

The Hereditarily Finite Sets

Lawrence C. Paulson

March 17, 2025

Abstract

The theory of hereditarily finite sets is formalised, following the development of Świerczkowski [2]. An HF set is a finite collection of other HF sets; they enjoy an induction principle and satisfy all the axioms of ZF set theory apart from the axiom of infinity, which is negated. All constructions that are possible in ZF set theory (Cartesian products, disjoint sums, natural numbers, functions) without using infinite sets are possible here. The definition of addition for the HF sets follows Kirby [1].

This development forms the foundation for the Isabelle proof of Gödel's incompleteness theorems, which has been formalised separately.

Contents

1	The Hereditarily Finite Sets	3
1.1	Basic Definitions and Lemmas	3
1.2	Verifying the Axioms of HF	5
1.3	Ordered Pairs, from ZF/ZF.thy	5
1.4	Unions, Comprehensions, Intersections	7
1.4.1	Unions	7
1.4.2	Set comprehensions	7
1.4.3	Union operators	7
1.4.4	Definition 1.8, Intersections	8
1.4.5	Set Difference	9
1.5	Replacement	9
1.6	Subset relation and the Lattice Properties	11
1.6.1	Rules for subsets	11
1.6.2	Lattice properties	12
1.7	Foundation, Cardinality, Powersets	13
1.7.1	Foundation	13
1.7.2	Cardinality	14
1.7.3	Powerset Operator	14
1.8	Bounded Quantifiers	15
1.9	Relations and Functions	17
1.10	Operations on families of sets	18
1.10.1	Rules for Unions and Intersections of families	19
1.10.2	Generalized Cartesian product	20
1.11	Disjoint Sum	21
2	Ordinals, Sequences and Ordinal Recursion	24
2.1	Ordinals	24
2.1.1	Basic Definitions	24
2.1.2	Definition 2.2 (Successor).	24
2.1.3	Induction, Linearity, etc.	26
2.1.4	Supremum and Infimum	27
2.1.5	Converting Between Ordinals and Natural Numbers	28
2.2	Sequences and Ordinal Recursion	29

3	V-Sets, Epsilon Closure, Ranks	33
3.1	V-sets	33
3.2	Least Ordinal Operator	34
3.3	Rank Function	34
3.4	Epsilon Closure	35
3.5	Epsilon-Recursion	36
4	An Application: Finite Automata	38
5	Addition, Sequences and their Concatenation	44
5.1	Generalised Addition — Also for Ordinals	44
5.1.1	Cancellation laws for addition	45
5.1.2	The predecessor function	45
5.2	A Concatenation Operation for Sequences	46
5.3	Nonempty sequences indexed by ordinals	47
5.3.1	Sequence-building operators	49
5.3.2	Showing that Sequences can be Constructed	49
5.3.3	Proving Properties of Given Sequences	50
5.4	A Unique Predecessor for every non-empty set	52

Chapter 1

The Hereditarily Finite Sets

```
theory HF
imports HOL-Library.Nat-Bijection
abbrevs <: = ∈
       and ~<: = ∉
begin
```

From "Finite sets and Gödel's Incompleteness Theorems" by S. Swierczkowski. Thanks for Brian Huffman for this development, up to the cases and induct rules.

1.1 Basic Definitions and Lemmas

```
typedef hf = UNIV :: nat set ⟨proof⟩

definition hfset :: hf ⇒ hf set
  where hfset a = Abs-hf ' set-decode (Rep-hf a)

definition HF :: hf set ⇒ hf
  where HF A = Abs-hf (set-encode (Rep-hf ' A))

definition hinsert :: hf ⇒ hf ⇒ hf
  where hinsert a b = HF (insert a (hfset b))

definition hmem :: hf ⇒ hf ⇒ bool (infixl '∈' 50)
  where hmem a b ⟷ a ∈ hfset b

abbreviation not-hmem :: hf ⇒ hf ⇒ bool (infixl '∉' 50)
  where a ∉ b ≡ ¬ a ∈ b

notation (ASCII)
  hmem (infixl '<:' 50)

instantiation hf :: zero
begin
```

definition *Zero-hf-def*: $0 = HF \ \{\}$
instance $\langle proof \rangle$
end

lemma *Abs-hf-0* [*simp*]: $Abs\text{-}hf \ 0 = 0$
 $\langle proof \rangle$

HF Set enumerations

abbreviation *inserthf* :: $hf \Rightarrow hf \Rightarrow hf$ (**infixl** $\triangleleft$ 60)
where $y \triangleleft x \equiv hinsert \ x \ y$

syntax (*ASCII*)
 $-HFinset :: args \Rightarrow hf \quad (\triangleleft \{ | (-) | \} \triangleright)$

syntax
 $-HFinset :: args \Rightarrow hf \quad (\triangleleft \{ | - | \} \triangleright)$

syntax-consts
 $-HFinset \rightleftharpoons inserthf$

translations
 $\{x, y\} \rightleftharpoons \{y\} \triangleleft x$
 $\{x\} \rightleftharpoons 0 \triangleleft x$

lemma *finite-hfset* [*simp*]: $finite \ (hfset \ a)$
 $\langle proof \rangle$

lemma *HF-hfset* [*simp*]: $HF \ (hfset \ a) = a$
 $\langle proof \rangle$

lemma *hfset-HF* [*simp*]: $finite \ A \Longrightarrow hfset \ (HF \ A) = A$
 $\langle proof \rangle$

lemma *inj-on-HF*: $inj\text{-}on \ HF \ (Collect \ finite)$
 $\langle proof \rangle$

lemma *hmem-empty* [*simp*]: $a \notin 0$
 $\langle proof \rangle$

lemmas *emptyE* [*elim!*] = *hmem-empty* [*THEN notE*]

lemma *hmem-hinsert* [*iff*]:
 $hmem \ a \ (c \triangleleft b) \longleftrightarrow a = b \vee a \in c$
 $\langle proof \rangle$

lemma *hf-ext*: $a = b \longleftrightarrow (\forall x. x \in a \longleftrightarrow x \in b)$
 $\langle proof \rangle$

lemma *finite-cases* [*consumes 1, case-names empty insert*]:
 $\llbracket finite \ F; F = \{\} \Longrightarrow P; \bigwedge A \ x. \llbracket F = insert \ x \ A; x \notin A; finite \ A \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma *hf-cases* [*cases type: hf, case-names 0 hinsert*]:
obtains $y = 0 \mid a \triangleleft b$ **where** $y = b \triangleleft a$ **and** $a \notin b$
 $\langle proof \rangle$

lemma *Rep-hf-hinsert*:
assumes $a \notin b$ **shows** $Rep\text{-}hf\ (hinsert\ a\ b) = 2 \wedge (Rep\text{-}hf\ a) + Rep\text{-}hf\ b$
 $\langle proof \rangle$

1.2 Verifying the Axioms of HF

HF1

lemma *hempty-iff*: $z=0 \longleftrightarrow (\forall x. x \notin z)$
 $\langle proof \rangle$

HF2

lemma *hinsert-iff*: $z = x \triangleleft y \longleftrightarrow (\forall u. u \in z \longleftrightarrow u \in x \vee u = y)$
 $\langle proof \rangle$

