAif1*: $\text{bval } b \ s \implies \text{preList } \text{upds} \ (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s$
 $= \text{preList } \text{upds} \ C1 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAif1*: $\text{bval } b \ s \implies \text{preSet } \text{upds} \ (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s =$
 $\text{preSet } \text{upds} \ C1 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAif2*: $\sim \text{bval } b \ s \implies \text{preList } \text{upds} \ (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s$
 $= \text{preList } \text{upds} \ C2 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAif2*: $\sim \text{bval } b \ s \implies \text{preSet } \text{upds} \ (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s$
 $= \text{preSet } \text{upds} \ C2 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *vc_sound*: $\text{vc } C \ Q \implies \text{finite } (\text{support } Q) \implies \text{finite } (\text{varacom } C)$
 $\implies \text{fst } '(\text{set } \text{upds}) \cap \text{varacom } C = \{\}$
 $\implies \vdash_1 \{\%l \ s. \text{pre } C \ Q \ l \ s \wedge \text{preList } \text{upds} \ C \ l \ s\} \text{strip } C \ \{ \text{time } C \Downarrow \%l$
 $s. \ Q \ l \ s \wedge \text{postList } \text{upds} \ l \ s\}$
 $\wedge (\forall l \ s. \text{pre } C \ Q \ l \ s \longrightarrow Q \ l \ (\text{postQ } C \ s))$
 $\langle \text{proof} \rangle$

corollary *vc_sound'*:

assumes $\text{vc } C \ Q$
 $\text{finite } (\text{support } Q) \ \text{finite } (\text{varacom } C)$
 $\forall l \ s. \ P \ l \ s \longrightarrow \text{pre } C \ Q \ l \ s$

shows $\vdash_1 \{P\} \text{ strip } C \{ \text{time } C \Downarrow Q \}$
 $\langle \text{proof} \rangle$

lemma *preT_constant*: $\text{preT } C \ (\% _ . a) = (\% _ . a)$
 $\langle \text{proof} \rangle$

corollary *vc_sound''*:
 $\llbracket \text{vc } C \ Q; (\exists k > 0. \forall l \ s. P \ l \ s \longrightarrow \text{pre } C \ Q \ l \ s \wedge \text{time } C \ s \leq k * e \ s);$
 $\text{finite } (\text{support } Q); \text{finite } (\text{varacom } C) \rrbracket \Longrightarrow \vdash_1 \{P\} \text{ strip } C \{e \Downarrow Q\}$
 $\langle \text{proof} \rangle$

4.6.4 Completeness:

lemma *vc_complete*:
 $\vdash_1 \{P\} \ c \{ e \Downarrow Q \} \Longrightarrow \exists C. \text{strip } C = c \wedge \text{vc } C \ Q$
 $\wedge (\forall l \ s. P \ l \ s \longrightarrow \text{pre } C \ Q \ l \ s \wedge Q \ l \ (\text{postQ } C \ s))$
 $\wedge (\exists k. \forall l \ s. P \ l \ s \longrightarrow \text{time } C \ s \leq k * e \ s)$
 $(\text{is } _ \Longrightarrow \exists C. ?G \ P \ c \ Q \ C \ e)$
 $\langle \text{proof} \rangle$

end

4.7 The Variables in an Expression

theory *Vars* **imports** *Com*
begin

We need to collect the variables in both arithmetic and boolean expressions. For a change we do not introduce two functions, e.g. *avars* and *bvars*, but we overload the name *vars* via a *type class*, a device that originated with Haskell:

class *vars* =
fixes *vars* :: 'a $\Rightarrow$ *vname set*

This defines a type class “vars” with a single function of (coincidentally) the same name. Then we define two separated instances of the class, one for *aexp* and one for *bexp*:

instantiation *aexp* :: *vars*
begin

fun *vars_aexp* :: *aexp* $\Rightarrow$ *vname set* **where**
vars (*N n*) = {} |
vars (*V x*) = {*x*} |
vars (*Plus a₁ a₂*) = *vars a₁* $\cup$ *vars a₂* |

$vars (Times\ a_1\ a_2) = vars\ a_1 \cup vars\ a_2 \mid$
 $vars (Div\ a_1\ a_2) = vars\ a_1 \cup vars\ a_2$

instance $\langle proof \rangle$

end

value $vars (Plus (V\ "x") (V\ "y"))$

instantiation $bexp :: vars$

begin

fun $vars_bexp :: bexp \Rightarrow vname\ set$ **where**

$vars (Bc\ v) = \{\}$ $\mid$

$vars (Not\ b) = vars\ b \mid$

$vars (And\ b_1\ b_2) = vars\ b_1 \cup vars\ b_2 \mid$

$vars (Less\ a_1\ a_2) = vars\ a_1 \cup vars\ a_2$

instance $\langle proof \rangle$

end

value $vars (Less (Plus (V\ "z") (V\ "y")) (V\ "x"))$

abbreviation

$eq_on :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ set \Rightarrow bool$

$(\langle _ = / _ / \ on _ \rangle [50,0,50]\ 50)$ **where**

$f = g\ on\ X == \forall\ x \in X. f\ x = g\ x$

lemma $aval_eq_if_eq_on_vars[simp]:$

$s_1 = s_2\ on\ vars\ a \Longrightarrow aval\ a\ s_1 = aval\ a\ s_2$

$\langle proof \rangle$

lemma $bval_eq_if_eq_on_vars:$

$s_1 = s_2\ on\ vars\ b \Longrightarrow bval\ b\ s_1 = bval\ b\ s_2$

$\langle proof \rangle$

fun $lvars :: com \Rightarrow vname\ set$ **where**

$lvars\ SKIP = \{\}$ $\mid$

$lvars\ (x ::= e) = \{x\} \mid$

$lvars\ (c1 ;; c2) = lvars\ c1 \cup lvars\ c2 \mid$

$lvars\ (IF\ b\ THEN\ c1\ ELSE\ c2) = lvars\ c1 \cup lvars\ c2 \mid$

$lvars (WHILE\ b\ DO\ c) = lvars\ c$

```

fun rvars :: com  $\Rightarrow$  vname set where
  rvars SKIP = {} |
  rvars (x::=e) = vars e |
  rvars (c1;;c2) = rvars c1  $\cup$  rvars c2 |
  rvars (IF b THEN c1 ELSE c2) = vars b  $\cup$  rvars c1  $\cup$  rvars c2 |
  rvars (WHILE b DO c) = vars b  $\cup$  rvars c

```

```

instantiation com :: vars
begin

```

```

definition vars_com c = lvars c  $\cup$  rvars c

```

```

instance <proof>

```

```

end

```

```

lemma vars_com_simps[simp]:
  vars SKIP = {}
  vars (x::=e) = {x}  $\cup$  vars e
  vars (c1;;c2) = vars c1  $\cup$  vars c2
  vars (IF b THEN c1 ELSE c2) = vars b  $\cup$  vars c1  $\cup$  vars c2
  vars (WHILE b DO c) = vars b  $\cup$  vars c
<proof>

```

```

end
theory Nielson_VCGi
imports Nielson_Hoare Vars
begin

```

4.8 Optimized Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

```

datatype acom =
  Askip (SKIP) |
  Aassign vname aexp ( ( ( _ ::= _ ) ) [1000, 61] 61 ) |
  Aseq acom acom ( ( _ ;; / _ ) [60, 61] 60 ) |
  Aif bexp acom acom ( ( ( IF _ / THEN _ / ELSE _ ) ) [0, 0, 61] 61 ) |
  Aconseq assn2*(vname set) assn2*(vname set) tbd * (vname set) acom
  ( ( { _ / _ / _ } / CONSEQ _ ) [0, 0, 0, 61] 61 ) |
  Awhile (assn2*(vname set))*((state $\Rightarrow$ state)*(tbd*((vname set)*(vname  $\Rightarrow$ 

```

$lname\ set))))))\ bexp\ acom\ (\langle \{_\} / WHILE _ / DO _ \rangle [0, 0, 61] 61)$

notation $com.SKIP\ (\langle SKIP \rangle)$

Strip annotations:

fun $strip :: acom \Rightarrow com$ **where**

$strip\ SKIP = SKIP \mid$
 $strip\ (x ::= a) = (x ::= a) \mid$
 $strip\ (C_1;; C_2) = (strip\ C_1;; strip\ C_2) \mid$
 $strip\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) = (IF\ b\ THEN\ strip\ C_1\ ELSE\ strip\ C_2)$
 $\mid$
 $strip\ (\{_\}/_\/_\} CONSEQ\ C) = strip\ C \mid$
 $strip\ (\{_\} WHILE\ b\ DO\ C) = (WHILE\ b\ DO\ strip\ C)$

support of an expression

definition $supportE :: ((char\ list \Rightarrow nat) \Rightarrow (char\ list \Rightarrow int) \Rightarrow nat) \Rightarrow string\ set$ **where**

$supportE\ P = \{x. \exists l1\ l2\ s. (\forall y. y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s\}$

lemma $expr_lupd: x \notin supportE\ Q \Longrightarrow Q\ (l(x:=n)) = Q\ l$

$\langle proof \rangle$

fun $varacom :: acom \Rightarrow lname\ set$ **where**

$varacom\ (C_1;; C_2) = varacom\ C_1 \cup varacom\ C_2$
 $\mid varacom\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) = varacom\ C_1 \cup varacom\ C_2$
 $\mid varacom\ (\{(P, _)/(Qannot, _)/_\} CONSEQ\ C) = support\ P \cup varacom\ C$
 $\cup support\ Qannot$
 $\mid varacom\ (\{((I, _), (S, (E, Es)))\} WHILE\ b\ DO\ C) = support\ I \cup varacom\ C$
 $\mid varacom\ _ = \{\}$

fun $varnewacom :: acom \Rightarrow lname\ set$ **where**

$varnewacom\ (C_1;; C_2) = varnewacom\ C_1 \cup varnewacom\ C_2$
 $\mid varnewacom\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) = varnewacom\ C_1 \cup varnewacom\ C_2$
 $\mid varnewacom\ (\{_\}/_\/_\} CONSEQ\ C) = varnewacom\ C$
 $\mid varnewacom\ (\{(I, (S, (E, Es)))\} WHILE\ b\ DO\ C) = varnewacom\ C$
 $\mid varnewacom\ _ = \{\}$

lemma $finite_varnewacom: finite\ (varnewacom\ C)$

$\langle \text{proof} \rangle$

fun *wf* :: *acom* $\Rightarrow$ *lvarname set* $\Rightarrow$ *bool* **where**
wf *SKIP* *_* = *True* |
wf (*x* ::= *a*) *_* = *True* |
wf (*C*₁;; *C*₂) *S* = (*wf* *C*₁ (*S* $\cup$ *varnewacom C*₂) $\wedge$ *wf* *C*₂ *S*) |
wf (*IF* *b THEN C*₁ *ELSE C*₂) *S* = (*wf* *C*₁ *S* $\wedge$ *wf* *C*₂ *S*) |
wf ({*_*/*_*/*_*} *CONSEQ C*) *S* = (*finite* (*support Qannot*) $\wedge$ *wf* *C S*) |
wf ({*_*,(*_*,(*_*,*Es*)))} *WHILE b DO C*) *S* = (*wf* *C S*)

Weakest precondition from annotated commands:

fun *preT* :: *acom* $\Rightarrow$ *tbd* $\Rightarrow$ *tbd* **where**
preT *SKIP* *e* = *e* |
preT (*x* ::= *a*) *e* = ($\lambda s. e(s(x := \text{aval } a \ s)))$) |
preT (*C*₁;; *C*₂) *e* = *preT* *C*₁ (*preT* *C*₂ *e*) |
preT ({*_*/*_*/*_*} *CONSEQ C*) *e* = *preT* *C e* |
preT (*IF* *b THEN C*₁ *ELSE C*₂) *e* =
($\lambda s. \text{if } \text{bval } b \ s \text{ then } \text{preT } C_1 \ e \ s \text{ else } \text{preT } C_2 \ e \ s$) |
preT ({*_*,(*S*,*_*))} *WHILE b DO C*) *e* = *e o S*

lemma *preT_constant*: *preT C* ($\%_{_}. a$) = ($\%_{_}. a$)
 $\langle \text{proof} \rangle$

lemma *preT_linear*: *preT C* ($\%s. k * e \ s$) = ($\%s. k * \text{preT } C \ e \ s$)
 $\langle \text{proof} \rangle$

fun *postQ* :: *acom* $\Rightarrow$ *state* $\Rightarrow$ *state* **where**
postQ *SKIP* *s* = *s* |
postQ (*x* ::= *a*) *s* = *s*(*x* := *aval a s*) |
postQ (*C*₁;; *C*₂) *s* = *postQ* *C*₂ (*postQ* *C*₁ *s*) |
postQ ({*_*/*_*/*_*} *CONSEQ C*) *s* = *postQ C s* |
postQ (*IF* *b THEN C*₁ *ELSE C*₂) *s* =
(*if* *bval b s* *then postQ C*₁ *s* *else postQ C*₂ *s*) |
postQ ({*_*,(*S*,*_*))} *WHILE b DO C*) *s* = *S s*

fun *fune* :: *acom* $\Rightarrow$ *vname set* $\Rightarrow$ *vname set* **where**
fune *SKIP* *LV* = *LV* |

$\text{fune } (x ::= a) \text{ LV} = \text{LV} \cup \text{vars } a \mid$
 $\text{fune } (C_1;; C_2) \text{ LV} = \text{fune } C_1 (\text{fune } C_2 \text{ LV}) \mid$
 $\text{fune } (\{ _ / _ / _ \} \text{ CONSEQ } C) \text{ LV} = \text{fune } C \text{ LV} \mid$
 $\text{fune } (\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \text{ LV} = \text{vars } b \cup \text{fune } C_1 \text{ LV} \cup \text{fune } C_2 \text{ LV} \mid$
 $\text{fune } (\{ (_, (S, (E, Es, SS))) \} \text{ WHILE } b \text{ DO } C) \text{ LV} = (\bigcup_{x \in \text{LV}} \text{SS } x)$

lemma *fune_mono*: $A \subseteq B \implies \text{fune } C \ A \subseteq \text{fune } C \ B$
 $\langle \text{proof} \rangle$

lemma *TQ*: $\text{preT } C \ e \ s = e \ (\text{postQ } C \ s)$
 $\langle \text{proof} \rangle$

function (*domintros*) *times* :: $\text{state} \Rightarrow \text{bexp} \Rightarrow \text{acom} \Rightarrow \text{nat}$ **where**
 $\text{times } s \ b \ C = (\text{if } \text{bval } b \ s \text{ then } \text{Suc } (\text{times } (\text{postQ } C \ s) \ b \ C) \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *assumes I*: $I \ z \ s$ **and**
i: $\bigwedge s \ z. I \ (\text{Suc } z) \ s \implies \text{bval } b \ s \wedge I \ z \ (\text{postQ } C \ s)$
and *ii*: $\bigwedge s. I \ 0 \ s \implies \sim \text{bval } b \ s$
shows *times_z*: $\text{times } s \ b \ C = z$
 $\langle \text{proof} \rangle$

fun *postQz* :: $\text{acom} \Rightarrow \text{state} \Rightarrow \text{nat} \Rightarrow \text{state}$ **where**
 $\text{postQz } C \ s \ 0 = s \mid$
 $\text{postQz } C \ s \ (\text{Suc } n) = (\text{postQz } C \ (\text{postQ } C \ s) \ n)$

fun *preTz* :: $\text{acom} \Rightarrow \text{tbd} \Rightarrow \text{nat} \Rightarrow \text{tbd}$ **where**
 $\text{preTz } C \ e \ 0 = e \mid$
 $\text{preTz } C \ e \ (\text{Suc } n) = \text{preT } C \ (\text{preTz } C \ e \ n)$

lemma *TzQ*: $\text{preTz } C \ e \ n \ s = e \ (\text{postQz } C \ s \ n)$
 $\langle \text{proof} \rangle$

Weakest precondition from annotated commands:

fun *pre* :: $\text{acom} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$ **where**
 $\text{pre } \text{SKIP } Q = Q \mid$

$pre\ (x ::= a)\ Q = (\lambda l\ s.\ Q\ l\ (s(x := aval\ a\ s))) \mid$
 $pre\ (C_1;;\ C_2)\ Q = pre\ C_1\ (pre\ C_2\ Q) \mid$
 $pre\ (\{(P',Ps)/_/_\}\ CONSEQ\ C)\ Q = P' \mid$
 $pre\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ Q =$
 $(\lambda l\ s.\ if\ bval\ b\ s\ then\ pre\ C_1\ Q\ l\ s\ else\ pre\ C_2\ Q\ l\ s) \mid$
 $pre\ (\{((I,Is),(S,(E,Es,SS)))\}\ WHILE\ b\ DO\ C)\ Q = I$

fun $qdeps :: acom \Rightarrow vname\ set \Rightarrow vname\ set$ **where**
 $qdeps\ SKIP\ LV = LV \mid$
 $qdeps\ (x ::= a)\ LV = LV \cup vars\ a \mid$
 $qdeps\ (C_1;;\ C_2)\ LV = qdeps\ C_1\ (qdeps\ C_2\ LV) \mid$
 $qdeps\ (\{(P',Ps)/_/_\}\ CONSEQ\ C)\ _ = Ps \mid$
 $qdeps\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ LV = vars\ b \cup qdeps\ C_1\ LV \cup qdeps\ C_2\ LV \mid$
 $qdeps\ (\{((I,Is),(S,(E,x,Es)))\}\ WHILE\ b\ DO\ C)\ _ = Is$

lemma $qdeps_mono: A \subseteq B \implies qdeps\ C\ A \subseteq qdeps\ C\ B$
 $\langle proof \rangle$

lemma $supportE_if: supportE\ (\lambda l\ s.\ if\ b\ s\ then\ A\ l\ s\ else\ B\ l\ s)$
 $\subseteq supportE\ A \cup supportE\ B$
 $\langle proof \rangle$

lemma $supportE_preT: supportE\ (\%l.\ preT\ C\ (e\ l)) \subseteq supportE\ e$
 $\langle proof \rangle$

lemma $supportE_twicepreT: supportE\ (\%l.\ preT\ C1\ (preT\ C2\ (e\ l))) \subseteq$
 $supportE\ e$
 $\langle proof \rangle$

lemma $supportE_preTz: supportE\ (\%l.\ preTz\ C\ (e\ l)\ n) \subseteq supportE\ e$
 $\langle proof \rangle$

lemma $supportE_preTz_Un:$
 $supportE\ (\lambda l.\ preTz\ C\ (e\ l)\ (l\ x)) \subseteq insert\ x\ (UN\ n.\ supportE\ (\lambda l.\ preTz\ C\ (e\ l)\ n))$
 $\langle proof \rangle$

lemma $support_eq: support\ (\lambda l\ s.\ l\ x = E\ l\ s) \subseteq supportE\ E \cup \{x\}$
 $\langle proof \rangle$

lemma *support_impl_in*: $G\ e \longrightarrow \text{support } (\lambda l\ s.\ H\ e\ l\ s) \subseteq T$
 $\implies \text{support } (\lambda l\ s.\ G\ e \longrightarrow H\ e\ l\ s) \subseteq T$
 $\langle \text{proof} \rangle$

lemma *support_supportE*: $\bigwedge P\ e.\ \text{support } (\lambda l\ s.\ P\ (e\ l)\ s) \subseteq \text{supportE}\ e$
 $\langle \text{proof} \rangle$

lemma *support_pre*: $\text{support } (\text{pre}\ C\ Q) \subseteq \text{support}\ Q \cup \text{varacom}\ C$
 $\langle \text{proof} \rangle$

lemma *finite_support_pre*: $\text{finite } (\text{support}\ Q) \implies \text{finite } (\text{varacom}\ C) \implies$
 $\text{finite } (\text{support } (\text{pre}\ C\ Q))$
 $\langle \text{proof} \rangle$

fun *time* :: *acom* $\Rightarrow$ *tbd* **where**
time *SKIP* = (%*s*. *Suc* 0) |
time (*x* ::= *a*) = (%*s*. *Suc* 0) |
time (*C*₁;; *C*₂) = (%*s*. *time* *C*₁ *s* + *preT* *C*₁ (*time* *C*₂) *s*) |
time ({_/_/(*e*,*es*)} *CONSEQ* *C*) = *e* |
time (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) =
($\lambda s.$ if *bval* *b* *s* then 1 + *time* *C*₁ *s* else 1 + *time* *C*₂ *s*) |
time ({_/_/(*E'*,(*E*,*x*))} *WHILE* *b* *DO* *C*) = *E*

fun *kdeps* :: *acom* $\Rightarrow$ *vname set* **where**
kdeps *SKIP* = {} |
kdeps (*x* ::= *a*) = {} |
kdeps (*C*₁;; *C*₂) = *kdeps* *C*₁ $\cup$ *fune* *C*₁ (*kdeps* *C*₂) |
kdeps (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = *vars* *b* $\cup$ *kdeps* *C*₁ $\cup$ *kdeps* *C*₂ |
kdeps ({_/_/(*E'*,(*E*,*Es*,*SS*)))} *WHILE* *b* *DO* *C*) = *Es* |
kdeps ({_/_/(*e*,*es*)} *CONSEQ* *C*) = *es*

lemma *supportE_single*: $\text{supportE } (\lambda l\ s.\ P) = \{\}$
 $\langle \text{proof} \rangle$

lemma *supportE_plus*: $\text{supportE } (\lambda l\ s.\ e1\ l\ s + e2\ l\ s) \subseteq \text{supportE}\ e1 \cup$
 $\text{supportE}\ e2$
 $\langle \text{proof} \rangle$

lemma *supportE_Suc*: *supportE* ($\lambda l\ s.\ \text{Suc}\ (e1\ l\ s)$) = *supportE* *e1*
 $\langle \text{proof} \rangle$

lemma *supportE_single2*: *supportE* ($\lambda l.\ P$) = {}
 $\langle \text{proof} \rangle$

lemma *supportE_time*: *supportE* ($\lambda l.\ \text{time}\ C$) = {}
 $\langle \text{proof} \rangle$

lemma $\bigwedge s.\ (\forall l.\ I\ (l(x:=0))\ s) = (\forall l.\ l\ x = 0 \longrightarrow I\ l\ s)$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s.\ (\forall l.\ I\ (l(x:=\text{Suc}\ (l\ x))))\ s) = (\forall l.\ (\exists n.\ l\ x = \text{Suc}\ n) \longrightarrow I\ l\ s)$
 $\langle \text{proof} \rangle$