HF induction

lemma *hf-induct* [*induct type: hf, case-names 0 hinsert*]:
assumes [*simp*]: $P\ 0$
 $\bigwedge x\ y. \llbracket P\ x; P\ y; x \notin y \rrbracket \Longrightarrow P\ (y \triangleleft x)$
shows $P\ z$
 $\langle proof \rangle$

HF3

lemma *hf-induct-ax*: $\llbracket P\ 0; \forall x. P\ x \longrightarrow (\forall y. P\ y \longrightarrow P\ (x \triangleleft y)) \rrbracket \Longrightarrow P\ x$
 $\langle proof \rangle$

lemma *hf-equalityI* [*intro*]: $(\bigwedge x. x \in a \longleftrightarrow x \in b) \Longrightarrow a = b$
 $\langle proof \rangle$

lemma *hinsert-nonempty* [*simp*]: $A \triangleleft a \neq 0$
 $\langle proof \rangle$

lemma *hinsert-commute*: $(z \triangleleft y) \triangleleft x = (z \triangleleft x) \triangleleft y$
 $\langle proof \rangle$

lemma *hmem-HF-iff* [*simp*]: $x \in HF\ A \longleftrightarrow x \in A \wedge finite\ A$
 $\langle proof \rangle$

1.3 Ordered Pairs, from ZF/ZF.thy

lemma *singleton-eq-iff* [*iff*]: $\{a\} = \{b\} \longleftrightarrow a=b$
 $\langle proof \rangle$

lemma *doubleton-eq-iff*: $\{a, b\} = \{c, d\} \longleftrightarrow (a=c \wedge b=d) \vee (a=d \wedge b=c)$

$\langle proof \rangle$

definition $hpair :: hf \Rightarrow hf \Rightarrow hf$
where $hpair\ a\ b = \{\!\{a\}\!\}, \{\!\{a, b\}\!\}$

definition $hfst :: hf \Rightarrow hf$
where $hfst\ p \equiv THE\ x. \exists y. p = hpair\ x\ y$

definition $hsnd :: hf \Rightarrow hf$
where $hsnd\ p \equiv THE\ y. \exists x. p = hpair\ x\ y$

definition $hsplit :: [[hf, hf] \Rightarrow 'a, hf] \Rightarrow 'a::\{\}$ — for pattern-matching
where $hsplit\ c \equiv \lambda p. c\ (hfst\ p)\ (hsnd\ p)$

Ordered Pairs, from ZF/ZF.thy

nonterminal hfs

syntax (*ASCII*)

-*Tuple* $:: [hf, hfs] \Rightarrow hf \quad (\langle (-, / -) \rangle)$
-*hpattern* $:: [pttrn, patterns] \Rightarrow pttrn \quad (\langle \langle -, / - \rangle \rangle)$

syntax

$:: hf \Rightarrow hfs \quad (\langle \rightarrow \rangle)$
-*Enum* $:: [hf, hfs] \Rightarrow hfs \quad (\langle -, / - \rangle)$
-*Tuple* $:: [hf, hfs] \Rightarrow hf \quad (\langle \langle (-, / -) \rangle \rangle)$
-*hpattern* $:: [pttrn, patterns] \Rightarrow pttrn \quad (\langle \langle \langle -, / - \rangle \rangle \rangle)$

syntax-consts

-*Enum* -*Tuple* $\Rightarrow hpair$ **and**
-*hpattern* $\Rightarrow hsplit$

translations

$\langle x, y, z \rangle \quad \Rightarrow \langle x, \langle y, z \rangle \rangle$
 $\langle x, y \rangle \quad \Rightarrow CONST\ hpair\ x\ y$
 $\langle x, y, z \rangle \quad \Rightarrow \langle x, \langle y, z \rangle \rangle$
 $\lambda \langle x, y, zs \rangle. b \quad \Rightarrow CONST\ hsplit(\lambda x\ \langle y, zs \rangle. b)$
 $\lambda \langle x, y \rangle. b \quad \Rightarrow CONST\ hsplit(\lambda x\ y. b)$

lemma $hpair-def'$: $hpair\ a\ b = \{\!\{a, a\}\!\}, \{\!\{a, b\}\!\}$
 $\langle proof \rangle$

lemma $hpair-iff$ [*simp*]: $hpair\ a\ b = hpair\ a'\ b' \longleftrightarrow a=a' \wedge b=b'$
 $\langle proof \rangle$

lemmas $hpair-inject = hpair-iff$ [*THEN iffD1, THEN conjE, elim!*]

lemma $hfst-conv$ [*simp*]: $hfst\ \langle a, b \rangle = a$
 $\langle proof \rangle$

lemma $hsnd-conv$ [*simp*]: $hsnd\ \langle a, b \rangle = b$
 $\langle proof \rangle$

lemma *hsplit* [*simp*]: *hsplit* *c* $\langle a, b \rangle = c \ a \ b$
 $\langle proof \rangle$

1.4 Unions, Comprehensions, Intersections

1.4.1 Unions

Theorem 1.5 (Existence of the union of two sets).

lemma *binary-union*: $\exists z. \forall u. u \in z \longleftrightarrow u \in x \vee u \in y$
 $\langle proof \rangle$

Theorem 1.6 (Existence of the union of a set of sets).

lemma *union-of-set*: $\exists z. \forall u. u \in z \longleftrightarrow (\exists y. y \in x \wedge u \in y)$
 $\langle proof \rangle$

1.4.2 Set comprehensions

Theorem 1.7, comprehension scheme

lemma *comprehension*: $\exists z. \forall u. u \in z \longleftrightarrow u \in x \wedge P \ u$
 $\langle proof \rangle$

definition *HCollect* :: (*hf* $\Rightarrow$ *bool*) $\Rightarrow$ *hf* $\Rightarrow$ *hf* — comprehension
where *HCollect* *P* *A* = (*THE* *z*. $\forall u. u \in z = (P \ u \wedge u \in A)$)

syntax (*ASCII*)

-HCollect :: *idt* $\Rightarrow$ *hf* $\Rightarrow$ *bool* $\Rightarrow$ *hf* ($\langle (1 \ \mathbb{I} - <:/ -/ - \mathbb{I}) \rangle$)

syntax

-HCollect :: *idt* $\Rightarrow$ *hf* $\Rightarrow$ *bool* $\Rightarrow$ *hf* ($\langle (1 \ \mathbb{I} - \in / -/ - \mathbb{I}) \rangle$)

syntax-consts

-HCollect $\hat{=}$ *HCollect*

translations

$\mathbb{I} x \in A. P \mathbb{I} \hat{=}$ *CONST* *HCollect* ($\lambda x. P$) *A*

lemma *HCollect-iff* [*iff*]: *hmem* *x* (*HCollect* *P* *A*) $\longleftrightarrow P \ x \wedge x \in A$
 $\langle proof \rangle$

lemma *HCollectI*: $a \in A \Longrightarrow P \ a \Longrightarrow \text{hmem } a \ \mathbb{I} x \in A. P \ x \mathbb{I}$
 $\langle proof \rangle$