Verification condition:

definition *funStar* **where** *funStar* *f* = (%*x*. {*y*. (*x*,*y*) $\in$ {(*x*,*y*). *y* $\in$ *f* *x*}^{*})})

lemma *funStart_prop1*: $x \in (\text{funStar}\ f)\ x\ \langle \text{proof} \rangle$

lemma *funStart_prop2*: $f\ x \subseteq (\text{funStar}\ f)\ x\ \langle \text{proof} \rangle$

fun *vc* :: *acom* $\Rightarrow$ *assn2* $\Rightarrow$ *vname set* $\Rightarrow$ *vname set* $\Rightarrow$ *bool* **where**
vc *SKIP* *Q* *__* *__* = *True* |
vc ($x ::= a$) *Q* *__* *__* = *True* |
vc ($C_1 ;; C_2$) *Q* *LVQ* *LVE* = ((*vc* C_1 (*pre* C_2 *Q*) (*qdeps* C_2 *LVQ*) (*funC* C_2 *LVE* $\cup$ *kdeps* C_2)) $\wedge$ (*vc* C_2 *Q* *LVQ* *LVE*)) |
vc (*IF* *b* *THEN* C_1 *ELSE* C_2) *Q* *LVQ* *LVE* = (*vc* C_1 *Q* *LVQ* *LVE* $\wedge$ *vc* C_2 *Q* *LVQ* *LVE*) |
vc ({(P',Ps)/(Q,Qs)/(e',es)} *CONSEQ* *C*) *Q'* *LVQ* *LVE* = (*vc* *C* *Q* *Qs* *LVE* — evtl *LV* weglassen - glaub eher nicht
 $\wedge (\forall s1\ s2\ l.\ (\forall x \in Ps.\ s1\ x = s2\ x) \longrightarrow P'\ l\ s1 = P'\ l\ s2)$ —
annotation *Ps* (the set of variables *P'* depends on) is correct
 $\wedge (\forall s1\ s2\ l.\ (\forall x \in Qs.\ s1\ x = s2\ x) \longrightarrow Q\ l\ s1 = Q\ l\ s2)$ —
annotation *Qs* (the set of variables *Q* depends on) is correct
 $\wedge (\forall s1\ s2.\ (\forall x \in es.\ s1\ x = s2\ x) \longrightarrow e'\ s1 = e'\ s2)$ —
annotation *es* (the set of variables *e'* depends on) is correct
 $\wedge (\exists k > 0.\ (\forall l\ s.\ P'\ l\ s \longrightarrow \text{time}\ C\ s \leq k * e'\ s \wedge (\forall t.\ \exists l'. (\text{pre}\ C\ Q)\ l'\ s \wedge (Q\ l'\ t \longrightarrow Q'\ l\ t))))$) |

vc ({((*I*,*Is*),(*S*,(*E*,*es*,*SS*)))} *WHILE* *b* *DO* *C*) *Q* *LVQ* *LVE* = (($\forall s1\ s2\ l.$
 $(\forall x \in Is.\ s1\ x = s2\ x) \longrightarrow I\ l\ s1 = I\ l\ s2)$ — annotation *Is* is correct
 $\wedge (\forall y \in LVE \cup LVQ.\ (\text{let}\ Ss = SS\ y\ \text{in}\ (\forall s1\ s2.\ (\forall x \in Ss.\ s1\ x = s2\ x)$

$\longrightarrow (S\ s1)\ y = (S\ s2)\ y)))$ — annotation SS is correct, for only one step
 $\wedge (\forall s1\ s2. (\forall x \in es. s1\ x = s2\ x) \longrightarrow E\ s1 = E\ s2)$ —
 annotation es (the set of variables E depends on) is correct
 $\wedge (\forall l\ s. (I\ l\ s \wedge bval\ b\ s \longrightarrow pre\ C\ I\ l\ s \wedge E\ s \geq 1 + preT\ C\ E\ s + time\ C\ s$
 $\wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (funStar\ SS)\ y). (S\ s)\ v = (S\ (postQ\ C\ s))\ v)$
 $) \wedge$
 $(I\ l\ s \wedge \neg bval\ b\ s \longrightarrow Q\ l\ s \wedge E\ s \geq 1 \wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (funStar\ SS)\ y). (S\ s)\ v = s\ v)) \wedge$
 $vc\ C\ I\ Is\ (es \cup (\bigcup y \in LVE. (funStar\ SS)\ y)))$

4.8.1 Soundness:

abbreviation $preSet\ U\ C\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e,v) \Rightarrow l\ x = preT\ C\ e\ s))$

abbreviation $postSet\ U\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e,v) \Rightarrow l\ x = e\ s))$

fun $ListUpdate$ **where**

$ListUpdate\ f\ []\ l = f$
 $| ListUpdate\ f\ ((x,e,v)\#xs)\ q = (ListUpdate\ f\ xs\ q)(x:=q\ e\ x)$

lemma $allg$:

assumes $U2: \bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l$

shows

$fst\ 'set\ xs \subseteq fst\ 'upds \Longrightarrow A\ (ListUpdate\ l''\ xs\ q) = A\ l''$

$\langle proof \rangle$

fun $ListUpdateE$ **where**

$ListUpdateE\ f\ [] = f$
 $| ListUpdateE\ f\ ((x,e,v)\#xs) = (ListUpdateE\ f\ xs)\ (x:=e)$

lemma $ListUpdate_E$: $ListUpdateE\ f\ xs = ListUpdate\ f\ xs\ (\%e\ x. e)$

$\langle proof \rangle$

lemma $allg_E$: **fixes** $A::asn2$

assumes

$(\bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l) \wedge fst\ 'set\ xs \subseteq fst\ 'upds$

shows $A\ (ListUpdateE\ f\ xs) = A\ f$

$\langle proof \rangle$

lemma $ListUpdateE_updates$: $distinct\ (map\ fst\ xs) \Longrightarrow x \in set\ xs \Longrightarrow ListUpdateE\ l''\ xs\ (fst\ x) = fst\ (snd\ x)$

$\langle \text{proof} \rangle$

lemma *ListUpdate_updates*: $x \in \text{fst } '(\text{set } xs) \implies \text{ListUpdate } l'' xs (\%e. l)$
 $x = l x$
 $\langle \text{proof} \rangle$

abbreviation *lesvars* $xs == \text{fst } '(\text{set } xs)$

fun *preList* **where**
 preList [] $C l s = \text{True}$
| *preList* (($x, (e, v)$)# xs) $C l s = (l x = \text{preT } C e s \wedge \text{preList } xs C l s)$

lemma *preList_Seq*: $\text{preList } \text{upds } (C1;; C2) l s = \text{preList } (\text{map } (\lambda(x, e, v). (x, \text{preT } C2 e, \text{fune } C2 v)) \text{upds}) C1 l s$
 $\langle \text{proof} \rangle$

lemma [*simp*]: $\text{support } (\lambda a b. \text{True}) = \{\}$
 $\langle \text{proof} \rangle$

lemma *support_preList*: $\text{support } (\text{preList } \text{upds } C1) \subseteq \text{lesvars } \text{upds}$
 $\langle \text{proof} \rangle$

lemma *preListpreSet*: $\text{preSet } (\text{set } xs) C l s \implies \text{preList } xs C l s$
 $\langle \text{proof} \rangle$

lemma *preSetpreList*: $\text{preList } xs C l s \implies \text{preSet } (\text{set } xs) C l s$
 $\langle \text{proof} \rangle$

lemma *preSetpreList_eq*: $\text{preList } xs C l s = \text{preSet } (\text{set } xs) C l s$
 $\langle \text{proof} \rangle$

fun *postList* **where**
 postList [] $l s = \text{True}$
| *postList* (($x, (e, v)$)# xs) $l s = (l x = e s \wedge \text{postList } xs l s)$

lemma *postList* $xs l s = (\text{foldr } (\lambda(x, e, v) acc l s. l x = e s \wedge acc l s) xs (\%l s. \text{True})) l s$
 $\langle \text{proof} \rangle$

lemma *support_postList*: $\text{support } (\text{postList } xs) \subseteq \text{lesvars } xs$
 $\langle \text{proof} \rangle$

lemma *postList_preList*: $\text{postList } (\text{map } (\lambda(x, e, v). (x, \text{preT } C2 \ e, \text{fune } C2 \ v)) \ \text{upds}) \ l \ s = \text{preList } \text{upds } C2 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *postSetpostList*: $\text{postList } xs \ l \ s \implies \text{postSet } (\text{set } xs) \ l \ s$
 $\langle \text{proof} \rangle$

lemma *postListpostSet*: $\text{postSet } (\text{set } xs) \ l \ s \implies \text{postList } xs \ l \ s$
 $\langle \text{proof} \rangle$

lemma *postListpostSet2*: $\text{postList } xs \ l \ s = \text{postSet } (\text{set } xs) \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAskip*: $\text{preList } xs \ \text{Askip } l \ s = \text{postList } xs \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAskip*: $\text{preSet } U \ \text{Askip } l \ s = \text{postSet } U \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAassign*: $\text{preList } \text{upds } (\text{Aassign } x1 \ x2) \ l \ s = \text{postList } \text{upds } l \ (s[x2/x1])$
 $\langle \text{proof} \rangle$

lemma *SetAassign*: $\text{preSet } U \ (\text{Aassign } x1 \ x2) \ l \ s = \text{postSet } U \ l \ (s[x2/x1])$
 $\langle \text{proof} \rangle$

lemma *ListAconseq*: $\text{preList } \text{upds } (\text{Aconseq } x1 \ x2 \ x3 \ C) \ l \ s = \text{preList } \text{upds } C \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAconseq*: $\text{preSet } U \ (\text{Aconseq } x1 \ x2 \ x3 \ C) \ l \ s = \text{preSet } U \ C \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAif1*: $\text{bval } b \ s \implies \text{preList } \text{upds } (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s$

$= \text{preList upds } C1 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAif1*: $\text{bval } b \ s \implies \text{preSet upds } (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s = \text{preSet upds } C1 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *ListAif2*: $\sim \text{bval } b \ s \implies \text{preList upds } (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s = \text{preList upds } C2 \ l \ s$
 $\langle \text{proof} \rangle$

lemma *SetAif2*: $\sim \text{bval } b \ s \implies \text{preSet upds } (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ l \ s = \text{preSet upds } C2 \ l \ s$
 $\langle \text{proof} \rangle$

definition *K* **where** $K \ C \ LVQ \ Q == (\forall l \ s1 \ s2. \ s1 = s2 \text{ on } qdeps \ C \ LVQ \longrightarrow \text{pre } C \ Q \ l \ s1 = \text{pre } C \ Q \ l \ s2)$

definition *K2* **where** $K2 \ C \ e \ Es \ Q == (\forall s1 \ s2. \ s1 = s2 \text{ on } fune \ C \ Es \longrightarrow \text{preT } C \ e \ s1 = \text{preT } C \ e \ s2)$

definition *K3* **where** $K3 \ \text{upds } C \ Q = (\forall (a,b,c) \in \text{set upds}. \ K2 \ C \ b \ c \ Q)$

definition *K4* **where** $K4 \ \text{upds } LV \ C \ Q = (K \ C \ LV \ Q \wedge K3 \ \text{upds } C \ Q \wedge (\forall s1 \ s2. \ s1 = s2 \text{ on } kdeps \ C \longrightarrow \text{time } C \ s1 = \text{time } C \ s2))$

lemma *k4If*: $K4 \ \text{upds } LVQ \ C1 \ Q \implies K4 \ \text{upds } LVQ \ C2 \ Q \implies K4 \ \text{upds } LVQ \ (\text{IF } b \ \text{THEN } C1 \ \text{ELSE } C2) \ Q$
 $\langle \text{proof} \rangle$

4.8.2 Soundness

lemma *vc_sound*: $\text{vc } C \ Q \ LVQ \ LVE \implies \text{finite } (\text{support } Q)$
 $\implies \text{fst } ' \ (\text{set upds}) \cap \text{varacom } C = \{\} \implies \text{distinct } (\text{map fst upds})$
 $\implies \text{finite } (\text{varacom } C)$
 $\implies (\forall l \ s1 \ s2. \ s1 = s2 \text{ on } LVQ \longrightarrow Q \ l \ s1 = Q \ l \ s2)$
 $\implies (\forall l \ s1 \ s2. \ s1 = s2 \text{ on } LVE \longrightarrow \text{postList upds } l \ s1 = \text{postList upds } l \ s2)$
 $\implies (\forall (a,b,c) \in \text{set upds}. \ (\forall s1 \ s2. \ s1 = s2 \text{ on } c \longrightarrow b \ s1 = b \ s2))$ —
 c are really the variables b depends on
 $\implies (\bigcup (a,b,c) \in \text{set upds}. \ c) \subseteq LVE$ — in LV
are all the variables that the expressions in upds depend on
 $\implies \vdash_1 \ \{\%l \ s. \ \text{pre } C \ Q \ l \ s \wedge \text{preList upds } C \ l \ s\} \ \text{strip } C \ \{\text{time } C \ \Downarrow \ \%l \ s. \ Q \ l \ s \wedge \text{postList upds } l \ s\}$
 $\wedge ((\forall l \ s. \ \text{pre } C \ Q \ l \ s \longrightarrow Q \ l \ (\text{postQ } C \ s)) \wedge K4 \ \text{upds } LVQ \ C \ Q)$
 $\langle \text{proof} \rangle$

```

corollary vc_sound':
  assumes vc C Q Qset {}
            finite (support Q) finite (varacom C)
             $\forall l s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s$ 
             $\wedge s1\ s2\ l. s1 = s2\ on\ Qset \implies Q\ l\ s1 = Q\ l\ s2$ 
  shows  $\vdash_1 \{P\}\ strip\ C\ \{time\ C\ \Downarrow\ Q\}$ 
<proof>

```

```

corollary vc_sound'':
  assumes vc C Q Qset {}
            finite (support Q) finite (varacom C)
             $(\exists k > 0. \forall l s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge time\ C\ s \leq k * e\ s)$ 
             $\wedge s1\ s2\ l. s1 = s2\ on\ Qset \implies Q\ l\ s1 = Q\ l\ s2$ 
  shows  $\vdash_1 \{P\}\ strip\ C\ \{e\ \Downarrow\ Q\}$ 
<proof>

```

```

end
theory Nielson_VCGi_complete
imports Nielson_VCG Nielson_VCGi
begin

```

4.8.3 Completeness

As the improved VCG for the Nielson logic is only more liberal in the sense that the S annotation is only checked for "interesting" variables, if we specify the set of interesting variables to be all variables we basically get the same verification conditions as for the normal VCG. In that sense, we can prove the completeness of the improved VCG with the completeness theorem of the normal VCG.

For that, we formulate some translation functions and in the end show completeness of the improved VCG:

```

fun transl :: Nielson_VCG.acom  $\Rightarrow$  Nielson_VCGi.acom where
  transl SKIP = SKIP |
  transl (x ::= a) = (x ::= a) |
  transl (C1;; C2) = (transl C1;; transl C2) |
  transl (IF b THEN C1 ELSE C2) = (IF b THEN transl C1 ELSE transl C2) |
  transl ({A/B/D} CONSEQ C) = ({((A,UNIV)/(B,UNIV)/(D,UNIV))
CONSEQ transl C) |
  transl ({(I,S,E)} WHILE b DO C) = ({((I,UNIV),S,E,UNIV,(\lambda v. UNIV))
WHILE b DO transl C)

```

lemma *qdeps_UNIV*: *qdeps (transl C) UNIV = UNIV*
 $\langle \text{proof} \rangle$

lemma *fune_UNIV*: *fune (transl C) UNIV = UNIV*
 $\langle \text{proof} \rangle$

lemma *pre_transl*: *Nielson_VCGi.pre (transl C) Q = Nielson_VCG.pre C Q*
 $\langle \text{proof} \rangle$

lemma *preT_transl*: *Nielson_VCGi.preT (transl C) E = Nielson_VCG.preT C E*
 $\langle \text{proof} \rangle$

lemma *postQ_transl*: *Nielson_VCGi.postQ (transl C) = Nielson_VCG.postQ C*
 $\langle \text{proof} \rangle$

lemma *time_transl*: *Nielson_VCGi.time (transl C) = Nielson_VCG.time C*
 $\langle \text{proof} \rangle$

lemma *vc_transl*: *Nielson_VCG.vc C Q $\implies$ Nielson_VCGi.vc (transl C) Q UNIV UNIV*
 $\langle \text{proof} \rangle$

lemma *strip_transl*: *Nielson_VCGi.strip (transl C) = Nielson_VCG.strip C*
 $\langle \text{proof} \rangle$

lemma *vc_restrict_complete*:
assumes $\vdash_1 \{P\} c \{e \Downarrow Q\}$
shows $\exists C. \text{Nielson_VCGi.strip } C = c \wedge \text{Nielson_VCGi.vc } C \text{ } Q \text{ UNIV UNIV}$
 $\wedge (\forall l s. P \ l \ s \longrightarrow \text{Nielson_VCGi.pre } C \ Q \ l \ s \wedge Q \ l \ (\text{Nielson_VCGi.postQ } C \ s))$
 $\wedge (\exists k. \forall l s. P \ l \ s \longrightarrow \text{Nielson_VCGi.time } C \ s \leq k * e \ s)$
(is $\exists C. ?G \ P \ c \ Q \ C \ e)$
 $\langle \text{proof} \rangle$

```

end
theory Nielson_Examples
imports Nielson_VCG
begin

```

4.8.4 example

```

lemma  $\vdash_1 \{ \%l\ s.\ True \} SKIP;; SKIP \{ \%s.\ 1 \Downarrow \%l\ s.\ True \}$ 
<proof>

```

```

lemma finite (support P)  $\implies \vdash_1 \{ P \} strip\ Askip \{ time\ Askip \Downarrow P \}$ 
<proof>

```

```

lemma support_single2: support ( $\lambda l\ s.\ P\ s$ ) = {}
<proof>

```

```

lemma  $\vdash_1 \{ \%l\ s.\ True \} strip\ (Aassign\ a\ (N\ 1)) \{ time\ (Aassign\ a\ (N\ 1)) \Downarrow \%l\ s.\ s\ a = 1 \}$ 
<proof>

```

```

lemma  $\vdash_1 \{ \%l\ s.\ True \} strip\ ( (a ::= (N\ 1)) ;; Askip ) \{ time\ ( (a ::= (N\ 1)) ;; Askip ) \Downarrow \%l\ s.\ s\ a = 1 \}$ 
<proof>

```

```

lemma  $\vdash_1 \{ \%l\ s.\ True \} strip\ ( (a ::= (N\ 1)) ;; b ::= (V\ a) ) \{ time\ ( (a ::= (N\ 1)) ;; b ::= (V\ a) ) \Downarrow \%l\ s.\ s\ b = 1 \}$ 
<proof>

```

lemma assumes

$E: E = (\%s.\ 1 + 2 * (4 - nat\ (s\ a)))$ and

$C: C = (\{ (I, (S, (E))) \} WHILE\ Less\ (V\ a)\ (N\ 3)\ DO\ a ::= Plus\ (V\ a)\ (N\ 1))$

shows $\bigwedge s.\ 0 \leq s\ a \implies time\ C\ s \leq 9$

<proof>

Count up to 3 lemma example_count_upto_3: assumes

$I: I = (\%l\ s.\ s\ a \geq 0)$ and

$E: E = (\%s.\ 1 + 2 * (4 - nat\ (s\ a)))$ and

$S: S = (\%s.\ (if\ s\ a \geq 3\ then\ s\ else\ s(a:=3)))$ and

$C: C = (\{ (I, (S, (E))) \} WHILE\ Less\ (V\ a)\ (N\ 3)\ DO\ a ::= Plus\ (V\ a)\ (N\ 1))$

shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ a \} \text{strip } C \{ \text{time } C \Downarrow \%l\ s.\ \text{True} \}$
 $\langle \text{proof} \rangle$

Count up to b lemma *example_count_upto_b*: **assumes**

$I: I = (\%l\ s.\ s\ a \geq 0) \text{ and}$

$E: E = (\%s.\ 1 + 2 * ((\text{nat } b + 1) - \text{nat } (s\ a))) \text{ and}$

$S: S = (\%s.\ (\text{if } s\ a \geq b \text{ then } s \text{ else } s(a:=b))) \text{ and}$

$C: C = (\{(I, (S, (E)))\} \text{ WHILE Less } (V\ a) (N\ b) \text{ DO } a ::= \text{Plus } (V\ a) (N\ 1))$

shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ a \} \text{strip } C \{ \text{time } C \Downarrow \%l\ s.\ \text{True} \}$
 $\langle \text{proof} \rangle$

Example: multiplication by repeated addition lemma *helper*: $(A::\text{int})$
 $*\ B + B = (A+1) * B \langle \text{proof} \rangle$

lemma mult: **assumes**

$I: I = (\%l\ s.\ s\ "a" \geq 0 \wedge s\ "a" \geq s\ "z" \wedge s\ "z" \geq 0 \wedge s\ "y" = s\ "z"$
 $* (s\ "b")) \text{ and}$

$E: E = (\%s.\ 1 + 3 * ((\text{nat } (s\ "a") + 1) - \text{nat } (s\ "z"))) \text{ and}$

$S: S = (\%s.\ (\text{if } s\ "z" \geq s\ "a" \text{ then } s \text{ else } s("y":=(s\ "a") * (s\ "b"), "z":=s\ "a")) \text{ and}$

$C: C = ("y" ::= (N\ 0); "z" ::= (N\ 0); \{(I, (S, (E)))\} \text{ WHILE Less } (V\ "z") (V\ "a") \text{ DO } ("y" ::= \text{Plus } (V\ "y") (V\ "b")); "z" ::= \text{Plus } (V\ "z") (N\ 1)) \text{ and}$

$f: f = (\%s.\ 3 * (\text{nat } (s\ "a") + 2))$

shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ "a" \} \text{strip } C \{ f \Downarrow \%l\ s.\ s\ "y" = s\ "a" * (s\ "b") \}$
 $\langle \text{proof} \rangle$

lemma mult_abstract: **assumes**

$I: I = (\%l\ s.\ s\ "a" \geq 0 \wedge s\ "a" \geq s\ "z" \wedge s\ "z" \geq 0 \wedge s\ "y" = s\ "z"$
 $* (s\ "b")) \text{ and}$

$E: E = (\%s.\ 1 + 2 * ((\text{nat } (s\ "a") + 1) - \text{nat } (s\ "z"))) \text{ and}$

$S: S = (\%s.\ (\text{if } s\ "z" \geq s\ "a" \text{ then } s \text{ else } s("y":=(s\ "a") * (s\ "b"), "z":=s\ "a")) \text{ and}$

$e: e = (\%s.\ 1) \text{ and}$

$lb[\text{simp}]: (lb::\text{acom}) = (\{\lambda l\ s.\ I\ l\ s \wedge s\ "z" < s\ "a" / I / e\} \text{ CONSEQ } ("y" ::= \text{Plus } (V\ "y") (V\ "b")); "z" ::= \text{Plus } (V\ "z") (N\ 1)) \text{ and}$

$l[\text{simp}]: (l::\text{acom}) = \{(I, (S, (E)))\} \text{ WHILE } (\text{Less } (V\ "z") (V\ "a")) \text{ DO } lb \text{ and}$

$e'[\text{simp}]: e' = (\%s.\ 1 + (\text{nat } (s\ "a"))) \text{ and}$

$wl[simp]: (wl::acom) = \{I/\lambda l s. I l s \wedge s''z'' \geq s''a''/e\} \text{ CONSEQ } l \text{ and}$
 $C: (C::acom) = (y'' := (N 0));; z'' := (N 0) ;; wl) \text{ and}$
 $f: f = (\%s. nat(s''a'') + 1)$
shows $\vdash_1 \{ \%l s. 0 \leq s''a'' \} \text{ strip } (\{ \%l s. 0 \leq s''a'' / \%l s. s''y'' = s''a'' * (s''b'') / f \} \text{ CONSEQ } C) \{ f \Downarrow \%l s. s''y'' = s''a'' * (s''b'') \}$
 $\langle proof \rangle$

Example: nested loops lemma nested: assumes

$I2: I2 = (\%l s. s''a'' \geq 0 \wedge s''b'' \geq 0 \wedge s''a'' > s''z'' \wedge s''z'' \geq 0 \wedge s''b'' \geq s''g'' \wedge s''g'' \geq 0 \wedge s''y'' = (s''z'') * (s''b'') + s''g'')$
and

$I1: I1 = (\%l s. s''a'' \geq 0 \wedge s''b'' \geq 0 \wedge s''a'' \geq s''z'' \wedge s''z'' \geq 0 \wedge s''y'' = s''z'' * (s''b''))$
and

$E2: E2 = (\%s. 1 + 3 * ((nat(s''b'')) - nat(s''g'')))$
 $S2: S2 = (\%s. (if s''g'' \geq s''b'' then s else s(''y'':=(s''z'') * (s''b'') + s''b'', ''g'':=s''b'')))$
and

$E1: E1 = (\%s. 1 + (4 + (3 * ((nat(s''b''))))) * ((nat(s''a'')) - nat(s''z'')))$
and

$S1: S1 = (\%s. (if s''z'' \geq s''a'' then s else s(''y'':=(s''a'') * (s''b''), ''z'':=s''a'', ''g'':=s''b'')))$
and

$C: C = (y'' := (N 0));;$
 $z'' := (N 0) ;;$
 $\{(I1, (S1, (E1)))\} \text{ WHILE Less } (V''z'') (V''a'') \text{ DO}$
 $($
 $g'' := (N 0) ;;$
 $($
 $\{(I2, (S2, (E2)))\} \text{ WHILE Less } (V''g'') (V''b'') \text{ DO}$
 $y'' := Plus (V''y'') (N 1);;$
 $g'' := Plus (V''g'') (N 1))$
 $) ;;$
 $z'' := Plus (V''z'') (N 1))$
 $) \text{ and}$
 $f: f = (\%s. 3 + 4 * nat(s''a'') + 3 * (nat(s''a'') * nat(s''b'')))$
shows $\vdash_1 \{ \%l s. 0 \leq s''a'' \wedge s''b'' \geq 0 \} \text{ strip } C \{ f \Downarrow \%l s. s''y'' = s''a'' * (s''b'') \}$
 $\langle proof \rangle$

with logical variables lemma fin_sup_single: finite (support ($\lambda l. P(l a)$))

$\langle proof \rangle$

lemmas *fin_support = fin_sup_single*

lemma *finite_support_and: finite (support A) $\implies$ finite (support B) $\implies$ finite (support ($\lambda l s. A l s \wedge B l s$))*
 $\langle proof \rangle$

end

theory *Nielson_Sqrt*

imports *Nielson_VCGi HOL-Library.Discrete_Functions*

begin

4.9 Example: discrete square root in Nielson's logic

As an example, consider the following program that computes the discrete square root:

definition *c :: com where c =*

```

    "l" ::= N 0 ;;
    "m" ::= N 0 ;;
    "r" ::= Plus (N 1) (V "x");;
    (WHILE (Less (Plus (N 1) (V "l")) (V "r"))
      DO ("m" ::= (Div (Plus (V "l") (V "r")) (N 2)) ;;
        (IF Not (Less (Times (V "m") (V "m")) (V "x"))
          THEN "l" ::= V "m"
          ELSE "r" ::= V "m");;
        "m" ::= N 0))

```

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

lemma *absch: $\bigwedge s k. 1 + s \text{ "x" } = 2^k \implies 5 * k \leq 96 + 100 * \text{floor_log}(\text{nat } s \text{ "x"})$*
 $\langle proof \rangle$

For simplicity we assume, that during the process all segments between "l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the precondition P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log \text{ "x" })$

lemma

assumes *P: P = ($\lambda l s. (\exists k. 1 + s \text{ "x" } = 2^k)$)*
and *e : e = ($\lambda s. \text{floor_log}(\text{nat } s \text{ "x"}) + 1$) and*

```

      Q[simp]: Q = ( $\lambda l s. True$ )
    shows  $\vdash_1 \{P\} c \{e \Downarrow Q\}$ 
  <proof>

```

end

5 Quantitative Hoare Logic (due to Carbonneaux)

```

theory Quant_Hoare
imports Big_StepT Complex_Main HOL-Library.Extended_Nat
begin

```

```

abbreviation eq a b == (And (Not (Less a b)) (Not (Less b a)))

```

```

type_synonym lname = string
type_synonym assn = state  $\Rightarrow$  bool
type_synonym qassn = state  $\Rightarrow$  enat

```

The support of an assn2

```

abbreviation state_subst :: state  $\Rightarrow$  aexp  $\Rightarrow$  vname  $\Rightarrow$  state
  ( $\langle \_ \rangle' / \_ \rangle$ ) [1000,0,0] 999
where  $s[a/x] == s(x := aval a s)$ 

```

```

fun emb :: bool  $\Rightarrow$  enat ( $\langle \uparrow \rangle$ ) where
  emb False =  $\infty$ 
  | emb True = 0

```

5.1 Validity of quantitative Hoare Triple

```

definition hoare2_valid :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool
  ( $\langle \models_2 \{ (1\_)\} / (\_) / \{ (1\_)\} \rangle$  50) where
 $\models_2 \{P\} c \{Q\} \longleftrightarrow (\forall s. P s < \infty \longrightarrow (\exists t p. ((c,s) \Rightarrow p \Downarrow t) \wedge P s \geq p + Q t))$ 

```

5.2 Hoare logic for quantiative reasoning

inductive

```

  hoare2 :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool ( $\langle \vdash_2 \{ (1\_)\} / (\_) / \{ (1\_)\} \rangle$  50)

```

where

$$\text{Skip: } \vdash_2 \{ \%s. \text{eSuc } (P \ s) \} \text{ SKIP } \{ P \} \mid$$

$$\text{Assign: } \vdash_2 \{ \lambda s. \text{eSuc } (P \ (s[a/x])) \} x ::= a \{ P \} \mid$$

$$\begin{aligned} \text{If: } & \llbracket \vdash_2 \{ \lambda s. P \ s + \uparrow(\text{bval } b \ s) \} \ c_1 \{ Q \}; \\ & \vdash_2 \{ \lambda s. P \ s + \uparrow(\neg \text{bval } b \ s) \} \ c_2 \{ Q \} \rrbracket \\ & \implies \vdash_2 \{ \lambda s. \text{eSuc } (P \ s) \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ Q \} \mid \end{aligned}$$

$$\text{Seq: } \llbracket \vdash_2 \{ P_1 \} \ c_1 \{ P_2 \}; \vdash_2 \{ P_2 \} \ c_2 \{ P_3 \} \rrbracket \implies \vdash_2 \{ P_1 \} \ c_1;;c_2 \{ P_3 \} \mid$$

While:

$$\begin{aligned} & \llbracket \vdash_2 \{ \%s. I \ s + \uparrow(\text{bval } b \ s) \} \ c \{ \%t. I \ t + 1 \} \rrbracket \\ & \implies \vdash_2 \{ \lambda s. I \ s + 1 \} \text{ WHILE } b \text{ DO } c \{ \lambda s. I \ s + \uparrow(\neg \text{bval } b \ s) \} \mid \end{aligned}$$

$$\begin{aligned} \text{conseq: } & \llbracket \vdash_2 \{ P \} c \{ Q \} ; \wedge s. P \ s \leq P' \ s ; \wedge s. Q' \ s \leq Q \ s \rrbracket \implies \\ & \vdash_2 \{ P' \} c \{ Q' \} \end{aligned}$$

derived rules

$$\begin{aligned} \text{lemma } \text{strengthen_pre: } & \llbracket \forall s. P \ s \leq P' \ s ; \vdash_2 \{ P \} \ c \{ Q \} \rrbracket \implies \vdash_2 \{ P' \} \ c \\ & \{ Q \} \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{weaken_post: } & \llbracket \vdash_2 \{ P \} \ c \{ Q \}; \forall s. Q \ s \geq Q' \ s \rrbracket \implies \vdash_2 \{ P \} \ c \\ & \{ Q' \} \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{Assign': } & \forall s. P \ s \geq \text{eSuc } (Q(s[a/x])) \implies \vdash_2 \{ P \} \ x ::= a \{ Q \} \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{progress: } & (c, s) \Rightarrow p \Downarrow t \implies p > 0 \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{FalseImplies: } & \vdash_2 \{ \%s. \infty \} \ c \{ Q \} \\ & \langle \text{proof} \rangle \end{aligned}$$

5.3 Soundness

The soundness theorem:

$$\begin{aligned} \text{lemma } \text{help1: } & \text{assumes } \text{enat } a + X \leq Y \\ & \text{enat } b + Z \leq X \\ & \text{shows } \text{enat } (a + b) + Z \leq Y \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *help2'*: **assumes** $\text{enat } p + \text{INV } t \leq \text{INV } s$
 $0 < p \text{ INV } s = \text{enat } n$
shows $\text{INV } t < \text{INV } s$
 $\langle \text{proof} \rangle$

lemma *help2*: **assumes** $\text{enat } p + \text{INV } t + 1 \leq \text{INV } s$
 $\text{INV } s = \text{enat } n$
shows $\text{INV } t < \text{INV } s$
 $\langle \text{proof} \rangle$

lemma *Seq_sound*: **assumes** $\models_2 \{P1\} C1 \{P2\}$
 $\models_2 \{P2\} C2 \{P3\}$
shows $\models_2 \{P1\} C1 ;; C2 \{P3\}$
 $\langle \text{proof} \rangle$

theorem *hoare2_sound*: $\vdash_2 \{P\} c \{ Q \} \implies \models_2 \{P\} c \{ Q \}$
 $\langle \text{proof} \rangle$

5.4 Completeness

definition *wp2* :: $\text{com} \Rightarrow \text{qassn} \Rightarrow \text{qassn}$ ($\langle \text{wp2} \rangle$) **where**
 $\text{wp2 } c \ Q = (\lambda s. (\text{if } (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge Q \ t < \infty) \text{ then enat } (\text{THE } p. \exists t. (c, s) \Rightarrow p \Downarrow t) + Q \ (\text{THE } t. \exists p. (c, s) \Rightarrow p \Downarrow t) \text{ else } \infty))$

lemma *wp2_alt*: $\text{wp2 } c \ Q = (\lambda s. (\text{if } \downarrow(c, s) \text{ then enat } (\downarrow_t (c, s)) + Q \ (\downarrow_s (c, s)) \text{ else } \infty))$
 $\langle \text{proof} \rangle$

theorem *wp2_is_weakestprePotential*: $\models_2 \{P\} c \{Q\} \longleftrightarrow (\forall s. \text{wp2 } c \ Q \ s \leq P \ s)$
 $\langle \text{proof} \rangle$

lemma *wp2_Skip[simp]*: $\text{wp2 } \text{SKIP} \ Q = (\%s. \text{eSuc } (Q \ s))$
 $\langle \text{proof} \rangle$

lemma *wp2_Assign[simp]*: $\text{wp2 } (x ::= e) \ Q = (\lambda s. \text{eSuc } (Q \ (s(x := \text{aval } e \ s))))$
 $\langle \text{proof} \rangle$

lemma *wp2_Seq[simp]*: $wp_2 (c_1;;c_2) Q = wp_2 c_1 (wp_2 c_2 Q)$
 $\langle proof \rangle$

lemma *wp2_If[simp]*:
 $wp_2 (IF b THEN c_1 ELSE c_2) Q = (\lambda s. eSuc (wp_2 (if bval b s then c_1 else c_2) Q s))$
 $\langle proof \rangle$

lemma assumes *b: bval b s*
shows *wp2WhileTrue*: $wp_2 c (wp_2 (WHILE b DO c) Q) s + 1 \leq wp_2 (WHILE b DO c) Q s$
 $\langle proof \rangle$

lemma assumes *b: bval b s*
shows *wp2WhileTrue'*: $wp_2 c (wp_2 (WHILE b DO c) Q) s + 1 = wp_2 (WHILE b DO c) Q s$
 $\langle proof \rangle$

lemma assumes *b: $\sim bval b s$*
shows *wp2WhileFalse*: $Q s + 1 \leq wp_2 (WHILE b DO c) Q s$
 $\langle proof \rangle$

lemma *thet_WhileFalse*: $\sim bval b s \implies \downarrow_t (WHILE b DO c, s) = 1$ $\langle proof \rangle$

lemma *thes_WhileFalse*: $\sim bval b s \implies \downarrow_s (WHILE b DO c, s) = s$ $\langle proof \rangle$

lemma assumes *b: $\sim bval b s$*
shows *wp2WhileFalse'*: $Q s + 1 = wp_2 (WHILE b DO c) Q s$
 $\langle proof \rangle$

lemma *wp2While*: $(if bval b s then wp_2 c (wp_2 (WHILE b DO c) Q) s else Q s) + 1 = wp_2 (WHILE b DO c) Q s$
 $\langle proof \rangle$

lemma *assumes* $\wedge Q. \vdash_2 \{wp_2\ c\ Q\}\ c\ \{Q\}$
shows $\vdash_2 \{wp_2\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{Q\}$
 $\langle proof \rangle$

lemma *wp2_is_pre*: $\vdash_2 \{wp_2\ c\ Q\}\ c\ \{Q\}$
 $\langle proof \rangle$

lemma *wp2_is_weakestprePotential1*: $\models_2 \{P\}c\{Q\} \implies (\forall s. wp_2\ c\ Q\ s \leq P\ s)$
 $\langle proof \rangle$

lemma *wp2_is_weakestprePotential2*: $(\forall s. wp_2\ c\ Q\ s \leq P\ s) \implies \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

theorem *wp2_is_weakestprePotential*: $(\forall s. wp_2\ c\ Q\ s \leq P\ s) \longleftrightarrow \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

theorem *hoare2_complete*: $\models_2 \{P\}c\{Q\} \implies \vdash_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

corollary *hoare2_sound_complete*: $\vdash_2 \{P\}c\{Q\} \longleftrightarrow \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

end

5.5 Verification Condition Generator

theory *Quant_VCG*
imports *Quant_Hoare*
begin

datatype *acom* =

Askip $(\langle \text{SKIP} \rangle) \mid$
Aassign *vname* *aexp* $(\langle _ ::= _ \rangle [1000, 61] 61) \mid$
Aseq *acom* *acom* $(\langle _ ;; _ \rangle [60, 61] 60) \mid$
Aif *bexp* *acom* *acom* $(\langle (\text{IF } _ / \text{ THEN } _ / \text{ ELSE } _) \rangle [0, 0, 61] 61) \mid$
Awhile *qassn* *bexp* *acom* $(\langle (\{ _ \} / \text{ WHILE } _ / \text{ DO } _) \rangle [0, 0, 61] 61)$

notation *com.SKIP* $(\langle \text{SKIP} \rangle)$

fun *strip* :: *acom* $\Rightarrow$ *com* **where**

strip *SKIP* = *SKIP* $\mid$
strip $(x ::= a) = (x ::= a) \mid$
strip $(C_1 ;; C_2) = (\text{strip } C_1 ;; \text{strip } C_2) \mid$
strip $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) = (\text{IF } b \text{ THEN } \text{strip } C_1 \text{ ELSE } \text{strip } C_2) \mid$
strip $(\{ _ \} \text{ WHILE } b \text{ DO } C) = (\text{WHILE } b \text{ DO } \text{strip } C)$

fun *pre* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *qassn* **where**

pre *SKIP* *Q* = $(\lambda s. \text{eSuc } (Q \ s)) \mid$
pre $(x ::= a) \ Q = (\lambda s. \text{eSuc } (Q \ (s[a/x]))) \mid$
pre $(C_1 ;; C_2) \ Q = \text{pre } C_1 \ (\text{pre } C_2 \ Q) \mid$
pre $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q =$
 $(\lambda s. \text{eSuc } (\text{if } \text{bval } b \ s \text{ then } \text{pre } C_1 \ Q \ s \text{ else } \text{pre } C_2 \ Q \ s)) \mid$
pre $(\{ I \} \text{ WHILE } b \text{ DO } C) \ Q = (\lambda s. I \ s + 1)$

fun *vc* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *bool* **where**

vc *SKIP* *Q* = *True* $\mid$
vc $(x ::= a) \ Q = \text{True} \mid$
vc $(C_1 ;; C_2) \ Q = ((vc \ C_1 \ (\text{pre } C_2 \ Q)) \wedge (vc \ C_2 \ Q)) \mid$
vc $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q = (vc \ C_1 \ Q \wedge vc \ C_2 \ Q) \mid$
vc $(\{ I \} \text{ WHILE } b \text{ DO } C) \ Q = ((\forall s. (\text{pre } C \ (\lambda s. I \ s + 1)) \ s \leq I \ s + \uparrow(\text{bval } b \ s)) \wedge (Q \ s \leq I \ s + \uparrow(\neg \text{bval } b \ s))) \wedge vc \ C \ (\%s. I \ s + 1))$