lemma *HCollectE*:

assumes $a \in \mathbb{I} x \in A. P \ x \mathbb{I}$ **obtains** $a \in A \ P \ a$
 $\langle proof \rangle$

lemma *HCollect-hempty* [*simp*]: *HCollect* *P* 0 = 0
 $\langle proof \rangle$

1.4.3 Union operators

instantiation *hf* :: *sup*

begin
definition $\sup a b = (\text{THE } z. \forall u. u \in z \longleftrightarrow u \in a \vee u \in b)$
instance $\langle \text{proof} \rangle$
end

abbreviation $\text{hunion} :: hf \Rightarrow hf \Rightarrow hf$ (**infixl** $\langle \sqcup \rangle$ 65) **where**
 $\text{hunion} \equiv \sup$

lemma hunion-iff [iff]: $\text{hmem } x (a \sqcup b) \longleftrightarrow x \in a \vee x \in b$
 $\langle \text{proof} \rangle$

definition $HUnion :: hf \Rightarrow hf$ ($\langle \sqcup \rightarrow [900] 900 \rangle$)
where $HUnion A = (\text{THE } z. \forall u. u \in z \longleftrightarrow (\exists y. y \in A \wedge u \in y))$

lemma $HUnion\text{-iff}$ [iff]: $\text{hmem } x (\sqcup A) \longleftrightarrow (\exists y. y \in A \wedge x \in y)$
 $\langle \text{proof} \rangle$

lemma $HUnion\text{-empty}$ [simp]: $\sqcup 0 = 0$
 $\langle \text{proof} \rangle$

lemma $HUnion\text{-hinsert}$ [simp]: $\sqcup (A \triangleleft a) = a \sqcup \sqcup A$
 $\langle \text{proof} \rangle$

lemma $HUnion\text{-hunion}$ [simp]: $\sqcup (A \sqcup B) = \sqcup A \sqcup \sqcup B$
 $\langle \text{proof} \rangle$

1.4.4 Definition 1.8, Intersections

instantiation $hf :: inf$
begin
definition $\inf a b = \{x \in a. x \in b\}$
instance $\langle \text{proof} \rangle$
end

abbreviation $\text{hint} :: hf \Rightarrow hf \Rightarrow hf$ (**infixl** $\langle \sqcap \rangle$ 70) **where**
 $\text{hint} \equiv \inf$

lemma hint-iff [iff]: $\text{hmem } u (x \sqcap y) \longleftrightarrow u \in x \wedge u \in y$
 $\langle \text{proof} \rangle$

definition $HInter :: hf \Rightarrow hf$ ($\langle \sqcap \rightarrow [900] 900 \rangle$)
where $HInter(A) = \{x \in HUnion(A). \forall y. y \in A \longrightarrow x \in y\}$

lemma $HInter\text{-empty}$ [iff]: $\sqcap 0 = 0$
 $\langle \text{proof} \rangle$

lemma $HInter\text{-iff}$ [simp]: $A \neq 0 \implies \text{hmem } x (\sqcap A) \longleftrightarrow (\forall y. y \in A \longrightarrow x \in y)$
 $\langle \text{proof} \rangle$

lemma *HInter-hinsert* [simp]: $A \neq 0 \implies \sqcap (A \triangleleft a) = a \sqcap \sqcap A$
 ⟨proof⟩

1.4.5 Set Difference

instantiation *hf* :: *minus*

begin

definition $A - B = \{x \in A. x \notin B\}$

instance ⟨proof⟩

end

lemma *hdiff-iff* [iff]: $hmem\ u\ (x - y) \longleftrightarrow u \in x \wedge u \notin y$
 ⟨proof⟩

lemma *hdiff-zero* [simp]: **fixes** $x :: hf$ **shows** $(x - 0) = x$
 ⟨proof⟩

lemma *zero-hdiff* [simp]: **fixes** $x :: hf$ **shows** $(0 - x) = 0$
 ⟨proof⟩

lemma *hdiff-insert*: $A - (B \triangleleft a) = A - B - \{a\}$
 ⟨proof⟩

lemma *hinsert-hdiff-if*:
 $(A \triangleleft x) - B = (if\ x \in B\ then\ A - B\ else\ (A - B) \triangleleft x)$
 ⟨proof⟩

1.5 Replacement

Theorem 1.9 (Replacement Scheme).

lemma *replacement*:

$(\forall u\ v\ v'.\ u \in x \longrightarrow R\ u\ v \longrightarrow R\ u\ v' \longrightarrow v' = v) \implies \exists z. \forall v. v \in z \longleftrightarrow (\exists u. u \in x \wedge R\ u\ v)$
 ⟨proof⟩

lemma *replacement-fun*: $\exists z. \forall v. v \in z \longleftrightarrow (\exists u. u \in x \wedge v = f\ u)$
 ⟨proof⟩

definition *PrimReplace* :: $hf \Rightarrow (hf \Rightarrow hf \Rightarrow bool) \Rightarrow hf$
where *PrimReplace* $A\ R = (THE\ z. \forall v. v \in z \longleftrightarrow (\exists u. u \in A \wedge R\ u\ v))$

definition *Replace* :: $hf \Rightarrow (hf \Rightarrow hf \Rightarrow bool) \Rightarrow hf$
where *Replace* $A\ R = PrimReplace\ A\ (\lambda x\ y. (\exists! z. R\ x\ z) \wedge R\ x\ y)$

definition *RepFun* :: $hf \Rightarrow (hf \Rightarrow hf) \Rightarrow hf$
where *RepFun* $A\ f = Replace\ A\ (\lambda x\ y. y = f\ x)$

syntax (*ASCII*)

-HReplace :: [pttrn, pttrn, hf, bool] $\Rightarrow$ hf ($\langle (1 \{ | - \cdot / - < : - , - | \}) \rangle$)
 -HRepFun :: [hf, pttrn, hf] $\Rightarrow$ hf ($\langle (1 \{ | - \cdot / - < : - | \}) \rangle$ [51,0,51])
 -HINTER :: [pttrn, hf, hf] $\Rightarrow$ hf ($\langle (3INT -<:-./ -) \rangle$ 10)
 -HUNION :: [pttrn, hf, hf] $\Rightarrow$ hf ($\langle (3UN -<:-./ -) \rangle$ 10)

syntax

-HReplace :: [pttrn, pttrn, hf, bool] $\Rightarrow$ hf ($\langle (1 \{ | - \cdot / - \in - , - | \}) \rangle$)
 -HRepFun :: [hf, pttrn, hf] $\Rightarrow$ hf ($\langle (1 \{ | - \cdot / - \in - | \}) \rangle$ [51,0,51])
 -HINTER :: [pttrn, hf, hf] $\Rightarrow$ hf ($\langle (3 \sqcap - \in - ./ -) \rangle$ 10)
 -HUNION :: [pttrn, hf, hf] $\Rightarrow$ hf ($\langle (3 \sqcup - \in - ./ -) \rangle$ 10)

syntax-consts

-HReplace $\hat{=}$ Replace **and**
 -HRepFun $\hat{=}$ RepFun **and**
 -HINTER $\hat{=}$ HInter **and**
 -HUNION $\hat{=}$ HUnion

translations

$\{ y. x \in A, Q \} \hat{=}$ CONST Replace A ($\lambda x y. Q$)
 $\{ b. x \in A \} \hat{=}$ CONST RepFun A ($\lambda x. b$)
 $\sqcap x \in A. B \hat{=}$ CONST HInter (CONST RepFun A ($\lambda x. B$))
 $\sqcup x \in A. B \hat{=}$ CONST HUnion (CONST RepFun A ($\lambda x. B$))

lemma *PrimReplace-iff*:

assumes sv: $\forall u v v'. u \in A \longrightarrow R u v \longrightarrow R u v' \longrightarrow v' = v$
shows $v \in (\text{PrimReplace } A \ R) \longleftrightarrow (\exists u. u \in A \wedge R u v)$
 $\langle \text{proof} \rangle$

lemma *Replace-iff [iff]*:

$v \in \text{Replace } A \ R \longleftrightarrow (\exists u. u \in A \wedge R u v \wedge (\forall y. R u y \longrightarrow y = v))$
 $\langle \text{proof} \rangle$

lemma *Replace-0 [simp]*: $\text{Replace } 0 \ R = 0$

$\langle \text{proof} \rangle$

lemma *Replace-hunion [simp]*: $\text{Replace } (A \sqcup B) \ R = \text{Replace } A \ R \sqcup \text{Replace } B \ R$

$\langle \text{proof} \rangle$

lemma *Replace-cong [cong]*:

$\llbracket A=B; \bigwedge x y. x \in B \Longrightarrow P x y \longleftrightarrow Q x y \rrbracket \Longrightarrow \text{Replace } A \ P = \text{Replace } B \ Q$
 $\langle \text{proof} \rangle$

lemma *RepFun-iff [iff]*: $v \in (\text{RepFun } A \ f) \longleftrightarrow (\exists u. u \in A \wedge v = f u)$

$\langle \text{proof} \rangle$

lemma *RepFun-cong [cong]*:

$\llbracket A=B; \bigwedge x. x \in B \Longrightarrow f(x)=g(x) \rrbracket \Longrightarrow \text{RepFun } A \ f = \text{RepFun } B \ g$
 $\langle \text{proof} \rangle$

lemma *triv-RepFun [simp]*: $\text{RepFun } A \ (\lambda x. x) = A$

$\langle proof \rangle$

lemma *RepFun-0* [*simp*]: $RepFun\ 0\ f = 0$
 $\langle proof \rangle$

lemma *RepFun-hinsert* [*simp*]: $RepFun\ (hinsert\ a\ b)\ f = hinsert\ (f\ a)\ (RepFun\ b\ f)$
 $\langle proof \rangle$

lemma *RepFun-hunion* [*simp*]:
 $RepFun\ (A\ \sqcup\ B)\ f = RepFun\ A\ f\ \sqcup\ RepFun\ B\ f$
 $\langle proof \rangle$

lemma *HF-HUnion*: $\llbracket finite\ A; \bigwedge x. x \in A \implies finite\ (B\ x) \rrbracket \implies HF\ (\bigcup x \in A. B\ x)$
 $= (\bigcup x \in HF\ A. HF\ (B\ x))$
 $\langle proof \rangle$

1.6 Subset relation and the Lattice Properties

Definition 1.10 (Subset relation).

instantiation *hf* :: *order*

begin

definition *less-eq-hf* **where** $A \leq B \longleftrightarrow (\forall x. x \in A \longrightarrow x \in B)$

definition *less-hf* **where** $A < B \longleftrightarrow A \leq B \wedge A \neq (B::hf)$

instance $\langle proof \rangle$

end

1.6.1 Rules for subsets

lemma *hsubsetI* [*intro!*]:
 $(\bigwedge x. x \in A \implies x \in B) \implies A \leq B$
 $\langle proof \rangle$

Classical elimination rule

lemma *hsubsetCE* [*elim*]: $\llbracket A \leq B; c \notin A \implies P; c \in B \implies P \rrbracket \implies P$
 $\langle proof \rangle$

Rule in Modus Ponens style

lemma *hsubsetD* [*elim*]: $\llbracket A \leq B; c \in A \rrbracket \implies c \in B$
 $\langle proof \rangle$

Sometimes useful with premises in this order

lemma *rev-hsubsetD*: $\llbracket c \in A; A \leq B \rrbracket \implies c \in B$
 $\langle proof \rangle$

lemma *contra-hsubsetD*: $\llbracket A \leq B; c \notin B \rrbracket \implies c \notin A$

$\langle proof \rangle$

lemma *rev-contra-hsubsetD*: $\llbracket c \notin B; A \leq B \rrbracket \implies c \notin A$
 $\langle proof \rangle$

lemma *hf-equalityE*:
fixes $A :: hf$ **shows** $A = B \implies (A \leq B \implies B \leq A \implies P) \implies P$
 $\langle proof \rangle$

1.6.2 Lattice properties

instantiation *hf* :: *distrib-lattice*
begin
 instance $\langle proof \rangle$
end

instantiation *hf* :: *bounded-lattice-bot*
begin
 definition *bot* = $(0::hf)$
 instance $\langle proof \rangle$
end

lemma *hinter-hempty-left* [*simp*]: $0 \sqcap A = 0$
 $\langle proof \rangle$

lemma *hinter-hempty-right* [*simp*]: $A \sqcap 0 = 0$
 $\langle proof \rangle$

lemma *hunion-hempty-left* [*simp*]: $0 \sqcup A = A$
 $\langle proof \rangle$

lemma *hunion-hempty-right* [*simp*]: $A \sqcup 0 = A$
 $\langle proof \rangle$

lemma *less-eq-hempty* [*simp*]: $u \leq 0 \longleftrightarrow u = (0::hf)$
 $\langle proof \rangle$

lemma *less-eq-insert1-iff* [*iff*]: $(hinsert\ x\ y) \leq z \longleftrightarrow x \in z \wedge y \leq z$
 $\langle proof \rangle$

lemma *less-eq-insert2-iff*:
 $z \leq (hinsert\ x\ y) \longleftrightarrow z \leq y \vee (\exists u. hinsert\ x\ u = z \wedge x \notin u \wedge u \leq y)$
 $\langle proof \rangle$

lemma *zero-le* [*simp*]: $0 \leq (x::hf)$
 $\langle proof \rangle$

lemma *hinsert-eq-sup*: $b \triangleleft a = b \sqcup \{a\}$
 $\langle proof \rangle$

lemma *hunion-hinsert-left*: $\text{hinsert } x \ A \sqcup B = \text{hinsert } x \ (A \sqcup B)$

<proof>

lemma *hunion-hinsert-right*: $B \sqcup \text{hinsert } x \ A = \text{hinsert } x \ (B \sqcup A)$

<proof>

lemma *hinter-hinsert-left*: $\text{hinsert } x \ A \sqcap B = (\text{if } x \in B \text{ then } \text{hinsert } x \ (A \sqcap B) \text{ else } A \sqcap B)$