5.5.1 Soundness of VCG

lemma *vc_sound*: $vc \ C \ Q \Longrightarrow \vdash_2 \{ \text{pre } C \ Q \} \text{strip } C \{ Q \}$
 $\langle \text{proof} \rangle$

lemma *vc_sound'*: $\llbracket vc \ C \ Q ; (\forall s. \text{pre } C \ Q \ s \leq P \ s) \rrbracket \Longrightarrow \vdash_2 \{ P \} \text{strip } C \{ Q \}$
 $\langle \text{proof} \rangle$

5.5.2 Completeness

lemma *pre_mono*: **assumes** $\bigwedge s. P' s \leq P s$
shows $\bigwedge s. \text{pre } C P' s \leq \text{pre } C P s$
 $\langle \text{proof} \rangle$

lemma *vc_mono*: **assumes** $\bigwedge s. P' s \leq P s$
shows $\text{vc } C P \implies \text{vc } C P'$
 $\langle \text{proof} \rangle$

lemma $\vdash_2 \{ P \} c \{ Q \} \implies \exists C. \text{strip } C = c \wedge \text{vc } C Q \wedge (\forall s. \text{pre } C Q s \leq P s)$
(is $_ \implies \exists C. ?G P c Q C)$
 $\langle \text{proof} \rangle$

end

5.6 Examples

theory *Quant_Examples*
imports *Quant_VCG*
begin

fun *sum* :: *int* $\Rightarrow$ *int* **where**
sum *i* = (if *i* $\leq$ 0 then 0 else *sum* (*i* - 1) + *i*)

abbreviation *wsum* ==
 WHILE Less (N 0) (V "x")
 DO ("y" ::= Plus (V "y") (V "x"));
 "x" ::= Plus (V "x") (N (- 1)))

lemma *example*: $\vdash_2 \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \} \text{ "y"} ::= N 0;; \text{wsum } \{ \lambda s. 0 \}$
 $\langle \text{proof} \rangle$

lemma *example_sound*: $\models_2 \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \} \text{ "y"} ::= N 0;; \text{wsum } \{ \lambda s. 0 \}$
 $\langle \text{proof} \rangle$

5.6.1 Examples for the use of the VCG

abbreviation $Wsum ==$

```
{λs. enat (3 * nat (s "x"))} WHILE Less (N 0) (V "x")
DO ("y" ::= Plus (V "y") (V "x"));
  "x" ::= Plus (V "x") (N (- 1)))
```

lemma $\vdash_2 \{\lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n)\} \text{ "y"} ::= N 0;;$
 $wsum \{\lambda s. 0\}$
 $\langle \text{proof} \rangle$

end

6 Quantitative Hoare Logic (big-O style)

theory *QuantK_Hoare*

imports *Big_StepT Complex_Main HOL-Library.Extended_Nat*

begin

abbreviation $eq\ a\ b == (And\ (Not\ (Less\ a\ b))\ (Not\ (Less\ b\ a)))$

type_synonym *lname* = *string*

type_synonym *assn* = *state* $\Rightarrow$ *bool*

type_synonym *qassn* = *state* $\Rightarrow$ *enat*

The support of an *assn2*

abbreviation $state_subst :: state \Rightarrow aexp \Rightarrow lname \Rightarrow state$

$(\langle _ \rangle' / _) \triangleright [1000, 0, 0] \ 999)$

where $s[a/x] == s(x := \text{aval } a\ s)$

fun $emb :: bool \Rightarrow enat\ (\langle \uparrow \rangle)$ **where**

$emb\ False = \infty$

| $emb\ True = 0$

6.1 Definition of Validity

definition $hoare2o_valid :: qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool$

$(\langle \models_2' \{ (1_)\} / (_)\} \{ (1_)\} \rangle \ 50)$ **where**

$\models_2' \{P\} \ c \ \{Q\} \ \longleftrightarrow \ (\exists k > 0. (\forall s. P\ s < \infty \longrightarrow (\exists t\ p. ((c, s) \Rightarrow p \Downarrow t) \wedge \text{enat } k * P\ s \geq p + \text{enat } k * Q\ t)))$

6.2 Hoare Rules

inductive

$hoareQ :: qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool \ (\vdash_{2'}' (\{(1_)\}/ (_)/ \{(1_)\}))$
50)

where

$Skip: \vdash_{2'} \{ \%s. eSuc (P\ s) \} SKIP \{ P \} \mid$

$Assign: \vdash_{2'} \{ \lambda s. eSuc (P (s[a/x])) \} x ::= a \{ P \} \mid$

$If: \llbracket \vdash_{2'} \{ \lambda s. P\ s + \uparrow (bval\ b\ s) \} c_1 \{ Q \};$
 $\vdash_{2'} \{ \lambda s. P\ s + \uparrow (\neg bval\ b\ s) \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{2'} \{ \lambda s. eSuc (P\ s) \} IF\ b\ THEN\ c_1\ ELSE\ c_2 \{ Q \} \mid$

$Seq: \llbracket \vdash_{2'} \{ P_1 \} c_1 \{ P_2 \}; \vdash_{2'} \{ P_2 \} c_2 \{ P_3 \} \rrbracket \implies \vdash_{2'} \{ P_1 \} c_1;; c_2 \{ P_3 \}$
 $\mid$

While:

$\llbracket \vdash_{2'} \{ \%s. I\ s + \uparrow (bval\ b\ s) \} c \{ \%t. I\ t + 1 \} \rrbracket$
 $\implies \vdash_{2'} \{ \lambda s. I\ s + 1 \} WHILE\ b\ DO\ c \{ \lambda s. I\ s + \uparrow (\neg bval\ b\ s) \} \mid$

$conseq: \llbracket \vdash_{2'} \{ P \} c \{ Q \} ; \wedge s. P\ s \leq enat\ k * P'\ s ; \wedge s. enat\ k * Q'\ s \leq Q$
 $s; k > 0 \rrbracket \implies$
 $\vdash_{2'} \{ P \} c \{ Q \}$

Derived Rules

lemma *const*: $\llbracket \vdash_{2'} \{ \lambda s. enat\ k * P\ s \} c \{ \lambda s. enat\ k * Q\ s \};\ k > 0 \rrbracket \implies$
 $\vdash_{2'} \{ P \} c \{ Q \}$
 $\langle proof \rangle$

inductive

$hoareQ' :: qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool \ (\vdash_Z (\{(1_)\}/ (_)/ \{(1_)\}))$
50)

where

$ZSkip: \vdash_Z \{ \%s. eSuc (P\ s) \} SKIP \{ P \} \mid$

$ZAssign: \vdash_Z \{ \lambda s. eSuc (P (s[a/x])) \} x ::= a \{ P \} \mid$

$ZIf: \llbracket \vdash_Z \{ \lambda s. P\ s + \uparrow (bval\ b\ s) \} c_1 \{ Q \};$
 $\vdash_Z \{ \lambda s. P\ s + \uparrow (\neg bval\ b\ s) \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_Z \{ \lambda s. eSuc (P\ s) \} IF\ b\ THEN\ c_1\ ELSE\ c_2 \{ Q \} \mid$

$ZSeq: \llbracket \vdash_Z \{ P_1 \} c_1 \{ P_2 \}; \vdash_Z \{ P_2 \} c_2 \{ P_3 \} \rrbracket \implies \vdash_Z \{ P_1 \} c_1;; c_2 \{ P_3 \}$
 $\mid$

ZWhile:

$$\begin{aligned} & \llbracket \vdash_Z \{ \%s. I\ s + \uparrow(bval\ b\ s) \} \ c \ \{ \%t. I\ t + 1 \} \rrbracket \\ & \implies \vdash_Z \{ \lambda s. I\ s + 1 \} \ \text{WHILE } b \ \text{DO } c \ \{ \lambda s. I\ s + \uparrow(\neg\ bval\ b\ s) \} \mid \end{aligned}$$

$$\begin{aligned} \text{Zconseq}': \llbracket \vdash_Z \{P\}c\{Q\} ; \wedge s. P\ s \leq P'\ s ; \wedge s. Q'\ s \leq Q\ s \rrbracket & \implies \\ \vdash_Z \{P'\}c\{Q'\} & \mid \end{aligned}$$

$$\begin{aligned} \text{Zconst: } \llbracket \vdash_Z \{ \lambda s. enat\ k * P\ s \} c \{ \lambda s. enat\ k * Q\ s \} ; k > 0 \rrbracket & \implies \\ \vdash_Z \{P\}c\{Q\} & \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{Zconseq: } \llbracket \vdash_Z \{P\}c\{Q\} ; \wedge s. P\ s \leq enat\ k * P'\ s ; \wedge s. enat\ k * \\ Q'\ s \leq Q\ s ; k > 0 \rrbracket & \implies \\ \vdash_Z \{P'\}c\{Q'\} & \\ \langle proof \rangle & \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{ZQ: } \vdash_Z \{ P \} \ c \ \{ Q \} & \implies \vdash_{2'} \{ P \} \ c \ \{ Q \} \\ \langle proof \rangle & \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{QZ: } \vdash_{2'} \{ P \} \ c \ \{ Q \} & \implies \vdash_Z \{ P \} \ c \ \{ Q \} \\ \langle proof \rangle & \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{QZ_iff: } \vdash_{2'} \{ P \} \ c \ \{ Q \} & \longleftrightarrow \vdash_Z \{ P \} \ c \ \{ Q \} \\ \langle proof \rangle & \end{aligned}$$

6.3 Soundness

$$\begin{aligned} \text{lemma } \text{enatSuc0[simp]: } enat\ (Suc\ 0) * x & = x \\ \langle proof \rangle & \end{aligned}$$

$$\begin{aligned} \text{theorem } \text{hoareQ_sound: } \vdash_{2'} \{P\}c\{Q\} & \implies \models_{2'} \{P\}c\{Q\} \\ \langle proof \rangle & \end{aligned}$$

lemma *conseq'*:

$$\begin{aligned} \llbracket \vdash_{2'} \{P\} \ c \ \{Q\} ; \forall s. P\ s \leq P'\ s ; \forall s. Q'\ s \leq Q\ s \rrbracket & \implies \vdash_{2'} \{P'\} \ c \ \{Q'\} \\ \langle proof \rangle & \end{aligned}$$

lemma *strengthen_pre*:

$$\begin{aligned} \llbracket \forall s. P\ s \leq P'\ s ; \vdash_{2'} \{P\} \ c \ \{Q\} \rrbracket & \implies \vdash_{2'} \{P'\} \ c \ \{Q\} \\ \langle proof \rangle & \end{aligned}$$

lemma *weaken_post*:

$$\llbracket \vdash_{2'} \{P\} \ c \ \{Q\}; \ \forall s. \ Q \ s \geq \ Q' \ s \rrbracket \Longrightarrow \vdash_{2'} \{P\} \ c \ \{Q'\}$$

$\langle proof \rangle$

lemma *Assign'*: $\forall s. \ P \ s \geq \ eSuc \ (\ Q(s[a/x])) \Longrightarrow \vdash_{2'} \{P\} \ x ::= a \ \{Q\}$

$\langle proof \rangle$

6.4 Completeness

lemma *bigstep_det*: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1 = p \wedge t1 = t$

$\langle proof \rangle$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE \ n. \ \exists a. \ (c, s) \Rightarrow n \Downarrow a) = P$

$\langle proof \rangle$

lemma *bigstepT_the_state*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE \ a. \ \exists n. \ (c, s) \Rightarrow n \Downarrow a) = T$

$\langle proof \rangle$

lemma *SKIPnot*: $(\neg (SKIP, s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc \ 0)$ $\langle proof \rangle$

lemma *SKIPp*: $(THE \ p. \ \exists t. \ (SKIP, s) \Rightarrow p \Downarrow t) = Suc \ 0$

$\langle proof \rangle$

lemma *SKIPlt*: $(THE \ t. \ \exists p. \ (SKIP, s) \Rightarrow p \Downarrow t) = s$

$\langle proof \rangle$

lemma *ASSp*: $(THE \ p. \ Ex \ (big_step_t \ (x ::= e, s) \ p)) = Suc \ 0$

$\langle proof \rangle$

lemma *ASSt*: $(THE \ t. \ \exists p. \ (x ::= e, s) \Rightarrow p \Downarrow t) = s(x := aval \ e \ s)$

$\langle proof \rangle$

lemma *ASSnot*: $(\neg (x ::= e, s) \Rightarrow p \Downarrow t) = (p \neq Suc \ 0 \vee t \neq s(x := aval \ e \ s))$

$\langle proof \rangle$

The completeness proof proceeds along the same lines as the one for partial correctness. First we have to strengthen our notion of weakest precondition to take termination into account:

definition $wp_Q :: com \Rightarrow qassn \Rightarrow qassn \ (\langle wp_Q \rangle)$ **where**
 $wp_Q \ c \ Q = (\lambda s. \text{if } (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge Q \ t < \infty) \text{ then enat } (THE \ p. \exists t. (c, s) \Rightarrow p \Downarrow t) + Q \ (THE \ t. \exists p. (c, s) \Rightarrow p \Downarrow t) \text{ else } \infty))$

lemma $wp_Q_skip[simp]: wp_Q \ SKIP \ Q = (\%s. eSuc \ (Q \ s))$
 $\langle proof \rangle$

lemma $wp_Q_ass[simp]: wp_Q \ (x ::= e) \ Q = (\lambda s. eSuc \ (Q \ (s(x := aval \ e \ s))))$
 $\langle proof \rangle$

lemma $wpt_Seq[simp]: wp_Q \ (c_1;;c_2) \ Q = wp_Q \ c_1 \ (wp_Q \ c_2 \ Q)$
 $\langle proof \rangle$

lemma $wp_Q_If[simp]:$
 $wp_Q \ (IF \ b \ THEN \ c_1 \ ELSE \ c_2) \ Q = (\lambda s. eSuc \ (wp_Q \ (\text{if } bval \ b \ s \text{ then } c_1 \text{ else } c_2) \ Q \ s))$
 $\langle proof \rangle$

lemma $hoareQ_inf: \vdash_2, \{\%s. \infty\} \ c \ \{ \ Q \}$
 $\langle proof \rangle$

lemma assumes $b: bval \ b \ s$
shows $wp_Q_WhileTrue: wp_Q \ c \ (wp_Q \ (WHILE \ b \ DO \ c) \ Q) \ s \ + \ 1 \leq wp_Q \ (WHILE \ b \ DO \ c) \ Q \ s$
 $\langle proof \rangle$

lemma assumes $b: \sim bval \ b \ s$
shows $wp_Q_WhileFalse: Q \ s \ + \ 1 \leq wp_Q \ (WHILE \ b \ DO \ c) \ Q \ s$
 $\langle proof \rangle$

lemma $wp_Q_is_pre: \vdash_2, \{wp_Q \ c \ Q\} \ c \ \{ \ Q \}$
 $\langle proof \rangle$

lemma $wp_Q_is_pre': \vdash_2, \{wp_Q \ c \ (\%s. enat \ k * Q \ s) \} \ c \ \{(\%s. enat \ k * Q \ s) \}$
 $\langle proof \rangle$

lemma $wp_Q_is_weakestprePotential1: \models_2, \{P\}c\{Q\} \Longrightarrow (\exists k > 0. \forall s. wp_Q \ c \ (\%s. enat \ k * Q \ s) \ s \leq enat \ k * P \ s)$
 $\langle proof \rangle$

theorem *hoareQ_complete*: $\models_{2'} \{P\}c\{Q\} \implies \vdash_{2'} \{P\}c\{Q\}$
 $\langle \text{proof} \rangle$

theorem *hoareQ_complete'*: $\models_{2'} \{P\}c\{Q\} \implies \vdash_{2'} \{P\}c\{Q\}$
 $\langle \text{proof} \rangle$

corollary *hoareQ_sound_complete*: $\vdash_{2'} \{P\}c\{Q\} \longleftrightarrow \models_{2'} \{P\}c\{Q\}$
 $\langle \text{proof} \rangle$

6.5 Example

lemma *fixes X::int assumes 0 < X shows*

*Z: eSuc (enat (nat (2 * X) * nat (2 * X))) ≤ enat (5 * (nat (X * X)))*
 $\langle \text{proof} \rangle$

lemma *weakenpre*: $\llbracket \vdash_{2'} \{P\}c\{Q\} ; (\forall s. P\ s \leq P'\ s) \rrbracket \implies$
 $\vdash_{2'} \{P'\}c\{Q\}$ $\langle \text{proof} \rangle$

lemma *whileDecr*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ "x'')) + 1 \} \text{ WHILE } (\text{Less } (N\ 0) (V\ "x'')) \text{ DO } (\text{SKIP};; \text{SKIP};; "x'' ::= \text{Plus } (V\ "x'') (N\ (-1))) \{ \%s. \text{enat } 0 \}$
 $\langle \text{proof} \rangle$

lemma *whileDecrIf*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ "x'')) + 1 \} \text{ WHILE } (\text{Less } (N\ 0) (V\ "x'')) \text{ DO } ((\text{IF } \text{Less } (N\ 0) (V\ "z'')) \text{ THEN } \text{SKIP};; \text{SKIP ELSE } \text{SKIP});; "x'' ::= \text{Plus } (V\ "x'') (N\ (-1))) \{ \%s. \text{enat } 0 \}$
 $\langle \text{proof} \rangle$

lemma *whileDecrIf2*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ "x'')) + 1 \} \text{ WHILE } (\text{Less } (N\ 0) (V\ "x'')) \text{ DO } ((\text{IF } \text{Less } (N\ 0) (V\ "z'')) \text{ THEN } \text{SKIP};; \text{SKIP ELSE } \text{SKIP});; "x'' ::= \text{Plus } (V\ "x'') (N\ (-1))) \{ \%s. \text{enat } 0 \}$
 $\langle \text{proof} \rangle$

end

6.6 Verification Condition Generator

theory *QuantK_VCG*

imports *QuantK_Hoare*
begin

6.6.1 Ceiling integer division on extended natural numbers

definition *mydiv* (*a::nat*) (*k::nat*) = (if *k dvd a* then *a div k* else (*a div k* + 1))

lemma *mydivcode*: $k > 0 \implies D \geq k \implies \text{mydiv } D \ k = \text{Suc } (\text{mydiv } (D - k) \ k)$

<proof>

lemma *mydivcode1*: $\text{mydiv } 0 \ k = 0$

<proof>

lemma *mydivcode2*: $k > 0 \implies 0 < D \implies D < k \implies \text{mydiv } D \ k = \text{Suc } 0$

<proof>

lemma *mydiv_mono*: $a \leq b \implies \text{mydiv } a \ k \leq \text{mydiv } b \ k$ *<proof>*

lemma *mydiv_cancel*: $0 < k \implies \text{mydiv } (k * i) \ k = i$

<proof>

lemma *assumes* *k*: $k > 0$ **and** *B*: $B \leq k * A$

shows *mydiv_le_E*: $\text{mydiv } B \ k \leq A$

<proof>

lemma *mydiv_mult_leq*: $0 < k \implies l \leq k \implies \text{mydiv } (l * A) \ k \leq A$

<proof>

lemma *mydiv_cancel3*: $0 < k \implies i \leq k * \text{mydiv } i \ k$

<proof>

definition *ediv* *a* *k* = (if $a = \infty$ then ∞ else $\text{enat } (\text{mydiv } (\text{THE } i. a = \text{enat } i) \ k)$)

lemma *ediv_enat[simp]*: $\text{ediv } (\text{enat } a) \ k = \text{enat } (\text{mydiv } a \ k)$

<proof>

lemma *ediv_mydiv[simp]*: $\text{ediv } (\text{enat } a) \ k \leq \text{enat } f \longleftrightarrow \text{mydiv } a \ k \leq f$

<proof>

lemma *ediv_mono*: $a \leq b \implies \text{ediv } a \ k \leq \text{ediv } b \ k$

<proof>

lemma *ediv_cancel2*: $k > 0 \implies \text{ediv } (\text{enat } k * x) \ k = x$

$\langle \text{proof} \rangle$

lemma *ediv_cancel3*: $k > 0 \implies A \leq \text{enat } k * \text{ediv } A \ k$
 $\langle \text{proof} \rangle$