<proof>

lemma *hinter-hinsert-right*: $B \sqcap \text{hinsert } x \ A = (\text{if } x \in B \text{ then } \text{hinsert } x \ (B \sqcap A) \text{ else } B \sqcap A)$

<proof>

1.7 Foundation, Cardinality, Powersets

1.7.1 Foundation

Theorem 1.13: Foundation (Regularity) Property.

lemma *foundation*:

assumes $z: z \neq 0$ **shows** $\exists w. w \in z \wedge w \sqcap z = 0$

<proof>

lemma *hmem-not-refl*: $x \notin x$

<proof>

lemma *hmem-not-sym*: $\neg (x \in y \wedge y \in x)$

<proof>

lemma *hmem-ne*: $x \in y \implies x \neq y$

<proof>

lemma *hmem-Sup-ne*: $x \in y \implies \sqcup x \neq y$

<proof>

lemma *hpair-neq-fst*: $\langle a, b \rangle \neq a$

<proof>

lemma *hpair-neq-snd*: $\langle a, b \rangle \neq b$

<proof>

lemma *hpair-nonzero [simp]*: $\langle x, y \rangle \neq 0$

<proof>

lemma *zero-notin-hpair*: $0 \notin \langle x, y \rangle$

<proof>

1.7.2 Cardinality

First we need to hack the underlying representation

lemma *hfset-0* [*simp*]: $hfset\ 0 = \{\}$
 $\langle proof \rangle$

lemma *hfset-hinsert*: $hfset\ (b \triangleleft a) = insert\ a\ (hfset\ b)$
 $\langle proof \rangle$

lemma *hfset-hdiff*: $hfset\ (x - y) = hfset\ x - hfset\ y$
 $\langle proof \rangle$

definition *hcard* :: $hf \Rightarrow nat$
where $hcard\ x = card\ (hfset\ x)$

lemma *hcard-0* [*simp*]: $hcard\ 0 = 0$
 $\langle proof \rangle$

lemma *hcard-hinsert-if*: $hcard\ (hinsert\ x\ y) = (if\ x \in y\ then\ hcard\ y\ else\ Suc\ (hcard\ y))$
 $\langle proof \rangle$

lemma *hcard-union-inter*: $hcard\ (x \sqcup y) + hcard\ (x \sqcap y) = hcard\ x + hcard\ y$
 $\langle proof \rangle$

lemma *hcard-hdiff1-less*: $x \in z \implies hcard\ (z - \{x\}) < hcard\ z$
 $\langle proof \rangle$

1.7.3 Powerset Operator

Theorem 1.11 (Existence of the power set).

lemma *powerset*: $\exists z. \forall u. u \in z \longleftrightarrow u \leq x$
 $\langle proof \rangle$

definition *HPow* :: $hf \Rightarrow hf$
where $HPow\ x = (THE\ z. \forall u. u \in z \longleftrightarrow u \leq x)$

lemma *HPow-iff* [*iff*]: $u \in HPow\ x \longleftrightarrow u \leq x$
 $\langle proof \rangle$

lemma *HPow-mono*: $x \leq y \implies HPow\ x \leq HPow\ y$
 $\langle proof \rangle$

lemma *HPow-mono-strict*: $x < y \implies HPow\ x < HPow\ y$
 $\langle proof \rangle$

lemma *HPow-mono-iff* [*simp*]: $HPow\ x \leq HPow\ y \longleftrightarrow x \leq y$
 $\langle proof \rangle$

lemma *HPow-mono-strict-iff* [*simp*]: $HPow\ x < HPow\ y \longleftrightarrow x < y$
 ⟨*proof*⟩

1.8 Bounded Quantifiers

definition *HBall* :: $hf \Rightarrow (hf \Rightarrow bool) \Rightarrow bool$ **where**
 $HBall\ A\ P \longleftrightarrow (\forall x. x \in A \longrightarrow P\ x)$ — bounded universal quantifiers

definition *HBex* :: $hf \Rightarrow (hf \Rightarrow bool) \Rightarrow bool$ **where**
 $HBex\ A\ P \longleftrightarrow (\exists x. x \in A \wedge P\ x)$ — bounded existential quantifiers

syntax (*ASCII*)

-*HBall* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists ALL\ -<:-./\ -) \rangle\ [0, 0, 10]\ 10$)
 -*HBex* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists EX\ -<:-./\ -) \rangle\ [0, 0, 10]\ 10$)
 -*HBex1* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists EX!\ -<:-./\ -) \rangle\ [0, 0, 10]\ 10$)

syntax

-*HBall* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists \forall\ -\in\ -./\ -) \rangle\ [0, 0, 10]\ 10$)
 -*HBex* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists \exists\ -\in\ -./\ -) \rangle\ [0, 0, 10]\ 10$)
 -*HBex1* :: $pttrn \Rightarrow hf \Rightarrow bool \Rightarrow bool$ ($\langle (\exists \exists!\ -\in\ -./\ -) \rangle\ [0, 0, 10]\ 10$)

syntax-consts

-*HBall* $\rightleftharpoons$ *HBall* **and**
 -*HBex* $\rightleftharpoons$ *HBex* **and**
 -*HBex1* $\rightleftharpoons$ *Ex1*

translations

$\forall x \in A. P \rightleftharpoons CONST\ HBall\ A\ (\lambda x. P)$
 $\exists x \in A. P \rightleftharpoons CONST\ HBex\ A\ (\lambda x. P)$
 $\exists !x \in A. P \rightarrow \exists !x. x \in A \wedge P$

lemma *hball-cong* [*cong*]:

$\llbracket A=A'; \bigwedge x. x \in A' \Longrightarrow P(x) \longleftrightarrow P'(x) \rrbracket \Longrightarrow (\forall x \in A. P(x)) \longleftrightarrow (\forall x \in A'. P'(x))$
 ⟨*proof*⟩

lemma *hballI* [*intro!*]: $(\bigwedge x. x \in A \Longrightarrow P\ x) \Longrightarrow \forall x \in A. P\ x$
 ⟨*proof*⟩

lemma *hbspec* [*dest?*]: $\forall x \in A. P\ x \Longrightarrow x \in A \Longrightarrow P\ x$
 ⟨*proof*⟩

lemma *hballE* [*elim*]: $\forall x \in A. P\ x \Longrightarrow (P\ x \Longrightarrow Q) \Longrightarrow (x \notin A \Longrightarrow Q) \Longrightarrow Q$
 ⟨*proof*⟩

lemma *hbex-cong* [*cong*]:

$\llbracket A=A'; \bigwedge x. x \in A' \Longrightarrow P(x) \longleftrightarrow P'(x) \rrbracket \Longrightarrow (\exists x \in A. P(x)) \longleftrightarrow (\exists x \in A'. P'(x))$
 ⟨*proof*⟩

lemma *hbexI* [*intro*]: $P\ x \Longrightarrow x \in A \Longrightarrow \exists x \in A. P\ x$
and *rev-hbexI* [*intro?*]: $x \in A \Longrightarrow P\ x \Longrightarrow \exists x \in A. P\ x$

and *bexCI*: $(\forall x \in A. \neg P x \implies P a) \implies a \in A \implies \exists x \in A. P x$
and *hbexE [elim!]*: $\exists x \in A. P x \implies (\bigwedge x. x \in A \implies P x \implies Q) \implies Q$
 $\langle \text{proof} \rangle$

lemma *hball-triv [simp]*: $(\forall x \in A. P) = ((\exists x. x \in A) \longrightarrow P)$
and *hbex-triv [simp]*: $(\exists x \in A. P) = ((\exists x. x \in A) \wedge P)$
— Dual form for existentials.
 $\langle \text{proof} \rangle$

lemma *hbex-triv-one-point1 [simp]*: $(\exists x \in A. x = a) = (a \in A)$
 $\langle \text{proof} \rangle$

lemma *hbex-triv-one-point2 [simp]*: $(\exists x \in A. a = x) = (a \in A)$
 $\langle \text{proof} \rangle$

lemma *hbex-one-point1 [simp]*: $(\exists x \in A. x = a \wedge P x) = (a \in A \wedge P a)$
 $\langle \text{proof} \rangle$

lemma *hbex-one-point2 [simp]*: $(\exists x \in A. a = x \wedge P x) = (a \in A \wedge P a)$
 $\langle \text{proof} \rangle$

lemma *hball-one-point1 [simp]*: $(\forall x \in A. x = a \longrightarrow P x) = (a \in A \longrightarrow P a)$
 $\langle \text{proof} \rangle$

lemma *hball-one-point2 [simp]*: $(\forall x \in A. a = x \longrightarrow P x) = (a \in A \longrightarrow P a)$
 $\langle \text{proof} \rangle$

lemma *hball-conj-distrib*:
 $(\forall x \in A. P x \wedge Q x) \longleftrightarrow ((\forall x \in A. P x) \wedge (\forall x \in A. Q x))$
 $\langle \text{proof} \rangle$

lemma *hbex-disj-distrib*:
 $(\exists x \in A. P x \vee Q x) \longleftrightarrow ((\exists x \in A. P x) \vee (\exists x \in A. Q x))$
 $\langle \text{proof} \rangle$

lemma *hb-all-simps [simp, no-atp]*:
 $\bigwedge A P Q. (\forall x \in A. P x \vee Q) \longleftrightarrow ((\forall x \in A. P x) \vee Q)$
 $\bigwedge A P Q. (\forall x \in A. P \vee Q x) \longleftrightarrow (P \vee (\forall x \in A. Q x))$
 $\bigwedge A P Q. (\forall x \in A. P \longrightarrow Q x) \longleftrightarrow (P \longrightarrow (\forall x \in A. Q x))$
 $\bigwedge A P Q. (\forall x \in A. P x \longrightarrow Q) \longleftrightarrow ((\exists x \in A. P x) \longrightarrow Q)$
 $\bigwedge P. (\forall x \in 0. P x) \longleftrightarrow \text{True}$
 $\bigwedge a B P. (\forall x \in B \triangleleft a. P x) \longleftrightarrow (P a \wedge (\forall x \in B. P x))$
 $\bigwedge P Q. (\forall x \in HCollect Q A. P x) \longleftrightarrow (\forall x \in A. Q x \longrightarrow P x)$
 $\bigwedge A P. (\neg (\forall x \in A. P x)) \longleftrightarrow (\exists x \in A. \neg P x)$
 $\langle \text{proof} \rangle$

lemma *hb-ex-simps [simp, no-atp]*:
 $\bigwedge A P Q. (\exists x \in A. P x \wedge Q) \longleftrightarrow ((\exists x \in A. P x) \wedge Q)$
 $\bigwedge A P Q. (\exists x \in A. P \wedge Q x) \longleftrightarrow (P \wedge (\exists x \in A. Q x))$

$\bigwedge P. (\exists x \in 0. P x) \longleftrightarrow \text{False}$
 $\bigwedge a B P. (\exists x \in B \triangleleft a. P x) \longleftrightarrow (P a \vee (\exists x \in B. P x))$
 $\bigwedge P Q. (\exists x \in HCollect Q A. P x) \longleftrightarrow (\exists x \in A. Q x \wedge P x)$
 $\bigwedge A P. (\neg(\exists x \in A. P x)) \longleftrightarrow (\forall x \in A. \neg P x)$
 $\langle \text{proof} \rangle$

lemma *le-HCollect-iff*: $A \leq \{x \in B. P x\} \longleftrightarrow A \leq B \wedge (\forall x \in A. P x)$
 $\langle \text{proof} \rangle$

1.9 Relations and Functions

definition *is-hpair* :: $hf \Rightarrow bool$
where *is-hpair* $z = (\exists x y. z = \langle x, y \rangle)$

definition *hconverse* :: $hf \Rightarrow hf$
where *hconverse*(r) = $\{z. w \in r, \exists x y. w = \langle x, y \rangle \wedge z = \langle y, x \rangle\}$

definition *hdomain* :: $hf \Rightarrow hf$
where *hdomain*(r) = $\{x. w \in r, \exists y. w = \langle x, y \rangle\}$

definition *hrange* :: $hf \Rightarrow hf$
where *hrange*(r) = *hdomain*(*hconverse*(r))

definition *hrelation* :: $hf \Rightarrow bool$
where *hrelation*(r) = $(\forall z. z \in r \longrightarrow \text{is-hpair } z)$

definition *hrestrict* :: $hf \Rightarrow hf \Rightarrow hf$
 — Restrict the relation r to the domain A
where *hrestrict* $r A = \{z \in r. \exists x \in A. \exists y. z = \langle x, y \rangle\}$

definition *nonrestrict* :: $hf \Rightarrow hf \Rightarrow hf$
where *nonrestrict* $r A = \{z \in r. \forall x \in A. \forall y. z \neq \langle x, y \rangle\}$

definition *hfunction* :: $hf \Rightarrow bool$
where *hfunction*(r) = $(\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow y = y'))$

definition *app* :: $hf \Rightarrow hf \Rightarrow hf$
where *app* $f x = (THE y. \langle x, y \rangle \in f)$

lemma *hrestrict-iff* [*iff*]:
 $z \in \text{hrestrict } r A \longleftrightarrow z \in r \wedge (\exists x y. z = \langle x, y \rangle \wedge x \in A)$
 $\langle \text{proof} \rangle$

lemma *hrelation-0* [*simp*]: *hrelation* 0
 $\langle \text{proof} \rangle$

lemma *hrelation-restr* [*iff*]: *hrelation* (*hrestrict* $r x$)
 $\langle \text{proof} \rangle$

lemma *hrelation-hunion* [simp]: $\text{hrelation } (f \sqcup g) \longleftrightarrow \text{hrelation } f \wedge \text{hrelation } g$
 $\langle \text{proof} \rangle$

lemma *hfunction-restr*: $\text{hfunction } r \implies \text{hfunction } (\text{hrestrict } r \ x)$
 $\langle \text{proof} \rangle$

lemma *hdomain-restr* [simp]: $\text{hdomain } (\text{hrestrict } r \ x) = \text{hdomain } r \sqcap x$
 $\langle \text{proof} \rangle$