6.6.2 Definition of VCG

datatype *acom* =

Askip $(\langle \text{SKIP} \rangle) \mid$
Aassign *vname* *aexp* $(\langle _ ::= _ \rangle [1000, 61] \ 61) \mid$
Aseq *acom* *acom* $(\langle _ ;; _ \rangle [60, 61] \ 60) \mid$
Aif *bexp* *acom* *acom* $(\langle (\text{IF } _ / \text{ THEN } _ / \text{ ELSE } _) \rangle [0, 0, 61] \ 61) \mid$
Awhile *qassn* *bexp* *acom* $(\langle (_ / \text{ WHILE } _ / \text{ DO } _) \rangle [0, 0, 61] \ 61)$
 $\mid$ *Abst* *nat* *acom* $(\langle (_ / \text{ Ab } _) \rangle [0, 61] \ 61)$

notation *com.SKIP* $(\langle \text{SKIP} \rangle)$

fun *strip* :: *acom* $\Rightarrow$ *com* **where**

strip *SKIP* = *SKIP* $\mid$
strip $(x ::= a) = (x ::= a) \mid$
strip $(C_1 ;; C_2) = (\text{strip } C_1 ;; \text{strip } C_2) \mid$
strip $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) = (\text{IF } b \text{ THEN } \text{strip } C_1 \text{ ELSE } \text{strip } C_2) \mid$
strip $(\{ _ \} \text{ WHILE } b \text{ DO } C) = (\text{WHILE } b \text{ DO } \text{strip } C) \mid$
strip $(\{ _ \} \text{ Ab } C) = \text{strip } C$

fun *pre* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *qassn* **where**

pre *SKIP* *Q* = $(\lambda s. \text{eSuc } (Q \ s)) \mid$
pre $(x ::= a) \ Q = (\lambda s. \text{eSuc } (Q \ (s[a/x]))) \mid$
pre $(C_1 ;; C_2) \ Q = \text{pre } C_1 \ (\text{pre } C_2 \ Q) \mid$
pre $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q =$
 $(\lambda s. \text{eSuc } (\text{if } \text{bval } b \ s \text{ then } \text{pre } C_1 \ Q \ s \text{ else } \text{pre } C_2 \ Q \ s)) \mid$
pre $(\{P\} \text{ WHILE } b \text{ DO } C) \ Q = (\%s. P \ s + 1) \mid$
pre $(\{k\} \text{ Ab } C) \ Q = (\lambda s. \text{ediv } (\text{pre } C \ (\lambda s. k * Q \ s) \ s) \ k)$

In contrast to *pre*, *vc* produces a formula that is independent of the state:

fun *vc* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *bool* **where**

vc *SKIP* *Q* = *True* $\mid$
vc $(x ::= a) \ Q = \text{True} \mid$
vc $(C_1 ;; C_2) \ Q = ((vc \ C_1 \ (\text{pre } C_2 \ Q)) \wedge (vc \ C_2 \ Q)) \mid$
vc $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q = (vc \ C_1 \ Q \wedge vc \ C_2 \ Q) \mid$
vc $(\{I\} \text{ WHILE } b \text{ DO } C) \ Q = ((\forall s. (\text{pre } C \ (\lambda s. I \ s + 1) \ s \leq I \ s + \uparrow(\text{bval } b \ s)) \wedge (Q \ s \leq I \ s + \uparrow(\neg \text{bval } b \ s))) \wedge vc \ C \ (\%s. I \ s + 1)) \mid$
vc $(\{k\} \text{ Ab } C) \ Q = (vc \ C \ (\lambda s. \text{enat } k * Q \ s) \wedge k > 0 \wedge \text{ediv } (\text{pre } C \ (\lambda s. \text{enat } k * Q \ s) \ s) \ k)$

6.6.3 Soundness of VCG

lemma *vc_sound*: $vc\ C\ Q \implies \vdash_{2'} \{pre\ C\ Q\}\ strip\ C\ \{Q\}$
 $\langle proof \rangle$

lemma *vc_sound'*: $\llbracket vc\ C\ Q ; (\forall s. pre\ C\ Q\ s \leq P\ s) \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$
 $\langle proof \rangle$

lemma *vc_sound''*: $\llbracket vc\ C\ Q' ; (\forall s. pre\ C\ Q'\ s \leq k * P\ s) ; (\bigwedge s. enat\ k * Q\ s \leq Q'\ s); k > 0 \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$
 $\langle proof \rangle$

6.6.4 Completeness

lemma *pre_mono*: **assumes** $\bigwedge s. P'\ s \leq P\ s$
shows $\bigwedge s. pre\ C\ P'\ s \leq pre\ C\ P\ s$
 $\langle proof \rangle$

lemma *vc_mono*: **assumes** $\bigwedge s. P'\ s \leq P\ s$
shows $vc\ C\ P \implies vc\ C\ P'$
 $\langle proof \rangle$

lemma $\vdash_{2'} \{P\}\ c\ \{Q\} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$
(is $_ \implies \exists C. ?G\ P\ c\ Q\ C)$
 $\langle proof \rangle$

lemma $\vdash_Z \{P\}\ c\ \{Q\} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$
(is $_ \implies \exists C. ?G\ P\ c\ Q\ C)$
 $\langle proof \rangle$

end

6.7 Examples for quantitative Hoare logic

theory *QuantK_Examples*
imports *QuantK_VCG*
begin

fun *sum* :: *int* $\Rightarrow$ *int* **where**
sum *i* = (if *i* $\leq$ 0 then 0 else *sum* (*i* - 1) + *i*)

abbreviation *wsum* ==
 WHILE Less (*N* 0) (V "*x''*")
 DO ("*y''*" ::= Plus (V "*y''*") (V "*x''*"));
 "*x''*" ::= Plus (V "*x''*") (*N* (- 1)))

lemma *example*: $\vdash_{2'} \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N \ 0;; \text{ wsum } \{ \lambda s. \ 0 \}$
 $\langle \text{proof} \rangle$

lemma *example_sound*: $\models_{2'} \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N \ 0;; \text{ wsum } \{ \lambda s. \ 0 \}$
 $\langle \text{proof} \rangle$

schematic_goal $\vdash_{2'} \{ \lambda s. \ ?A \ s + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N \ 0;; \text{ wsum } \{ \lambda s. \ 0 \}$
 $\langle \text{proof} \rangle$

6.7.1 Example for VCG

lemma $\vdash_{2'} \{ \lambda s. \ 1 \} \text{ SKIP } ;; \text{ SKIP } \{ \lambda s. \ 0 \}$
 $\langle \text{proof} \rangle$

lemma *hoareQ_Seq_assoc*: $\vdash_{2'} \{ P \} A;; B;; C \{ Q \} = (\vdash_{2'} \{ P \} A;; (B;; C) \{ Q \})$
 $\langle \text{proof} \rangle$

lemma $\vdash_{2'} \{ \lambda s. \ 1 \} \text{ SKIP } ;; \text{ SKIP } ;; \text{ SKIP } \{ \lambda s. \ 0 \}$
 $\langle \text{proof} \rangle$

abbreviation *Wsum* ==
 $\{ \lambda s. \text{enat } (3 * \text{nat } (s \text{ ''x''})) \} \text{ WHILE Less } (N \ 0) (V \text{ ''x''})$

DO ("y'' ::= *Plus* (*V* "y'') (*V* "x''));;
 "x'' ::= *Plus* (*V* "x'') (*N* (- 1)))

lemma $\vdash_{2'}$ { $\lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x''} = \text{int } n)$ } "y'' ::= *N* 0;;
wsum { $\lambda s. 0$ }
 ⟨*proof*⟩

lemma **assumes** *n0*: *n*>0 **shows** $\vdash_{2'}$ { $\lambda s. \text{enat } (n) + \text{emb } (s \text{ "x''} = \text{int } n)$ } "y'' ::= *N* 0;; *wsum* { $\lambda s. 0$ }
 ⟨*proof*⟩

lemma $\vdash_{2'}$ { $\lambda s. \text{enat } (n+1) + \text{emb } (s \text{ "x''} = \text{int } n)$ } "y'' ::= *N* 0;; *wsum*
 { $\lambda s. 0$ }
 ⟨*proof*⟩

abbreviation *Wsum1 z* ==
 { $\lambda s. \text{enat } (z * \text{nat } (s \text{ "x''}))$ } *WHILE Less* (*N* 0) (*V* "x'')
DO ("y'' ::= *Plus* (*V* "y'') (*V* "x''));;
 "x'' ::= *Plus* (*V* "x'') (*N* (- 1)))

abbreviation *Wsum2 n vier* ==
 { $\lambda s. \text{enat } (\text{vier} * (\text{nat } (s \text{ "x''}) + n + 1))$ } *WHILE Less* (*N* 0) (*V* "x'')
DO ("y'' ::= *Plus* (*V* "y'') (*V* "x''));;
 "x'' ::= *Plus* (*V* "x'') (*N* (- 1)))

end
theory *QuantK_Sqrt*
imports *QuantK_VCG HOL-Library.Discrete_Functions*
begin

6.8 Example: discrete square root in the quantitative Hoare logic

As an example, consider the following program that computes the discrete square root:

definition *c* :: *com* **where** *c* =
 "l'' ::= *N* 0 ;;

```

    "m" ::= N 0 ;;
    "r" ::= Plus (N 1) (V "x");;
    (WHILE (Less (Plus (N 1) (V "l")) (V "r"))
      DO ("m" ::= (Div (Plus (V "l") (V "r")) (N 2)) ;;
        (IF Not (Less (Times (V "m") (V "m")) (V "x"))
          THEN "l" ::= V "m"
          ELSE "r" ::= V "m");;
        "m" ::= N 0))

```

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

lemma *absch*: $\bigwedge s k. 1 + s \text{ "x" } = 2^k \implies 5 * k \leq 96 + 100 * \text{floor_log} (\text{nat } (s \text{ "x"}))$
<proof>

For simplicity we assume, that during the process all segments between "l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the prepotential P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log \text{ "x" })$

lemma

assumes

$P: P = (\lambda s. \uparrow ((\exists k. 1 + s \text{ "x" } = 2^k)) + (\text{floor_log } (\text{nat } (s \text{ "x"})) + 1))$ **and**

$Q[\text{simp}]: Q = (\lambda _. 0)$

shows $\vdash_{2'} \{P\} c \{Q\}$

<proof>

end

7 Partial States

7.1 Partial evaluation of expressions

theory *Partial_Evaluation*

imports *AExp Vars*

begin

type_synonym *partstate* = (*vname* $\Rightarrow$ *val option*)

definition *emb* :: *partstate* $\Rightarrow$ *state* $\Rightarrow$ *state* **where**

$\text{emb } ps \ s = (\%v. (\text{case } (ps \ v) \text{ of } (\text{Some } r) \Rightarrow r \mid \text{None} \Rightarrow s \ v))$

definition $part :: state \Rightarrow partstate$ **where**
 $part\ s = (\%v. Some\ (s\ v))$

lemma $emb_part[simp]: emb\ (part\ s)\ q = s\ \langle proof \rangle$

lemma $part_emb[simp]: dom\ ps = UNIV \Longrightarrow part\ (emb\ ps\ q) = ps\ \langle proof \rangle$

lemma $dom_part[simp]: dom\ (part\ s) = UNIV\ \langle proof \rangle$

abbreviation $optplus :: int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where**
 $optplus\ a\ b \equiv (case\ a\ of\ None \Rightarrow None\ |\ Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None\ |\ Some\ b' \Rightarrow Some\ (a' + b')))$

abbreviation $opttimes :: int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where**
 $opttimes\ a\ b \equiv (case\ a\ of\ None \Rightarrow None\ |\ Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None\ |\ Some\ b' \Rightarrow Some\ (a' * b')))$

abbreviation $optdiv :: int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where** $optdiv$
 $a\ b \equiv (case\ a\ of\ None \Rightarrow None\ |\ Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None\ |\ Some\ b' \Rightarrow Some\ (a' div\ b')))$

fun $paval' :: aexp \Rightarrow partstate \Rightarrow val\ option$ **where**
 $paval'\ (N\ n)\ s = Some\ n\ |\$
 $paval'\ (V\ x)\ s = s\ x\ |\$
 $paval'\ (Plus\ a_1\ a_2)\ s = optplus\ (paval'\ a_1\ s)\ (paval'\ a_2\ s)\ |\$
 $paval'\ (Times\ a_1\ a_2)\ s = opttimes\ (paval'\ a_1\ s)\ (paval'\ a_2\ s)\ |\$
 $paval'\ (Div\ a_1\ a_2)\ s = optdiv\ (paval'\ a_1\ s)\ (paval'\ a_2\ s)$

lemma $paval'\ a\ ps = Some\ v \Longrightarrow vars\ a \subseteq dom\ ps$
 $\langle proof \rangle$

lemma $paval'_aval: paval'\ a\ ps = Some\ v \Longrightarrow aval\ a\ (emb\ ps\ s) = v$
 $\langle proof \rangle$

fun $paval :: aexp \Rightarrow partstate \Rightarrow val$ **where**
 $paval\ (N\ n)\ s = n\ |\$
 $paval\ (V\ x)\ s = the\ (s\ x)\ |\$
 $paval\ (Plus\ a_1\ a_2)\ s = paval\ a_1\ s + paval\ a_2\ s\ |\$
 $paval\ (Times\ a_1\ a_2)\ s = paval\ a_1\ s * paval\ a_2\ s\ |\$
 $paval\ (Div\ a_1\ a_2)\ s = paval\ a_1\ s div\ paval\ a_2\ s$

lemma *paval_aval*: $\text{vars } a \subseteq \text{dom } ps \implies \text{paval } a \text{ } ps = \text{aval } a \text{ } (\lambda v. \text{case } ps \text{ of } None \Rightarrow s \text{ } v \mid \text{Some } r \Rightarrow r)$
 $\langle \text{proof} \rangle$

lemma *paval'_paval*: $\text{vars } a \subseteq \text{dom } ps \implies \text{paval}' a \text{ } ps = \text{Some } (\text{paval } a \text{ } ps)$
 $\langle \text{proof} \rangle$

lemma *paval_paval'*: $\text{paval}' a \text{ } ps = \text{Some } v \implies \text{paval } a \text{ } ps = v$
 $\langle \text{proof} \rangle$

fun *pbval* :: *bexp* $\Rightarrow$ *partstate* $\Rightarrow$ *bool* **where**
pbval (*Bc v*) *s* = *v* |
pbval (*Not b*) *s* = ($\neg$ *pbval b s*) |
pbval (*And b₁ b₂*) *s* = (*pbval b₁ s* $\wedge$ *pbval b₂ s*) |
pbval (*Less a₁ a₂*) *s* = (*paval a₁ s* < *paval a₂ s*)

abbreviation *optnot* **where** *optnot a* $\equiv$ (*case a of None* $\Rightarrow$ *None* | *Some a'* $\Rightarrow$ *Some* ($\sim a'$))

abbreviation *optand* **where** *optand a b* $\equiv$ (*case a of None* $\Rightarrow$ *None* | *Some a'* $\Rightarrow$ (*case b of None* $\Rightarrow$ *None* | *Some b'* $\Rightarrow$ *Some* ($a' \wedge b'$)))
abbreviation *optless* **where** *optless a b* $\equiv$ (*case a of None* $\Rightarrow$ *None* | *Some a'* $\Rightarrow$ (*case b of None* $\Rightarrow$ *None* | *Some b'* $\Rightarrow$ *Some* ($a' < b'$)))

fun *pbval'* :: *bexp* $\Rightarrow$ *partstate* $\Rightarrow$ *bool option* **where**
pbval' (*Bc v*) *s* = *Some v* |
pbval' (*Not b*) *s* = (*optnot* (*pbval' b s*)) |
pbval' (*And b₁ b₂*) *s* = (*optand* (*pbval' b₁ s*) (*pbval' b₂ s*)) |
pbval' (*Less a₁ a₂*) *s* = (*optless* (*paval' a₁ s*) (*paval' a₂ s*))

lemma *pbval'_pbval*: $\text{vars } a \subseteq \text{dom } ps \implies \text{pbval}' a \text{ } ps = \text{Some } (\text{pbval } a \text{ } ps)$
 $\langle \text{proof} \rangle$

lemma *paval_aval_vars*: $\text{vars } a \subseteq \text{dom } ps \implies \text{paval } a \text{ } ps = \text{aval } a \text{ } (\text{emb } ps \text{ } s)$
 $\langle \text{proof} \rangle$

lemma *pbval_bval_vars*: $\text{vars } b \subseteq \text{dom } ps \implies \text{pbval } b \text{ } ps = \text{bval } b \text{ } (\text{emb } ps \text{ } s)$

```

    <proof>

lemma paval'dom: paval' a ps = Some v  $\implies$  vars a  $\subseteq$  dom ps
    <proof>

end
theory Product_Separation_Algebra
imports Separation_Algebra.Separation_Algebra
begin

instantiation prod :: (sep_algebra, sep_algebra) sep_algebra
begin

definition
  zero_prod_def: 0  $\equiv$  (0, 0)

definition
  plus_prod_def: m1 + m2  $\equiv$  (fst m1 + fst m2 , snd m1 + snd m2)

definition
  sep_disj_prod_def: sep_disj m1 m2  $\equiv$  sep_disj (fst m1) (fst m2)  $\wedge$ 
    sep_disj (snd m1) (snd m2)

instance
    <proof>

end

lemma sep_disj_prod_commute[simp]: (ps, 0)  $\#\#$  (0, n)  $\iff$  (0, n)  $\#\#$  (ps, 0)
    <proof>

lemma sep_disj_prod_conv[simp]: (a, x)  $\#\#$  (b, y) = (a $\#\#$ b  $\wedge$  x $\#\#$ y)
    <proof>

lemma sep_plus_prod_conv[simp]: (ps, n) + (ps', n') = (ps + ps', n + n')
    <proof>

lemma
  fixes h :: ('a::sep_algebra) * ('b::sep_algebra)
  shows ((%(a,b). P a  $\wedge$  b = 0) ** (%(a,b). a = 0  $\wedge$  Q b)) =
    (%(a,b). P a  $\wedge$  Q b) <proof>

instantiation nat :: sep_algebra

```

begin

definition

sep_disj_nat_def[simp]: sep_disj (m1::nat) m2 $\equiv$ True

instance

$\langle proof \rangle$

end

lemma

fixes h :: nat

*shows (P ** Q ** H) h = (Q ** H ** P) h*

$\langle proof \rangle$

lemma

*fixes h :: ('a::sep_algebra) * ('b::sep_algebra)*

*shows (P ** Q ** H) h = (Q ** H ** P) h*

$\langle proof \rangle$

lemma

*fixes h :: nat * nat*

*shows (P ** Q ** H) h = (Q ** H ** P) h*

$\langle proof \rangle$

end

theory *Sep_Algebra_Add*

imports *Separation_Algebra Separation_Algebra.Sep_Heap_Instance
Product_Separation_Algebra*

begin

definition *puree :: bool $\Rightarrow$ 'h::sep_algebra $\Rightarrow$ bool ($\uparrow$) where puree P $\equiv$
 $\lambda h. h=0 \wedge P$*

lemma *puree_alt: $\uparrow\Phi = (\langle\Phi\rangle$ and $\square$)*

$\langle proof \rangle$

lemma *pure_alt: $\langle\Phi\rangle = (\uparrow\Phi ** sep_true)$*

$\langle proof \rangle$

abbreviation *NO_PURE :: bool $\Rightarrow$ ('h::sep_algebra $\Rightarrow$ bool) $\Rightarrow$ bool*

where $NO_PURE\ X\ Q \equiv (NO_MATCH\ (\langle X \rangle :: 'h \Rightarrow bool)\ Q \wedge NO_MATCH\ ((\uparrow X) :: 'h \Rightarrow bool)\ Q)$

named_theorems *sep_simplify* $\langle$ Assertion simplifications $\rangle$

lemma *sep_reorder*[*sep_simplify*]:

$((a \wedge * b) \wedge * c) = (a \wedge * b \wedge * c)$
 $(NO_PURE\ X\ a) \Longrightarrow (a ** b) = (b ** a)$
 $(NO_PURE\ X\ b) \Longrightarrow (b \wedge * a \wedge * c) = (a \wedge * b \wedge * c)$
 $(Q ** \langle P \rangle) = (\langle P \rangle ** Q)$
 $(Q ** \uparrow P) = (\uparrow P ** Q)$
 $NO_PURE\ X\ Q \Longrightarrow (Q ** \langle P \rangle ** F) = (\langle P \rangle ** Q ** F)$
 $NO_PURE\ X\ Q \Longrightarrow (Q ** \uparrow P ** F) = (\uparrow P ** Q ** F)$
 $\langle proof \rangle$

lemma *sep_combine1*[*simp*]:

$(\uparrow P ** \uparrow Q) = \uparrow(P \wedge Q)$
 $(\langle P \rangle ** \langle Q \rangle) = \langle P \wedge Q \rangle$
 $(\uparrow P ** \langle Q \rangle) = \langle P \wedge Q \rangle$
 $(\langle P \rangle ** \uparrow Q) = \langle P \wedge Q \rangle$
 $\langle proof \rangle$

lemma *sep_combine2*[*simp*]:

$(\uparrow P ** \uparrow Q ** F) = (\uparrow(P \wedge Q) ** F)$
 $(\langle P \rangle ** \langle Q \rangle ** F) = (\langle P \wedge Q \rangle ** F)$
 $(\uparrow P ** \langle Q \rangle ** F) = (\langle P \wedge Q \rangle ** F)$
 $(\langle P \rangle ** \uparrow Q ** F) = (\langle P \wedge Q \rangle ** F)$
 $\langle proof \rangle$

lemma *sep_extract_pure*[*simp*]:

$NO_MATCH\ True\ P \Longrightarrow (\langle P \rangle ** Q)\ h = (P \wedge (sep_true ** Q)\ h)$
 $(\uparrow P ** Q)\ h = (P \wedge Q\ h)$
 $\uparrow True = \square$
 $\uparrow False = sep_false$
 $\langle proof \rangle$

lemma *sep_pure_front2*[*simp*]:

$(\uparrow P ** A ** \uparrow Q ** F) = (\uparrow(P \wedge Q) ** F ** A)$
 $\langle proof \rangle$

lemma *ex_h_simps*[*simp*]:

$Ex\ (\uparrow \Phi) \longleftrightarrow \Phi$
 $Ex\ (\uparrow \Phi ** P) \longleftrightarrow (\Phi \wedge Ex\ P)$
 $\langle proof \rangle$

```

lemma
  fixes  $h :: ('a \Rightarrow 'b \text{ option}) * \text{nat}$ 
  shows  $(P ** Q ** H) h = (Q ** H ** P) h$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{map\_le\_substate\_conv}$ :  $\text{map\_le} = \text{sep\_substate}$ 
   $\langle \text{proof} \rangle$ 

```

```

end

```

7.2 Big step Semantics on partial states

```

theory Big_StepT_Partial
imports Partial_Evaluation Big_StepT SepLogAdd/Sep_Algebra_Add
  HOL-Eisbach.Eisbach
begin

```

```

type_synonym lname = string
type_synonym pstate_t = partstate * nat
type_synonym assnp = partstate  $\Rightarrow$  bool
type_synonym assn2 = pstate_t  $\Rightarrow$  bool

```

7.2.1 helper functions

```

restrict definition restrict where  $\text{restrict } S s = (\%x. \text{if } x:S \text{ then } \text{Some } (s\ x) \text{ else } \text{None})$ 

```

```

lemma restrictI:  $\forall x \in S. s1\ x = s2\ x \implies \text{restrict } S\ s1 = \text{restrict } S\ s2$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma restrictE:  $\text{restrict } S\ s1 = \text{restrict } S\ s2 \implies s1 = s2 \text{ on } S$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma dom_restrict[simp]:  $\text{dom } (\text{restrict } S\ s) = S$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma restrict_less_part:  $\text{restrict } S\ t \preceq \text{part } t$ 
   $\langle \text{proof} \rangle$ 

```

Heap helper functions **fun** *lmaps_to_expr* :: *aexp* $\Rightarrow$ *val* $\Rightarrow$ *assn2*
where

lmaps_to_expr *a v* = ($\%(s,c).$ *dom s* = *vars a* $\wedge$ *paval a s* = *v* $\wedge$ *c* = 0)

fun *lmaps_to_expr_x* :: *vname* $\Rightarrow$ *aexp* $\Rightarrow$ *val* $\Rightarrow$ *assn2* **where**

lmaps_to_expr_x *x a v* = ($\%(s,c).$ *dom s* = *vars a* $\cup$ {*x*} $\wedge$ *paval a s* = *v* $\wedge$ *c* = 0)

lemma *subState*: $x \preceq y \Longrightarrow v \in \text{dom } x \Longrightarrow x \ v = y \ v$ $\langle \text{proof} \rangle$

lemma *fixes ps:: partstate*

and *s::state*

assumes *vars a* $\subseteq$ *dom ps* $ps \preceq \text{part } s$

shows *emb_update*: *emb* [$x \mapsto \text{paval } a \ ps$] *s* = (*emb ps s*) ($x := \text{aval } a$ (*emb ps s*))
 $\langle \text{proof} \rangle$

lemma *paval_aval2*: *vars a* $\subseteq$ *dom ps* $\Longrightarrow ps \preceq \text{part } s \Longrightarrow \text{paval } a \ ps = \text{aval } a \ s$

$\langle \text{proof} \rangle$

lemma *fixes ps:: partstate*

and *s::state*

assumes *vars a* $\subseteq$ *dom ps* $ps \preceq \text{part } s$

shows *emb_update2*: *emb* ($ps(x \mapsto \text{paval } a \ ps)$) *s* = (*emb ps s*) ($x := \text{aval } a$ (*emb ps s*))
 $\langle \text{proof} \rangle$

7.2.2 Big step Semantics on partial states

inductive

big_step_t_part :: *com* $\times$ *partstate* $\Rightarrow$ *nat* $\Rightarrow$ *partstate* $\Rightarrow$ *bool* ($\langle _ \Rightarrow_A _ \Downarrow _ \rangle$ 55)

where

Skip: (*SKIP*, *s*) $\Rightarrow_A \text{Suc } 0 \Downarrow s$ |

Assign: $\llbracket \text{vars } a \cup \{x\} \subseteq \text{dom } ps; \text{paval } a \ ps = v ; ps' = ps(x \mapsto v) \rrbracket \Longrightarrow (x ::= a, ps) \Rightarrow_A \text{Suc } 0 \Downarrow ps'$ |

Seq: $\llbracket (c1, s1) \Rightarrow_A x \Downarrow s2; (c2, s2) \Rightarrow_A y \Downarrow s3 ; z = x + y \rrbracket \Longrightarrow (c1;; c2, s1) \Rightarrow_A z \Downarrow s3$ |

IfTrue: $\llbracket \text{vars } b \subseteq \text{dom } ps ; \text{dom } ps' = \text{dom } ps ; \text{pbval } b \ ps; (c1, ps) \Rightarrow_A x \Downarrow ps'; y = x + 1 \rrbracket \Longrightarrow (\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2, ps) \Rightarrow_A y \Downarrow ps'$ |

IfFalse: $\llbracket \text{vars } b \subseteq \text{dom } ps ; \text{dom } ps' = \text{dom } ps ; \neg \text{pbval } b \ ps; (c2, ps) \Rightarrow_A$

$x \Downarrow ps'; y=x+1 \] \implies (IF\ b\ THEN\ c1\ ELSE\ c2,\ ps) \Rightarrow_A y \Downarrow ps' \mid$
 $WhileFalse: \llbracket vars\ b \subseteq dom\ s; \neg\ pbval\ b\ s \rrbracket \implies (WHILE\ b\ DO\ c,s) \Rightarrow_A$
 $Suc\ 0 \Downarrow s \mid$
 $WhileTrue: \llbracket pbval\ b\ s1; vars\ b \subseteq dom\ s1; (c,s1) \Rightarrow_A x \Downarrow s2; (WHILE\ b$
 $DO\ c,\ s2) \Rightarrow_A y \Downarrow s3; 1+x+y=z \rrbracket$
 $\implies (WHILE\ b\ DO\ c,\ s1) \Rightarrow_A z \Downarrow s3$

declare *big_step_t_part.intros* [intro]

inductive_cases *Skip_tE3*[elim!]: (*SKIP*,*s*) $\Rightarrow_A x \Downarrow t$
thm *Skip_tE3*
inductive_cases *Assign_tE3*[elim!]: (*x ::= a*,*s*) $\Rightarrow_A p \Downarrow t$
thm *Assign_tE3*
inductive_cases *Seq_tE3*[elim!]: (*c1;;c2*,*s1*) $\Rightarrow_A p \Downarrow s3$
thm *Seq_tE3*
inductive_cases *If_tE3*[elim!]: (*IF b THEN c1 ELSE c2*,*s*) $\Rightarrow_A x \Downarrow t$
thm *If_tE3*
inductive_cases *While_tE3*[elim!]: (*WHILE b DO c*,*s*) $\Rightarrow_A x \Downarrow t$
thm *While_tE3*

lemmas *big_step_t_part_induct* = *big_step_t_part.induct*[*split_format(complete)*]

lemma *big_step_t3_post_dom_conv*: (*c*,*ps*) $\Rightarrow_A t \Downarrow ps' \implies dom\ ps' =$
 $dom\ ps$
 $\langle proof \rangle$

lemma *add_update_distrib*: *ps1 x1 = Some y* $\implies ps1 \#\# ps2 \implies vars$
 $x2 \subseteq dom\ ps1 \implies ps1(x1 \mapsto paval\ x2\ ps1) + ps2 = (ps1 + ps2)(x1 \mapsto$
 $paval\ x2\ ps1)$
 $\langle proof \rangle$

lemma *paval_extend*: *ps1 \#\# ps2* $\implies vars\ a \subseteq dom\ ps1 \implies paval\ a$
 $(ps1 + ps2) = paval\ a\ ps1$
 $\langle proof \rangle$

lemma *pbval_extend*: *ps1 \#\# ps2* $\implies vars\ b \subseteq dom\ ps1 \implies pbval\ b\ (ps1$
 $+ ps2) = pbval\ b\ ps1$
 $\langle proof \rangle$

lemma *Framer*: $(C, ps1) \Rightarrow_A m \Downarrow ps1' \Longrightarrow ps1 \#\# ps2 \Longrightarrow (C, ps1 + ps2) \Rightarrow_A m \Downarrow ps1' + ps2$
 $\langle proof \rangle$

lemma *Framer2*: $(C, ps1) \Rightarrow_A m \Downarrow ps1' \Longrightarrow ps1 \#\# ps2 \Longrightarrow ps = ps1 + ps2 \Longrightarrow ps' = ps1' + ps2 \Longrightarrow (C, ps) \Rightarrow_A m \Downarrow ps'$
 $\langle proof \rangle$

7.2.3 Relation to BigStep Semantic on full states

lemma *paval_aval_part*: $paval\ a\ (part\ s) = aval\ a\ s$
 $\langle proof \rangle$

lemma *pbval_bval_part*: $pbval\ b\ (part\ s) = bval\ b\ s$
 $\langle proof \rangle$

lemma *part_paval_aval*: $part\ (s(x := aval\ a\ s)) = (part\ s)(x \mapsto paval\ a\ (part\ s))$
 $\langle proof \rangle$

lemma *full_to_part*: $(C, s) \Rightarrow m \Downarrow s' \Longrightarrow (C, part\ s) \Rightarrow_A m \Downarrow part\ s'$
 $\langle proof \rangle$

lemma *part_to_full'*: $(C, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow (C, emb\ ps\ s) \Rightarrow m \Downarrow emb\ ps'\ s$
 $\langle proof \rangle$

lemma *part_to_full*: $(C, part\ s) \Rightarrow_A m \Downarrow part\ s' \Longrightarrow (C, s) \Rightarrow m \Downarrow s'$
 $\langle proof \rangle$

lemma *part_full_equiv*: $(C, s) \Rightarrow m \Downarrow s' \longleftrightarrow (C, part\ s) \Rightarrow_A m \Downarrow part\ s'$
 $\langle proof \rangle$

7.2.4 more properties

lemma *big_step_t3_gt0*: $(C, ps) \Rightarrow_A x \Downarrow ps' \Longrightarrow x > 0$
 $\langle proof \rangle$

lemma *big_step_t3_same*: $(C, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow ps = ps' \text{ on } UNIV - lvars\ C$

$\langle \text{proof} \rangle$

lemma *avalDirekt3_correct*: $(x ::= N\ v, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow paval'\ a\ ps$
 $=\ \text{Some}\ v \Longrightarrow (x ::= a, ps) \Rightarrow_A m \Downarrow ps'$
 $\langle \text{proof} \rangle$

7.3 Partial State

lemma
fixes $h :: (vname \Rightarrow val\ option) * nat$
shows $(P ** Q ** H)\ h = (Q ** H ** P)\ h$
 $\langle \text{proof} \rangle$

lemma *separate_othogonal_commuted'*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \Longrightarrow ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \Longrightarrow n = 0$
shows $(P ** Q)\ s \longleftrightarrow P\ (0, snd\ s) \wedge Q\ (fst\ s, 0)$
 $\langle \text{proof} \rangle$

lemma *separate_othogonal_commuted*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \Longrightarrow ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \Longrightarrow n = 0$
shows $(P ** Q)\ (ps, n) \longleftrightarrow P\ (0, n) \wedge Q\ (ps, 0)$
 $\langle \text{proof} \rangle$

lemma *separate_othogonal*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \Longrightarrow n = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \Longrightarrow ps = 0$
shows $(P ** Q)\ (ps, n) \longleftrightarrow P\ (ps, 0) \wedge Q\ (0, n)$
 $\langle \text{proof} \rangle$

lemma **assumes** $((\lambda(s, n). P\ (s, n) \wedge vars\ b \subseteq dom\ s) \wedge * (\lambda(s, c). s = 0 \wedge c = Suc\ 0))\ (ps, n)$
shows $\exists\ n'. P\ (ps, n') \wedge vars\ b \subseteq dom\ ps \wedge n = Suc\ n'$
 $\langle \text{proof} \rangle$

7.4 Dollar and Pointsto

definition *dollar* :: $nat \Rightarrow assn2\ (\langle \$ \rangle)$ **where**
 $dollar\ q = (\% (s, c). s = 0 \wedge c = q)$

lemma *sep_reorder_dollar_aux*:

$NO_MATCH\ \$X\ A \implies (\$B ** A) = (A ** \$B)$
 $(\$X ** \$Y) = \$(X+Y)$
 $\langle proof \rangle$

lemmas *sep_reorder_dollar* = *sep_conj_assoc sep_reorder_dollar_aux*

lemma *stardiff*: **assumes** $(P \wedge * \$m)\ (ps, n)$

shows $P: P\ (ps, n - m)$ **and** $m \leq n$ $\langle proof \rangle$

lemma [*simp*]: $(Q ** \$0) = Q$ $\langle proof \rangle$

definition *embP* :: $(partstate \Rightarrow bool) \Rightarrow partstate \times nat \Rightarrow bool$ **where**
embP $P = (\%(s,n). P\ s \wedge n = 0)$

lemma *orthogonal_split*: **assumes** $(embP\ Q \wedge * \$n) = (embP\ P \wedge * \$m)$

shows $(Q = P \wedge n = m) \vee Q = (\lambda s. False) \wedge P = (\lambda s. False)$
 $\langle proof \rangle$

lemma *F*: **assumes** $(embP\ Q \wedge * \$n) = (embP\ P \wedge * \$m)$

obtains $(blub)\ Q = P$ **and** $n = m$ |
 $(da)\ Q = (\lambda s. False)$ **and** $P = (\lambda s. False)$
 $\langle proof \rangle$

lemma *T*: **assumes** $(embP\ Q \wedge * \$n) = (embP\ P \wedge * \$m)$

obtains $(blub)\ x::nat$ **where** $Q = P$ **and** $n = m$ **and** $x=x$ |
 $(da)\ Q = (\lambda s. False)$ **and** $P = (\lambda s. False)$
 $\langle proof \rangle$

definition *pointsto* :: $vname \Rightarrow val \Rightarrow assn2\ (\langle _ \hookrightarrow _ \rangle\ [56,51]\ 56)$ **where**

$v \hookrightarrow n = (\%(s,c). s = [v \mapsto n] \wedge c=0)$

notation *pred_ex* (**binder** $\langle \exists \rangle\ 10$)

definition *maps_to_ex* :: $vname \Rightarrow assn2\ (\langle _ \hookrightarrow _ \rangle\ [56]\ 56)$

where $x \hookrightarrow - \equiv \exists y. x \hookrightarrow y$

fun *lmaps_to_ex* :: $vname\ set \Rightarrow assn2$ **where**

$lmaps_to_ex\ xs = (\% (s, c). \text{dom } s = xs \wedge c = 0)$

lemma $(x \hookrightarrow -) (s, n) \implies x \in \text{dom } s$
 $\langle \text{proof} \rangle$

fun $lmaps_to_axpr :: \text{bexp} \Rightarrow \text{bool} \Rightarrow \text{assnp}$ **where**
 $lmaps_to_axpr\ b\ bv = (\% ps. \text{vars } b \subseteq \text{dom } ps \wedge \text{pbval } b\ ps = bv)$

definition $lmaps_to_axpr' :: \text{bexp} \Rightarrow \text{bool} \Rightarrow \text{assnp}$ **where**
 $lmaps_to_axpr'\ b\ bv = lmaps_to_axpr\ b\ bv$

7.5 Frame Inference

definition Frame **where** $\text{Frame } P\ Q\ F \equiv \forall s. (P \text{ imp } (Q ** F))\ s$

definition Frame' **where** $\text{Frame}'\ P\ P'\ Q\ F \equiv \forall s. ((P' ** P) \text{ imp } (Q ** F))\ s$

definition cnv **where** $\text{cnv } x\ y == x = y$

lemma $\text{cnv_I}: \text{cnv } x\ x$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'_conv: \text{Frame } P\ Q\ F = \text{Frame}'\ (P ** \Box) \Box (Q ** \Box) F$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'\text{I}: \text{Frame}'\ (P ** \Box) \Box (Q ** \Box) F \implies \text{cnv } F\ F' \implies \text{Frame } P\ Q\ F'$
 $\langle \text{proof} \rangle$

lemma FrameD : **assumes** $\text{Frame } P\ Q\ F\ P\ s$
shows $(F ** Q)\ s$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'_match: \text{Frame}'\ (P ** P') \Box Q\ F \implies \text{Frame}'\ (x \hookrightarrow v ** P)\ P'\ (x \hookrightarrow v ** Q)\ F$
 $\langle \text{proof} \rangle$

lemma R : **assumes** $\bigwedge s. (A \text{ imp } B)\ s$ **shows** $((A ** \$n) \text{ imp } (B ** \$n))\ s$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'_matchdollar$: **assumes** $\text{Frame}'\ (P ** P' ** \$ (n-m)) \Box Q$
and $nm: n \geq m$

shows $\text{Frame}' (\$n ** P) P' (\$m ** Q) F$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'_nomatch$: $\text{Frame}' P (p ** P') (x \hookrightarrow v ** Q) F \implies \text{Frame}'$
 $(p ** P) P' (x \hookrightarrow v ** Q) F$
 $\langle \text{proof} \rangle$

lemma $\text{Frame}'_nomatchempty$: $\text{Frame}' P P' (x \hookrightarrow v ** Q) F \implies \text{Frame}'$
 $(\Box ** P) P' (x \hookrightarrow v ** Q) F$
 $\langle \text{proof} \rangle$

lemma Frame'_end : $\text{Frame}' P \Box \Box P$
 $\langle \text{proof} \rangle$

schematic_goal $\text{Frame} (x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (x \hookrightarrow ?v) ?F$
 $\langle \text{proof} \rangle$

schematic_goal $\text{Frame} (x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (y \hookrightarrow ?v) ?F$
 $\langle \text{proof} \rangle$

method $\text{frame_inference_init} = (\text{rule } \text{Frame}'I, (\text{simp only: sep_conj_assoc})?)$

method $\text{frame_inference_solve} = (\text{rule } \text{Frame}'_matchdollar \text{Frame}'_end$
 $\text{Frame}'_match \text{Frame}'_nomatchempty \text{Frame}'_nomatch; (\text{simp only: sep_conj_assoc})?) +$

method $\text{frame_inference_cleanup} = ((\text{simp only: sep_conj_ac sep_conj_empty}'$
 $\text{sep_conj_empty})?; \text{rule } \text{cnv_I})$

method $\text{frame_inference} = (\text{frame_inference_init}, (\text{frame_inference_solve};$
 $\text{fail}), (\text{frame_inference_cleanup}; \text{fail}))$

method $\text{frame_inference_debug} = (\text{frame_inference_init}, \text{frame_inference_solve})$

7.5.1 tests

schematic_goal $\text{Frame} (x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (y \hookrightarrow ?v) ?F$
 $\langle \text{proof} \rangle$

schematic_goal $\text{Frame} (x \hookrightarrow v1 ** P ** \Box ** y \hookrightarrow v2 \wedge * z \hookrightarrow v2 ** Q)$
 $(z \hookrightarrow ?v ** y \hookrightarrow ?v2) ?F$
 $\langle \text{proof} \rangle$

schematic_goal $1 \leq v \implies \text{Frame } (\$ (2 * v) \wedge * "x" \hookrightarrow \text{int } v) (\$ 1 \wedge * "x" \hookrightarrow ?d) ?F$
 $\langle \text{proof} \rangle$

schematic_goal $0 < v \implies \text{Frame } (\$ (2 * v) \wedge * "x" \hookrightarrow \text{int } v) (\$ 1 \wedge * "x" \hookrightarrow ?d) ?F$
 $\langle \text{proof} \rangle$

7.6 Expression evaluation

definition symeval where $\text{symeval } P \ e \ v \equiv (\forall s \ n. P \ (s, n) \longrightarrow \text{paval}' \ e \ s = \text{Some } v)$

definition symevalb where $\text{symevalb } P \ e \ v \equiv (\forall s \ n. P \ (s, n) \longrightarrow \text{pbval}' \ e \ s = \text{Some } v)$

lemma symeval_c: $\text{symeval } P \ (N \ v) \ v$
 $\langle \text{proof} \rangle$

lemma symeval_v: **assumes** $\text{Frame } P \ (x \hookrightarrow v) \ F$
shows $\text{symeval } P \ (V \ x) \ v$
 $\langle \text{proof} \rangle$

lemma symeval_plus: **assumes** $\text{symeval } P \ e1 \ v1 \ \text{symeval } P \ e2 \ v2$
shows $\text{symeval } P \ (\text{Plus } e1 \ e2) \ (v1 + v2)$
 $\langle \text{proof} \rangle$

lemma symevalb_c: $\text{symevalb } P \ (Bc \ v) \ v$
 $\langle \text{proof} \rangle$

lemma symevalb_and: **assumes** $\text{symevalb } P \ e1 \ v1 \ \text{symevalb } P \ e2 \ v2$
shows $\text{symevalb } P \ (\text{And } e1 \ e2) \ (v1 \wedge v2)$
 $\langle \text{proof} \rangle$

lemma symevalb_not: **assumes** $\text{symevalb } P \ e \ v$
shows $\text{symevalb } P \ (\text{Not } e) \ (\neg v)$
 $\langle \text{proof} \rangle$

lemma symevalb_less: **assumes** $\text{symeval } P \ e1 \ v1 \ \text{symeval } P \ e2 \ v2$
shows $\text{symevalb } P \ (\text{Less } e1 \ e2) \ (v1 < v2)$
 $\langle \text{proof} \rangle$

lemmas *symeval* = *symeval_c symeval_v symeval_plus symevalb_c symevalb_and symevalb_not symevalb_less*

schematic_goal *symevalb* ((*x* $\hookrightarrow$ *v1*) ** (*y* $\hookrightarrow$ *v2*)) (*Less* (*Plus* (*V* *x*) (*V* *y*)) (*N* 5)) ?*g*
 <*proof*>

end

8 Hoare Logic based on Separation Logic and Time Credits

theory *SepLog_Hoare*

imports *Big_StepT_Partial SepLogAdd/Sep_Algebra_Add*

begin

8.1 Definition of Validity

definition *hoare3_valid* :: *assn2* $\Rightarrow$ *com* $\Rightarrow$ *assn2* $\Rightarrow$ *bool*