lemma *hdomain-0* [simp]: $\text{hdomain } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *hdomain-ins* [simp]: $\text{hdomain } (r \triangleleft \langle x, y \rangle) = \text{hdomain } r \triangleleft x$
 $\langle \text{proof} \rangle$

lemma *hdomain-hunion* [simp]: $\text{hdomain } (f \sqcup g) = \text{hdomain } f \sqcup \text{hdomain } g$
 $\langle \text{proof} \rangle$

lemma *hdomain-not-mem* [iff]: $\langle \text{hdomain } r, a \rangle \notin r$
 $\langle \text{proof} \rangle$

lemma *app-singleton* [simp]: $\text{app } \{\!\langle x, y \rangle\!\} \ x = y$
 $\langle \text{proof} \rangle$

lemma *app-equality*: $\text{hfunction } f \implies \langle x, y \rangle \in f \implies \text{app } f \ x = y$
 $\langle \text{proof} \rangle$

lemma *app-ins2*: $x' \neq x \implies \text{app } (f \triangleleft \langle x, y \rangle) \ x' = \text{app } f \ x'$
 $\langle \text{proof} \rangle$

lemma *hfunction-0* [simp]: $\text{hfunction } 0$
 $\langle \text{proof} \rangle$

lemma *hfunction-ins*: $\text{hfunction } f \implies x \notin \text{hdomain } f \implies \text{hfunction } (f \triangleleft \langle x, y \rangle)$
 $\langle \text{proof} \rangle$

lemma *hdomainI*: $\langle x, y \rangle \in f \implies x \in \text{hdomain } f$
 $\langle \text{proof} \rangle$

lemma *hfunction-hunion*: $\text{hdomain } f \sqcap \text{hdomain } g = 0$
 $\implies \text{hfunction } (f \sqcup g) \longleftrightarrow \text{hfunction } f \wedge \text{hfunction } g$
 $\langle \text{proof} \rangle$

lemma *app-hrestrict* [simp]: $x \in A \implies \text{app } (\text{hrestrict } f \ A) \ x = \text{app } f \ x$
 $\langle \text{proof} \rangle$

1.10 Operations on families of sets

definition *HLambda* :: $\text{hf} \Rightarrow (\text{hf} \Rightarrow \text{hf}) \Rightarrow \text{hf}$

where $HLambda\ A\ b = RepFun\ A\ (\lambda x. \langle x, b\ x \rangle)$

definition $HSigma :: hf \Rightarrow (hf \Rightarrow hf) \Rightarrow hf$
where $HSigma\ A\ B = (\bigsqcup x \in A. \bigsqcup y \in B(x). \llbracket \langle x, y \rangle \rrbracket)$

definition $HPi :: hf \Rightarrow (hf \Rightarrow hf) \Rightarrow hf$
where $HPi\ A\ B = \llbracket f \in HPow(HSigma\ A\ B). A \leq hdomain(f) \wedge hfunction(f) \rrbracket$

syntax (*ASCII*)

-*PROD* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists PROD \text{ -<:-./ -} \rangle) 10)$
-*SUM* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists SUM \text{ -<:-./ -} \rangle) 10)$
-*lam* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists lam \text{ -<:-./ -} \rangle) 10)$

syntax

-*PROD* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists \prod \text{ -}\in\text{./ -} \rangle) 10)$
-*SUM* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists \sum \text{ -}\in\text{./ -} \rangle) 10)$
-*lam* :: $[pttrn, hf, hf] \Rightarrow hf$ $(\langle (\exists \lambda \text{ -}\in\text{./ -} \rangle) 10)$

syntax-consts

-*PROD* $\hat{=}$ *HPi* **and**
-*SUM* $\hat{=}$ *HSigma* **and**
-*lam* $\hat{=}$ *HLambda*

translations

$\prod x \in A. B \hat{=}$ *CONST HPi* $A\ (\lambda x. B)$
 $\sum x \in A. B \hat{=}$ *CONST HSigma* $A\ (\lambda x. B)$
 $\lambda x \in A. f \hat{=}$ *CONST HLambda* $A\ (\lambda x. f)$

1.10.1 Rules for Unions and Intersections of families

lemma *HUN-iff* [*simp*]: $b \in (\bigsqcup x \in A. B(x)) \longleftrightarrow (\exists x \in A. b \in B(x))$
 $\langle proof \rangle$

lemma *HUN-I*: $\llbracket a \in A; b \in B(a) \rrbracket \Longrightarrow b \in (\bigsqcup x \in A. B(x))$
 $\langle proof \rangle$

lemma *HUN-E* [*elim!*]: **assumes** $b \in (\bigsqcup x \in A. B(x))$ **obtains** x **where** $x \in A$ $b \in B(x)$
 $\langle proof \rangle$

lemma *HINT-iff*: $b \in (\prod x \in A. B(x)) \longleftrightarrow (\forall x \in A. b \in B(x)) \wedge A \neq 0$
 $\langle proof \rangle$

lemma *HINT-I*: $\llbracket \bigwedge x. x \in A \Longrightarrow b \in B(x); A \neq 0 \rrbracket \Longrightarrow b \in (\prod x \in A. B(x))$
 $\langle proof \rangle$

lemma *HINT-E*: $\llbracket b \in (\prod x \in A. B(x)); a \in A \rrbracket \Longrightarrow b \in B(a)$
 $\langle proof \rangle$

1.10.2 Generalized Cartesian product

lemma *HSigma-iff* [simp]: $\langle a, b \rangle \in \text{HSigma } A \ B \longleftrightarrow a \in A \wedge b \in B(a)$
 $\langle \text{proof} \rangle$

lemma *HSigmaI* [intro!]: $\llbracket a \in A; \ b \in B(a) \rrbracket \implies \langle a, b \rangle \in \text{HSigma } A \ B$
 $\langle \text{proof} \rangle$

lemmas *HSigmaD1* = *HSigma-iff* [THEN *iffD1*, THEN *conjunct1*]

lemmas *HSigmaD2* = *HSigma-iff* [THEN *iffD1*, THEN *conjunct2*]

The general elimination rule

lemma *HSigmaE* [elim!]:
assumes $c \in \text{HSigma } A \ B$
obtains $x \ y$ **where** $x \in A \ y \in B(x) \ c = \langle x, y \rangle$
 $\langle \text{proof} \rangle$

lemma *HSigmaE2* [elim!]:
assumes $\langle a, b \rangle \in \text{HSigma } A \ B$ **obtains** $a \in A$ **and** $b \in B(a)$
 $\langle \text{proof} \rangle$

lemma *HSigma-empty1* [simp]: $\text{HSigma } 0 \ B = 0$
 $\langle \text{proof} \rangle$

instantiation *hf* :: *times*

begin

definition $A * B = \text{HSigma } A \ (\lambda x. \ B)$

instance $\langle \text{proof} \rangle$

end

lemma *times-iff* [simp]: $\langle a, b \rangle \in A * B \longleftrightarrow a \in A \wedge b \in B$
 $\langle \text{proof} \rangle$

lemma *timesI* [intro!]: $\llbracket a \in A; \ b \in B \rrbracket \implies \langle a, b \rangle \in A * B$
 $\langle \text{proof} \rangle$

lemmas *timesD1* = *times-iff* [THEN *iffD1*, THEN *conjunct1*]

lemmas *timesD2* = *times-iff* [THEN *iffD1*, THEN *conjunct2*]