($\vdash_3 \{ (1_)\} / (_)/ \{ (1_)\} \triangleright 50$) **where**
 $\vdash_3 \{ P \} c \{ Q \} \longleftrightarrow$
 ($\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps' m. ((c, ps) \Rightarrow_A m \Downarrow ps')$
 $\wedge n \geq m \wedge Q (ps', n - m))$)

lemma *alternative*: $\vdash_3 \{ P \} c \{ Q \} \longleftrightarrow$

($\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps' t\ n'. ((c, ps) \Rightarrow_A t \Downarrow ps')$
 $\wedge n = n' + t \wedge Q (ps', n')$)

<*proof*>

8.2 Hoare Rules

inductive

hoareT3 :: *assn2* $\Rightarrow$ *com* $\Rightarrow$ *assn2* $\Rightarrow$ *bool* ($\vdash_3 (\{ (1_)\} / (_)/ \{ (1_)\}) \triangleright 50$)

where

Skip: $\vdash_3 \{ \$1 \} SKIP \{ \$0 \} \mid$

Assign: $\vdash_3 \{ lmaps_to_expr_x\ x\ a\ v\ **\ \$1 \} x ::= a \{ (\% (s, c). dom\ s = vars\ a - \{x\} \wedge c = 0) ** x \hookrightarrow v \} \mid$

If: $\llbracket \vdash_3 \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s \} c_1 \{ Q \};$
 $\vdash_3 \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_3 \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_3 \{ P \} C \{ Q \} \rrbracket$
 $\implies \vdash_3 \{ P ** F \} C \{ Q ** F \} \mid$

Seq: $\llbracket \vdash_3 \{ P \} C_1 \{ Q \}; \vdash_3 \{ Q \} C_2 \{ R \} \rrbracket$
 $\implies \vdash_3 \{ P \} C_1 ;; C_2 \{ R \} \mid$

While: $\llbracket \vdash_3 \{ (\lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ P ** \$1 \} \rrbracket$
 $\implies \vdash_3 \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE } b$
 $\text{DO } C \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \mid$

conseq: $\llbracket \vdash_3 \{ P \} c \{ Q \}; \wedge s. P' s \implies P s; \wedge s. Q s \implies Q' s \rrbracket \implies$
 $\vdash_3 \{ P' \} c \{ Q' \} \mid$

normalize: $\llbracket \vdash_3 \{ P ** \$m \} C \{ Q ** \$n \}; n \leq m \rrbracket$
 $\implies \vdash_3 \{ P ** \$(m-n) \} C \{ Q \} \mid$

constancy: $\llbracket \vdash_3 \{ P \} C \{ Q \}; \wedge ps \ ps'. ps = ps' \text{ on } \text{UNIV} - \text{lvars } C$
 $\implies R \ ps = R \ ps' \rrbracket$
 $\implies \vdash_3 \{ \% (ps,n). P(ps,n) \wedge R \ ps \} C \{ \% (ps,n). Q(ps,n) \wedge$
 $R \ ps \} \mid$

Assign''': $\vdash_3 \{ \$1 ** (x \hookrightarrow ds) \} x ::= (N \ v) \{ (x \hookrightarrow v) \} \mid$

Assign'''': $\llbracket \text{syneval } P \ a \ v; \vdash_3 \{ P \} x ::= (N \ v) \{ Q' \} \rrbracket \implies \vdash_3 \{ P \} x ::=$
 $a \{ Q' \} \mid$

Assign4: $\vdash_3 \{ (\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a$
 $ps)),t)) ** \$1 \} x ::= a \{ Q \} \mid$

False: $\vdash_3 \{ \lambda(ps,n). \text{False} \} c \{ Q \} \mid$

pureI: $(P \implies \vdash_3 \{ Q \} c \{ R \}) \implies \vdash_3 \{ \uparrow P ** Q \} c \{ R \}$

Derived Rules

lemma *Frame_R*: **assumes** $\vdash_3 \{ P \} C \{ Q \}$ *Frame* $P' \ P \ F$
shows $\vdash_3 \{ P' \} C \{ Q ** F \}$
 $\langle \text{proof} \rangle$

lemma *strengthen_post*: **assumes** $\vdash_3 \{P\}c\{Q\} \wedge s. Q\ s \implies Q'\ s$
shows $\vdash_3 \{P\}c\{Q'\}$
 $\langle proof \rangle$

lemma *weakenpre*: $\llbracket \vdash_3 \{P\}c\{Q\} ; \wedge s. P'\ s \implies P\ s \rrbracket \implies$
 $\vdash_3 \{P'\}c\{Q\}$
 $\langle proof \rangle$

8.3 Soundness Proof

lemma *exec_preserves_disj*: $(c, ps) \Rightarrow_A t \Downarrow ps' \implies ps'' \#\# ps \implies ps''$
 $\#\# ps'$
 $\langle proof \rangle$

lemma *FrameRuleSound*: **assumes** $\models_3 \{P\} C \{Q\}$
shows $\models_3 \{P ** F\} C \{Q ** F\}$
 $\langle proof \rangle$

theorem *hoare3_sound*: **assumes** $\vdash_3 \{P\}c\{Q\}$
shows $\models_3 \{P\} c \{Q\} \langle proof \rangle$

8.4 Completeness

definition $wp_3 :: com \Rightarrow assn2 \Rightarrow assn2 \ (\langle wp_3 \rangle)$ **where**
 $wp_3\ c\ Q = (\lambda(s,n). \exists t\ m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q\ (t, n-m))$

lemma *wp3_SKIP[simp]*: $wp_3\ SKIP\ Q = (Q ** \$1)$
 $\langle proof \rangle$

lemma *wp3_Assign[simp]*: $wp_3\ (x ::= e)\ Q = ((\lambda(ps,t). vars\ e \cup \{x\} \subseteq$
 $dom\ ps \wedge Q\ (ps(x \mapsto paval\ e\ ps), t)) ** \$1)$
 $\langle proof \rangle$

lemma *wpt_Seq[simp]*: $wp_3\ (c_1;;c_2)\ Q = wp_3\ c_1\ (wp_3\ c_2\ Q)$
 $\langle proof \rangle$

lemma *wp3_If[simp]*:
 $wp_3\ (IF\ b\ THEN\ c_1\ ELSE\ c_2)\ Q = ((\lambda(ps,t). vars\ b \subseteq dom\ ps \wedge wp_3\ (if$
 $pbval\ b\ ps\ then\ c_1\ else\ c_2)\ Q\ (ps,t)) ** \$1)$
 $\langle proof \rangle$

lemma *sFTrue*: **assumes** $pbval\ b\ ps\ vars\ b \subseteq dom\ ps$
shows $wp_3\ (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge$
 $(if\ pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps, n)))$
 $\wedge^*\ \$\ 1)\ (ps, n)$
 $(is\ ?wp = (?I\ \wedge^*\ \$\ 1)\ _)$
 $\langle proof \rangle$

lemma *sFFalse*: **assumes** $\sim\ pbval\ b\ ps\ vars\ b \subseteq dom\ ps$
shows $wp_3\ (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge$
 $(if\ pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps, n)))$
 $\wedge^*\ \$\ 1)\ (ps, n)$
 $(is\ ?wp = (?I\ \wedge^*\ \$\ 1)\ _)$
 $\langle proof \rangle$

lemma *sF'*: $wp_3\ (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps$
 $\wedge\ (if\ pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps,$
 $n))) \wedge^*\ \$\ 1)\ (ps, n)$
 $\langle proof \rangle$

lemma *sF*: $wp_3\ (WHILE\ b\ DO\ c)\ Q\ s = ((\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge (if$
 $pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps, n)))$
 $\wedge^*\ \$\ 1)\ s$
 $\langle proof \rangle$

lemma **assumes** $\wedge Q. \vdash_3\ \{wp_3\ c\ Q\}\ c\ \{Q\}$
shows *WhileWpisPre*: $\vdash_3\ \{wp_3\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{$
 $Q\}$
 $\langle proof \rangle$

lemma *wp3_is_pre*: $\vdash_3\ \{wp_3\ c\ Q\}\ c\ \{Q\}$
 $\langle proof \rangle$

theorem *hoare3_complete*: $\models_3\ \{P\}c\{Q\} \implies \vdash_3\ \{P\}c\{Q\}$
 $\langle proof \rangle$

theorem *hoare3_sound_complete*: $\models_3\ \{P\}c\{Q\} \longleftrightarrow \vdash_3\ \{P\}c\{Q\}$
 $\langle proof \rangle$

8.4.1 What about garbage collection?

definition F where $F\ C\ Q = (\% (ps, n). \exists ps1'\ ps2'\ m\ e1\ e2. (C, ps) \Rightarrow_A m \Downarrow ps1' + ps2' \wedge ps1' \#\#\ ps2' \wedge n = e1 + e2 + m \wedge Q\ (ps1', e1))$

lemma $wp_3\ C\ (Q ** (\% _ . True)) = F\ C\ Q$
 $\langle proof \rangle$

definition $hoareT3_validGC :: assn2 \Rightarrow com \Rightarrow assn2 \Rightarrow bool$

$(\langle \models_G \{ (1_)\} / (_) / \{ (1_)\} \rangle 50)$ **where**
 $\models_G \{ P \} c \{ Q \} \longleftrightarrow \models_3 \{ P \} c \{ Q ** (\% _ . True) \}$

end

8.5 Examples

theory *SepLog_Examples*
imports *SepLog_Hoare*
begin

8.5.1 nice example

lemmas $strongAssign = Assign'''[OF_strengthen_post, OF_Frame_R, OF_Assign''']$

lemma *myrule*: **assumes** $case\ s\ of\ (s, n) \Rightarrow (\$ (2 * x) \wedge * \text{"x"} \hookrightarrow int\ x)$
 $(s, n) \wedge lmaps_to_axpr' (Less\ (N\ 0)\ (V\ \text{"x"}))\ True\ s$
and $symevalb\ (\$ (2 * x) ** \text{"x"} \hookrightarrow int\ x)\ (Less\ (N\ 0)\ (V\ \text{"x"}))\ v$
shows $(\uparrow(v=True) ** \$ (2 * x) ** \text{"x"} \hookrightarrow int\ x)\ s$
 $\langle proof \rangle$

fun $sum :: int \Rightarrow int$ **where**
 $sum\ i = (if\ i \leq 0\ then\ 0\ else\ sum\ (i - 1) + i)$

abbreviation $wsum ==$
 $WHILE\ Less\ (N\ 0)\ (V\ \text{"x"})$
 $DO\ ($
 $\text{"x"} ::= Plus\ (V\ \text{"x"})\ (N\ (-\ 1)))$

lemma $E4_R: \vdash_3 \{ \uparrow(v > 0) ** \$ (2 * v) ** pointsto\ \text{"x"}\ (int\ v) \}$
 $\text{"x"} ::= Plus\ (V\ \text{"x"})\ (N\ (-\ 1))$

$\{ \uparrow(v > 0) ** \$ (2 * v - 1) ** \text{pointsto } "x" (int\ v - 1) \}$
 $\langle \text{proof} \rangle$

lemma *prod_0*:

shows $(\lambda(s::char\ list \Rightarrow int\ option, c::nat). s = Map.empty \wedge c = 0) h$
 $\Longrightarrow h = 0 \langle \text{proof} \rangle$

lemma *example2*: $\vdash_3 \{ (\text{pointsto } "x" n) ** (\text{pointsto } "y" n) ** \$1 \} "x"$
 $::= Plus (V "x") (N (- 1)) \{ (\text{pointsto } "x" (n-1)) ** (\text{pointsto } "y" n) \}$
 $\langle \text{proof} \rangle$

end

9 Hoare Logic based on Separation Logic and Time Credits (big-O style)

theory *SepLogK_Hoare*

imports *Big_StepT Partial_Evaluation Big_StepT_Partial*

begin

9.1 Definition of Validity

definition *hoare3o_valid* :: $assn2 \Rightarrow com \Rightarrow assn2 \Rightarrow bool$

$(\langle \models_{3'} \{ (1_)\} / (_) / \{ (1_)\} \rangle 50) \text{ where}$
 $\models_{3'} \{ P \} c \{ Q \} \longleftrightarrow$
 $(\exists k > 0. (\forall ps\ n. P\ (ps, n)$
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\# ps'' \wedge k * n = k * e + e' + m$
 $\wedge Q\ (ps', e))))$

9.2 Hoare Rules

inductive

hoare3a :: $assn2 \Rightarrow com \Rightarrow assn2 \Rightarrow bool$ $(\langle \vdash_{3a} (\{ (1_)\} / (_) / \{ (1_)\}) \rangle 50)$

where

Skip: $\vdash_{3a} \{ \$1 \} SKIP \{ \$0 \} \mid$

Assign4: $\vdash_{3a} \{ (\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q (ps(x \mapsto (\text{paval } a \text{ } ps)), t)) ** \$1 \} x ::= a \{ Q \} \mid$

If: $\llbracket \vdash_{3a} \{ \lambda(s,n). P (s,n) \wedge \text{lmaps_to_expr } b \text{ True } s \} c_1 \{ Q \};$
 $\vdash_{3a} \{ \lambda(s,n). P (s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{3a} \{ (\lambda(s,n). P (s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_{3a} \{ P \} C \{ Q \} \rrbracket$
 $\implies \vdash_{3a} \{ P ** F \} C \{ Q ** F \} \mid$

Seq: $\llbracket \vdash_{3a} \{ P \} C_1 \{ Q \}; \vdash_{3a} \{ Q \} C_2 \{ R \} \rrbracket$
 $\implies \vdash_{3a} \{ P \} C_1 ;; C_2 \{ R \} \mid$

While: $\llbracket \vdash_{3a} \{ (\lambda(s,n). P (s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ (\lambda(s,n). P (s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \rrbracket$
 $\implies \vdash_{3a} \{ (\lambda(s,n). P (s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE } b \text{ DO } C \{ \lambda(s,n). P (s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \mid$

conseqS: $\llbracket \vdash_{3a} \{ P \} c \{ Q \}; \wedge s \ n. P' (s,n) \implies P (s,n); \wedge s \ n. Q (s,n) \implies Q' (s,n) \rrbracket \implies$
 $\vdash_{3a} \{ P' \} c \{ Q' \}$

inductive

hoare3b :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} \ (\langle \vdash_{3b} (\{(1_)\} / (_) / \{(1_)\}) \rangle$
50)

where

import: $\vdash_{3a} \{ P \} c \{ Q \} \implies \vdash_{3b} \{ P \} c \{ Q \} \mid$

conseq: $\llbracket \vdash_{3b} \{ P \} c \{ Q \}; \wedge s \ n. P' (s,n) \implies P (s,k*n); \wedge s \ n. Q (s,n) \implies Q' (s,n \text{ div } k); k > 0 \rrbracket \implies$
 $\vdash_{3b} \{ P' \} c \{ Q' \}$

inductive

hoare3' :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} \ (\langle \vdash_{3'} (\{(1_)\} / (_) / \{(1_)\}) \rangle$
50)

where

Skip: $\vdash_{3'} \{ \$1 \} \text{ SKIP } \{ \$0 \} \mid$

Assign: $\vdash_{3'} \{ \text{lm maps_to_expr_} x \ a \ v \ ** \ \$1 \} \ x ::= a \ \{ (\% (s, c). \text{dom } s = \text{vars } a - \{x\} \wedge c = 0) \ ** \ x \hookrightarrow v \} \mid$

Assign': $\vdash_{3'} \{ \text{pointsto } x \ v' \ ** \ (\text{pointsto } x \ v \longrightarrow * \ Q) \ ** \ \$1 \} \ x ::= N \ v \ \{ \ Q \} \mid$

Assign2: $\vdash_{3'} \{ \exists v . ((\exists v'. \text{pointsto } x \ v') \ ** \ (\text{pointsto } x \ v \longrightarrow * \ Q) \ ** \ \$1) \text{ and sep_true } \ ** \ (\% (ps, n). \text{vars } a \subseteq \text{dom } ps \wedge \text{paval } a \ ps = v)) \} \ x ::= a \ \{ \ Q \} \mid$

If: $\llbracket \vdash_{3'} \{ \lambda(s, n). P(s, n) \wedge \text{lm maps_to_axpr } b \ \text{True } s \} \ c_1 \ \{ \ Q \} ; \vdash_{3'} \{ \lambda(s, n). P(s, n) \wedge \text{lm maps_to_axpr } b \ \text{False } s \} \ c_2 \ \{ \ Q \} \rrbracket \implies \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) \ ** \ \$1 \} \ \text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2 \ \{ \ Q \} \mid$

Frame: $\llbracket \vdash_{3'} \{ P \} \ C \ \{ \ Q \} \rrbracket \implies \vdash_{3'} \{ P \ ** \ F \} \ C \ \{ \ Q \ ** \ F \} \mid$

Seq: $\llbracket \vdash_{3'} \{ P \} \ C_1 \ \{ \ Q \} ; \vdash_{3'} \{ Q \} \ C_2 \ \{ \ R \} \rrbracket \implies \vdash_{3'} \{ P \} \ C_1 \ ; \ C_2 \ \{ \ R \} \mid$

While: $\llbracket \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{lm maps_to_axpr } b \ \text{True } s) \} \ C \ \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) \ ** \ \$1 \} \rrbracket \implies \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) \ ** \ \$1 \} \ \text{WHILE } b \ \text{DO } C \ \{ \lambda(s, n). P(s, n) \wedge \text{lm maps_to_axpr } b \ \text{False } s \} \mid$

conseq: $\llbracket \vdash_{3'} \{ P \} c \{ Q \} ; \wedge s \ n. P'(s, n) \implies P(s, k * n) ; \wedge s \ n. Q(s, n) \implies Q'(s, n \text{ div } k); k > 0 \rrbracket \implies \vdash_{3'} \{ P' \} c \{ Q' \} \mid$

normalize: $\llbracket \vdash_{3'} \{ P \ ** \ \$m \} \ C \ \{ \ Q \ ** \ \$n \} ; n \leq m \rrbracket \implies \vdash_{3'} \{ P \ ** \ \$(m-n) \} \ C \ \{ \ Q \} \mid$

Assign''': $\vdash_{3'} \{ \ \$1 \ ** \ (x \hookrightarrow ds) \} \ x ::= (N \ v) \ \{ \ (x \hookrightarrow v) \} \mid$

Assign'''': $\llbracket \text{symeval } P \ a \ v; \vdash_{3'} \{ P \} \ x ::= (N \ v) \ \{ Q' \} \rrbracket \implies \vdash_{3'} \{ P \} \ x ::= a \ \{ Q' \} \mid$

Assign4: $\vdash_{3'} \{ (\lambda(ps, t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a \ ps)), t)) \ ** \ \$1 \} \ x ::= a \ \{ \ Q \} \mid$

False: $\vdash_{3'} \{ \lambda(ps,n). \text{False} \} c \{ Q \} \mid$

pureI: $(P \implies \vdash_{3'} \{ Q \} c \{ R \}) \implies \vdash_{3'} \{ \uparrow P ** Q \} c \{ R \}$

definition $A4 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$

where $A4\ x\ a\ Q = ((\lambda(ps,t). x \in \text{dom}\ ps \wedge \text{vars}\ a \subseteq \text{dom}\ ps \wedge Q\ (ps(x \mapsto (\text{paval}\ a\ ps)), t)) ** \$1)$

definition $A2 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$

where $A2\ x\ a\ Q = (\exists v. ((\exists v'. \text{pointsto}\ x\ v') ** (\text{pointsto}\ x\ v \longrightarrow * Q) ** \$1)$

$\text{and sep_true} ** (\%_0(ps,n). \text{vars}\ a \subseteq \text{dom}\ ps \wedge \text{paval}\ a\ ps = v$
 $)))$

lemma $A4\ x\ a\ Q\ (ps,n) \implies A2\ x\ a\ Q\ (ps,n)$
 $\langle \text{proof} \rangle$

lemma $A2\ x\ a\ Q\ (ps,n) \implies A4\ x\ a\ Q\ (ps,n)$
 $\langle \text{proof} \rangle$

lemma $E_extendsR: \vdash_{3a} \{ P \} c \{ F \} \implies \vdash_{3'} \{ P \} c \{ F \}$
 $\langle \text{proof} \rangle$

lemma $E_extendsS: \vdash_{3b} \{ P \} c \{ F \} \implies \vdash_{3'} \{ P \} c \{ F \}$
 $\langle \text{proof} \rangle$

lemma $Skip': P = (F ** \$1) \implies \vdash_{3'} \{ P \} SKIP \{ F \}$
 $\langle \text{proof} \rangle$

9.2.1 experiments with explicit and implicit GarbageCollection

lemma $(\forall ps\ n. P\ (ps,n)$

$\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c,ps) \Rightarrow_A m \Downarrow ps' + ps''))$
 $\wedge ps' \#\#\ ps'' \wedge n = e + e' + m$
 $\wedge Q\ (ps',e)))$

$\longleftrightarrow (\forall ps\ n. P\ (ps,n) \longrightarrow (\exists ps'\ m\ e. ((c,ps) \Rightarrow_A m \Downarrow ps') \wedge n = e$
 $+ m \wedge (Q ** (\lambda_ . True))\ (ps',e)))$

$\langle proof \rangle$

9.3 Soundness

theorem *hoareT_sound2_part*: **assumes** $\vdash_{3'} \{ P \} c \{ Q \}$
shows $\models_{3'} \{ P \} c \{ Q \}$ $\langle proof \rangle$

thm *hoareT_sound2_part E_extendsR*

lemma *hoareT_sound2_partR*: $\vdash_{3a} \{ P \} c \{ Q \} \implies \models_{3'} \{ P \} c \{ Q \}$
 $\langle proof \rangle$

9.3.1 nice example

lemma *Frame_R*: **assumes** $\vdash_{3'} \{ P \} C \{ Q \}$ *Frame* $P' P F$
shows $\vdash_{3'} \{ P' \} C \{ Q ** F \}$
 $\langle proof \rangle$

lemma *strengthen_post*: **assumes** $\vdash_{3'} \{ P \} c \{ Q \} \wedge s. Q s \implies Q' s$
shows $\vdash_{3'} \{ P \} c \{ Q' \}$
 $\langle proof \rangle$

lemmas *strongAssign* = *Assign'''*[*OF__strengthen_post*, *OF__Frame_R*,
OF__Assign'']

lemma *weakenpre*: $\llbracket \vdash_{3'} \{ P \} c \{ Q \} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3'} \{ P' \} c \{ Q \}$
 $\langle proof \rangle$

lemma *weakenpreR*: $\llbracket \vdash_{3a} \{ P \} c \{ Q \} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3a} \{ P' \} c \{ Q \}$
 $\langle proof \rangle$

9.4 Completeness

definition $wp3' :: com \Rightarrow assn2 \Rightarrow assn2$ ($\wp3'$) **where**
 $wp3' c Q = (\lambda(s,n). \exists t m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q(t, n-m))$

lemma *wp3Skip[simp]*: $wp3' SKIP Q = (Q ** \$1)$
 $\langle proof \rangle$

lemma *wp3Assign[simp]*: $wp_{3'} (x ::= e) Q = ((\lambda(ps, t). \text{vars } e \cup \{x\} \subseteq \text{dom } ps \wedge Q (ps(x \mapsto \text{paval } e \text{ } ps), t)) ** \$1)$
 $\langle \text{proof} \rangle$

lemma *wpt_Seq[simp]*: $wp_{3'} (c_1;;c_2) Q = wp_{3'} c_1 (wp_{3'} c_2 Q)$
 $\langle \text{proof} \rangle$

lemma *wp3If[simp]*:
 $wp_{3'} (IF b THEN c_1 ELSE c_2) Q = ((\lambda(ps, t). \text{vars } b \subseteq \text{dom } ps \wedge wp_{3'} (\text{if } \text{pbval } b \text{ } ps \text{ then } c_1 \text{ else } c_2) Q (ps, t)) ** \$1)$
 $\langle \text{proof} \rangle$

lemma *sFTrue*: **assumes** $\text{pbval } b \text{ } ps \text{ vars } b \subseteq \text{dom } ps$
shows $wp_{3'} (WHILE b DO c) Q (ps, n) = ((\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge (\text{if } \text{pbval } b \text{ } ps \text{ then } wp_{3'} c (wp_{3'} (WHILE b DO c) Q) (ps, n) \text{ else } Q (ps, n))) \wedge * \$1) (ps, n)$
 $(\text{is } ?wp = (?I \wedge * \$1) \text{ } _)$
 $\langle \text{proof} \rangle$

lemma *sFFalse*: **assumes** $\sim \text{pbval } b \text{ } ps \text{ vars } b \subseteq \text{dom } ps$
shows $wp_{3'} (WHILE b DO c) Q (ps, n) = ((\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge (\text{if } \text{pbval } b \text{ } ps \text{ then } wp_{3'} c (wp_{3'} (WHILE b DO c) Q) (ps, n) \text{ else } Q (ps, n))) \wedge * \$1) (ps, n)$
 $(\text{is } ?wp = (?I \wedge * \$1) \text{ } _)$
 $\langle \text{proof} \rangle$

lemma *sF'*: $wp_{3'} (WHILE b DO c) Q (ps, n) = ((\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge (\text{if } \text{pbval } b \text{ } ps \text{ then } wp_{3'} c (wp_{3'} (WHILE b DO c) Q) (ps, n) \text{ else } Q (ps, n))) \wedge * \$1) (ps, n)$
 $\langle \text{proof} \rangle$

lemma *sF*: $wp_{3'} (WHILE b DO c) Q s = ((\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge (\text{if } \text{pbval } b \text{ } ps \text{ then } wp_{3'} c (wp_{3'} (WHILE b DO c) Q) (ps, n) \text{ else } Q (ps, n))) \wedge * \$1) s$
 $\langle \text{proof} \rangle$

lemma *strengthen_postR*: **assumes** $\vdash_{3a} \{P\} c \{Q\} \wedge s. Q s \implies Q' s$
shows $\vdash_{3a} \{P\} c \{Q'\}$
 $\langle \text{proof} \rangle$

lemma *assumes* $\wedge Q. \vdash_{3a} \{wp_{3'} \ c \ Q\} \ c \ \{Q\}$
shows *WhileWpisPre*: $\vdash_{3a} \{wp_{3'} \ (WHILE \ b \ DO \ c) \ Q\} \ WHILE \ b \ DO \ c \ \{Q\}$
 $\langle proof \rangle$

lemma *wpT_is_pre*: $\vdash_{3a} \{wp_{3'} \ c \ Q\} \ c \ \{Q\}$
 $\langle proof \rangle$

lemma *hoare3o_valid_alt*: $\models_{3'} \{P\} \ c \ \{Q\} \implies$
 $(\exists k > 0. (\forall ps \ n. P \ (ps, n \ div \ k)$
 $\longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c, ps) \Rightarrow_A \ m \Downarrow \ ps' + ps'')$
 $\wedge \ ps' \ \#\#\ ps'' \wedge \ n = e + e' + m$
 $\wedge \ Q \ (ps', e \ div \ k))))$
 $\langle proof \rangle$

lemma *valid_alternative_with_GC*: **assumes** $(\forall ps \ n. P \ (ps, n)$
 $\longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c, ps) \Rightarrow_A \ m \Downarrow \ ps' + ps'')$
 $\wedge \ ps' \ \#\#\ ps'' \wedge \ n = e + e' + m$
 $\wedge \ Q \ (ps', e)))$ **shows** $(\forall ps \ n. P \ (ps, n)$
 $\longrightarrow (\exists ps' \ m \ e. ((c, ps) \Rightarrow_A \ m \Downarrow \ ps')$
 $\wedge \ n = e + m \wedge (Q \ ** \ sep_true) \ (ps', e)))$
 $\langle proof \rangle$

lemma *hoare3o_valid_GC*: $\models_{3'} \{P\} \ c \ \{Q\} \implies \models_{3'} \{P\} \ c \ \{Q \ ** \ sep_true\}$
 $\langle proof \rangle$

lemma *hoare3a_sound_GC*: $\vdash_{3a} \{P\} \ c \ \{Q\} \implies \models_{3'} \{P\} \ c \ \{Q \ ** \ sep_true\}$
 $\langle proof \rangle$

lemma *valid_wp*: $\models_{3'} \{P\} \ c \ \{Q\} \implies (\exists k > 0. \forall s \ n. P \ (s, n) \longrightarrow wp_{3'} \ c$
 $(\lambda(ps, n). (Q \ ** \ sep_true) \ (ps, n \ div \ k)) \ (s, k * n))$
 $\langle proof \rangle$

theorem *completeness*: $\models_{3'} \{P\} \ c \ \{Q\} \implies \vdash_{3b} \{P\} \ c \ \{Q \ ** \ sep_true\}$
 $\langle proof \rangle$

thm *E_extendsR_completeness*

lemma *completenessR*: $\models_{3'} \{P\} \ c \ \{ \ Q \} \implies \vdash_{3'} \{P\} \ c \ \{ \ Q \ ** \ sep_true \}$
 $\langle proof \rangle$

end

theory *SepLogK_VCG*

imports *SepLogK_Hoare*

begin

lemmas *conseqS* = *conseq*[**where** $k=1$, *simplified*]

datatype *acom* =

Askip $(\langle SKIP \rangle) \mid$
Aassign *vname* *aexp* $(\langle _ ::= _ \rangle \ [1000, 61] \ 61) \mid$
Aseq *acom* *acom* $(\langle _ ;; _ \rangle \ [60, 61] \ 60) \mid$
Aif *bexp* *acom* *acom* $(\langle (IF _ / THEN _ / ELSE _) \rangle \ [0, 0, 61] \ 61) \mid$
Awhile *assn2* *bexp* *acom* $(\langle (\{ _ \} / WHILE _ / DO _) \rangle \ [0, 0, 61] \ 61)$

notation *com.SKIP* $(\langle SKIP \rangle)$

fun *strip* :: *acom* $\Rightarrow$ *com* **where**

strip *SKIP* = *SKIP* $\mid$
strip $(x ::= a)$ = $(x ::= a)$ $\mid$
strip $(C_1 ;; C_2)$ = $(strip \ C_1 ;; strip \ C_2)$ $\mid$
strip $(IF \ b \ THEN \ C_1 \ ELSE \ C_2)$ = $(IF \ b \ THEN \ strip \ C_1 \ ELSE \ strip \ C_2)$ $\mid$
strip $(\{ _ \} \ WHILE \ b \ DO \ C)$ = $(WHILE \ b \ DO \ strip \ C)$

fun *pre* :: *acom* $\Rightarrow$ *assn2* $\Rightarrow$ *assn2* **where**

pre *SKIP* *Q* = $(\$1 \ ** \ Q)$ $\mid$
pre $(x ::= a)$ *Q* = $((\lambda(ps,t). \ x \in dom \ ps \wedge vars \ a \subseteq dom \ ps \wedge Q \ (ps(x \mapsto (paval \ a \ ps)), t)) \ ** \ \$1)$ $\mid$
pre $(C_1 ;; C_2)$ *Q* = *pre* *C*₁ (*pre* *C*₂ *Q*) $\mid$
pre $(IF \ b \ THEN \ C_1 \ ELSE \ C_2)$ *Q* = (
 $\$1 \ ** \ (\lambda(ps,n). \ vars \ b \subseteq dom \ ps \wedge (if \ pbval \ b \ ps \ then \ pre \ C_1 \ Q \ (ps,n)$
 $else \ pre \ C_2 \ Q \ (ps,n)))$ $\mid$
pre $(\{I\} \ WHILE \ b \ DO \ C)$ *Q* = $(I \ ** \ \$1)$

fun *vc* :: *acom* $\Rightarrow$ *assn2* $\Rightarrow$ *bool* **where**

vc *SKIP* *Q* = *True* $\mid$
vc $(x ::= a)$ *Q* = *True* $\mid$
vc $(C_1 ;; C_2)$ *Q* = $((vc \ C_1 \ (pre \ C_2 \ Q)) \wedge (vc \ C_2 \ Q))$ $\mid$
vc $(IF \ b \ THEN \ C_1 \ ELSE \ C_2)$ *Q* = $(vc \ C_1 \ Q \wedge vc \ C_2 \ Q)$ $\mid$

$$\begin{aligned}
vc \{ \{I\} \text{ WHILE } b \text{ DO } C \} Q = & ((\forall s. (I \ s \longrightarrow \text{vars } b \subseteq \text{dom } (fst \ s)) \wedge \\
& ((\lambda(s,n). I \ (s,n) \wedge \text{lmaps_to_axpr } b \ \text{True } s) \ s \longrightarrow \text{pre } C \ (I \ ** \ \$ \ 1) \ s) \\
& \wedge ((\lambda(s,n). I \ (s,n) \wedge \text{lmaps_to_axpr } b \ \text{False } s) \ s \longrightarrow Q \ s)) \wedge vc \ C \\
& (I \ ** \ \$ \ 1))
\end{aligned}$$

lemma *dollar0_left*: $(\$ \ 0 \wedge * \ Q) = Q$
 $\langle proof \rangle$

lemma *vc_sound*: $vc \ C \ Q \Longrightarrow \vdash_{3'} \{ \text{pre } C \ Q \} \text{ strip } C \ \{ \ Q \}$
 $\langle proof \rangle$

lemma *vc2valid*: $vc \ C \ Q \Longrightarrow \forall s. P \ s \longrightarrow \text{pre } C \ Q \ s \Longrightarrow \models_{3'} \{P\} \text{ strip } C \ \{ \ Q \}$
 $\langle proof \rangle$

lemma *pre_mono*: **assumes** $\forall s. P \ s \longrightarrow Q \ s$ **shows** $\bigwedge s. \text{pre } C \ P \ s \Longrightarrow \text{pre } C \ Q \ s$
 $\langle proof \rangle$

lemma *vc_mono*: **assumes** $\forall s. P \ s \longrightarrow Q \ s$ **shows** $vc \ C \ P \Longrightarrow vc \ C \ Q$
 $\langle proof \rangle$

lemma *vc_sound'*: $vc \ C \ Q \Longrightarrow (\bigwedge s \ n. P' \ (s, n) \Longrightarrow \text{pre } C \ Q \ (s, k * n)) \Longrightarrow (\bigwedge s \ n. Q \ (s, n) \Longrightarrow Q' \ (s, n \ \text{div } k)) \Longrightarrow 0 < k \Longrightarrow \vdash_{3'} \{P'\} \text{ strip } C \ \{ \ Q' \}$
 $\langle proof \rangle$

lemma *pre_Frame*: $(\forall s. P \ s \longrightarrow \text{pre } C \ Q \ s) \Longrightarrow vc \ C \ Q \Longrightarrow (\exists C'. \text{strip } C = \text{strip } C' \wedge vc \ C' \ (Q \ ** \ F) \wedge (\forall s. (P \ ** \ F) \ s \longrightarrow \text{pre } C' \ (Q \ ** \ F) \ s))$
 $\langle proof \rangle$

lemma *vc_complete*: $\vdash_{3a} \{P\} \ c \ \{ \ Q \} \implies (\exists C. \text{vc } C \ Q \wedge (\forall s. P \ s \longrightarrow \text{pre } C \ Q \ s) \wedge \text{strip } C = c)$
 <proof>

theorem *vc_completeness*:
assumes $\models_{3'} \{P\} \ c \ \{ \ Q \}$
shows $\exists C \ k. \text{vc } C \ (Q ** \text{sep_true})$
 $\wedge (\forall ps \ n. P \ (ps, n) \longrightarrow \text{pre } C \ (\lambda(ps, n). (Q ** \text{sep_true}) \ (ps, n \text{ div } k)) \ (ps, k * n))$
 $\wedge \text{strip } C = c$
 <proof>

end

10 Discussion

10.1 Relation between the explicit Hoare logics

theory *Discussion*
imports *Quant_Hoare SepLog_Hoare*
begin

10.1.1 Relation SepLogic to quantHoare

definition *em* **where** $em \ P' = (\% (ps, n). P' \ (emb \ ps \ (\% _ . 0)) \leq enat \ n)$

lemma **assumes** $s: \models_3 \{ em \ P' \} \ c \ \{ em \ Q' \}$
shows $\models_2 \{ P' \} \ c \ \{ Q' \}$
 <proof>

end

10.2 Relation between the Hoare logics in big-O style

theory *DiscussionO*
imports *SepLogK_Hoare QuantK_Hoare Nielson_Hoare*
begin

10.2.1 Relation Nielson to quantHoare

definition $emN :: qassn \Rightarrow Nielson_Hoare.assn2$ **where** $emN P = (\lambda l s. P s < \infty)$

lemma assumes $s: \models_1 \{ emN P' \} c \{ \%s. (THE e. enat e = P' s - Q' (THE t. (\exists n. (c, s) \Rightarrow n \Downarrow t))) \Downarrow emN Q' \}$ **(is** $\models_1 \{ ?P \} c \{ ?e \Downarrow ?Q \}$)
shows $quantNielson: \models_{2'} \{ P' \} c \{ Q' \}$
 $\langle proof \rangle$

lemma assumes $s: \models_{2'} \{ \%s. emb (\forall l. P l s) + enat (e s) \} c \{ \%s. emb (\forall l. Q l s) \}$ **(is** $\models_{2'} \{ ?P \} c \{ ?Q \}$)
and $sP: \bigwedge l t. P l t \Longrightarrow \forall l. P l t$
and $sQ: \bigwedge l t. Q l t \Longrightarrow \forall l. Q l t$
shows $NielsonQuant: \models_1 \{ P \} c \{ e \Downarrow Q \}$
 $\langle proof \rangle$

10.2.2 Relation SepLogic to quantHoare

definition $em :: qassn \Rightarrow (pstate_t \Rightarrow bool)$ **where**
 $em P = (\% (ps, n). (\forall ex. P (Partial_Evaluation.emb ps ex) \leq enat n))$

lemma assumes $s: \models_{3'} \{ em P \} c \{ em Q \}$
shows $\models_{2'} \{ P \} c \{ Q \}$
 $\langle proof \rangle$

definition $embe :: (pstate_t \Rightarrow bool) \Rightarrow qassn$ **where**
 $embe P = (\%s. Inf \{ enat n | n. P (part s, n) \})$

lemma assumes $s: \models_{2'} \{ embe P \} c \{ embe Q \}$ **and** $full: \bigwedge ps n. P (ps, n) \Longrightarrow dom ps = UNIV$
shows $\models_{3'} \{ P \} c \{ Q \}$
 $\langle proof \rangle$

10.3 A General Validity Predicate with Time

definition $valid$ **where**
 $valid P c Q n = (\forall s. P s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq n \wedge Q s'))$

definition $validk$ **where**

$validk\ P\ c\ Q\ n = (\exists k > 0. (\forall s. P\ s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q\ s'))))$

lemma $validk\ P\ c\ Q\ n = (\exists k > 0. valid\ P\ c\ Q\ (k * n))$
 $\langle proof \rangle$

10.3.1 Relation between valid predicate and Quantitative Hoare Logic

lemma $\models_{2'} \{ \%s. emb\ (P\ s) + enat\ n \}\ c\ \{ \lambda s. emb\ (Q\ s) \} \Longrightarrow \exists k > 0. valid\ P\ c\ Q\ (k * n)$
 $\langle proof \rangle$

lemma $valid_quantHoare: \exists k > 0. valid\ P\ c\ Q\ (k * n) \Longrightarrow \models_{2'} \{ \%s. emb\ (P\ s) + enat\ n \}\ c\ \{ \lambda s. emb\ (Q\ s) \}$
 $\langle proof \rangle$

10.3.2 Relation between valid predicate and Hoare Logic based on Separation Logic

definition $embP2\ P = (\% (ps, n). \forall s. P\ (Partial_Evaluation.emb\ ps\ s) \wedge n = 0)$

definition $embP3\ P = (\% (ps, n). dom\ ps = UNIV \wedge (\forall s. P\ (Partial_Evaluation.emb\ ps\ s)) \wedge n = 0)$

lemma $emp: a + Map.empty = a$
 $\langle proof \rangle$

lemma $oneway: \models_{3'} \{ embP3\ P ** \$n \}\ c\ \{ embP2\ Q \} \Longrightarrow validk\ P\ c\ Q\ n$
 $\langle proof \rangle$

lemma $theother: validk\ P\ c\ Q\ n \Longrightarrow \models_{3'} \{ embP3\ P ** \$n \}\ c\ \{ embP2\ Q \}$
 $\langle proof \rangle$

lemma $validk\ P\ c\ Q\ n \longleftrightarrow \models_{3'} \{ embP3\ P ** \$n \}\ c\ \{ embP2\ Q \}$
 $\langle proof \rangle$

end

theory *Hoare_Time* **imports**

Nielson_Hoare
Nielson_VCG
Nielson_VCGi
Nielson_VCGi_complete
Nielson_Examples
Nielson_Sqrt

Quant_Hoare
Quant_VCG
Quant_Examples

QuantK_Hoare
QuantK_VCG
QuantK_Examples
QuantK_Sqrt

SepLog_Hoare
SepLog_Examples
SepLogK_Hoare
SepLogK_VCG

Discussion
DiscussionO

begin end

References

- [HN18] Maximilian Paul Louis Haslbeck and Tobias Nipkow. Hoare logics for time bounds. In M. Huisman and D. Beyer, editors, *Tools and Algorithms for the Construction and Analysis of Systems (TACAS 2018)*, LNCS. Springer, 2018. To appear.