The general elimination rule

lemma *timesE* [elim!]:
assumes $c: c \in A * B$
obtains $x \ y$ **where** $x \in A \ y \in B \ c = \langle x, y \rangle$ $\langle \text{proof} \rangle$

...and a specific one

lemma *timesE2* [elim!]:
assumes $\langle a, b \rangle \in A * B$ **obtains** $a \in A$ **and** $b \in B$
 $\langle \text{proof} \rangle$

lemma *times-empty1* [simp]: $0 * B = (0::hf)$

$\langle proof \rangle$

lemma *times-empty2* [simp]: $A * 0 = (0 :: hf)$
 $\langle proof \rangle$

lemma *times-empty-iff*: $A * B = 0 \longleftrightarrow A = 0 \vee B = (0 :: hf)$
 $\langle proof \rangle$

instantiation *hf* :: *mult-zero*
begin
 instance $\langle proof \rangle$
end

1.11 Disjoint Sum

instantiation *hf* :: *zero-neq-one*
begin
 definition *One-hf-def*: $1 = \{\!\!| 0 \!\!\}$
 instance $\langle proof \rangle$
end

instantiation *hf* :: *plus*
begin
 definition $A + B = (\{\!\!| 0 \!\!\} * A) \sqcup (\{\!\!| 1 \!\!\} * B)$
 instance $\langle proof \rangle$
end

definition *Inl* :: $hf \Rightarrow hf$ **where**
 $Inl(a) \equiv \langle 0, a \rangle$

definition *Inr* :: $hf \Rightarrow hf$ **where**
 $Inr(b) \equiv \langle 1, b \rangle$

lemmas *sum-defs* = *plus-hf-def Inl-def Inr-def*

lemma *Inl-nonzero* [simp]: $Inl\ x \neq 0$
 $\langle proof \rangle$

lemma *Inr-nonzero* [simp]: $Inr\ x \neq 0$
 $\langle proof \rangle$

Introduction rules for the injections (as equivalences)

lemma *Inl-in-sum-iff* [iff]: $Inl(a) \in A + B \longleftrightarrow a \in A$
 $\langle proof \rangle$

lemma *Inr-in-sum-iff* [iff]: $Inr(b) \in A + B \longleftrightarrow b \in B$
 $\langle proof \rangle$

Elimination rule

lemma *sumE* [*elim!*]:

assumes *u*: $u \in A+B$

obtains *x* **where** $x \in A \ u=Inl(x) \mid y \text{ where } y \in B \ u=Inr(y)$ *<proof>*

Injection and freeness equivalences, for rewriting

lemma *Inl-iff* [*iff*]: $Inl(a)=Inl(b) \longleftrightarrow a=b$

<proof>

lemma *Inr-iff* [*iff*]: $Inr(a)=Inr(b) \longleftrightarrow a=b$

<proof>

lemma *Inl-Inr-iff* [*iff*]: $Inl(a)=Inr(b) \longleftrightarrow False$

<proof>

lemma *Inr-Inl-iff* [*iff*]: $Inr(b)=Inl(a) \longleftrightarrow False$

<proof>

lemma *sum-empty* [*simp*]: $0+0 = (0::hf)$

<proof>

lemma *sum-iff*: $u \in A+B \longleftrightarrow (\exists x. x \in A \wedge u=Inl(x)) \vee (\exists y. y \in B \wedge u=Inr(y))$

<proof>

lemma *sum-subset-iff*:

fixes *A* :: *hf* **shows** $A+B \leq C+D \longleftrightarrow A \leq C \wedge B \leq D$

<proof>

lemma *sum-equal-iff*:

fixes *A* :: *hf* **shows** $A+B = C+D \longleftrightarrow A=C \wedge B=D$

<proof>

definition *is-hsum* :: *hf* $\Rightarrow$ *bool*

where $is-hsum\ z = (\exists x. z = Inl\ x \vee z = Inr\ x)$

definition *sum-case* :: $(hf \Rightarrow 'a) \Rightarrow (hf \Rightarrow 'a) \Rightarrow hf \Rightarrow 'a$

where

$sum-case\ f\ g\ a \equiv$

THE $z. (\forall x. a = Inl\ x \longrightarrow z = f\ x) \wedge (\forall y. a = Inr\ y \longrightarrow z = g\ y) \wedge (\neg is-hsum\ a \longrightarrow z = undefined)$

lemma *sum-case-Inl* [*simp*]: $sum-case\ f\ g\ (Inl\ x) = f\ x$

<proof>

lemma *sum-case-Inr* [*simp*]: $sum-case\ f\ g\ (Inr\ y) = g\ y$

<proof>

lemma *sum-case-non* [*simp*]: $\neg is-hsum\ a \Longrightarrow sum-case\ f\ g\ a = undefined$

<proof>

lemma *is-hsum-cases*: $(\exists x. z = \text{Inl } x \vee z = \text{Inr } x) \vee \neg \text{is-hsum } z$
 $\langle \text{proof} \rangle$

lemma *sum-case-split*:
 $P (\text{sum-case } f \ g \ a) \longleftrightarrow (\forall x. a = \text{Inl } x \longrightarrow P(f \ x)) \wedge (\forall y. a = \text{Inr } y \longrightarrow P(g \ y)) \wedge (\neg \text{is-hsum } a \longrightarrow P \text{ undefined})$
 $\langle \text{proof} \rangle$

lemma *sum-case-split-asm*:
 $P (\text{sum-case } f \ g \ a) \longleftrightarrow \neg ((\exists x. a = \text{Inl } x \wedge \neg P(f \ x)) \vee (\exists y. a = \text{Inr } y \wedge \neg P(g \ y)) \vee (\neg \text{is-hsum } a \wedge \neg P \text{ undefined}))$
 $\langle \text{proof} \rangle$

end

Chapter 2

Ordinals, Sequences and Ordinal Recursion

theory *Ordinal* imports *HF*
begin

2.1 Ordinals

2.1.1 Basic Definitions

Definition 2.1. We say that x is transitive if every element of x is a subset of x .

definition

Transset :: *hf* $\Rightarrow$ *bool* **where**
 $\text{Transset}(x) \equiv \forall y. y \in x \longrightarrow y \leq x$

lemma *Transset-sup*: $\text{Transset } x \Longrightarrow \text{Transset } y \Longrightarrow \text{Transset } (x \sqcup y)$
<proof>

lemma *Transset-inf*: $\text{Transset } x \Longrightarrow \text{Transset } y \Longrightarrow \text{Transset } (x \sqcap y)$
<proof>

lemma *Transset-hinsert*: $\text{Transset } x \Longrightarrow y \leq x \Longrightarrow \text{Transset } (x \triangleleft y)$
<proof>

In HF, the ordinals are simply the natural numbers. But the definitions are the same as for transfinite ordinals.

definition

Ord :: *hf* $\Rightarrow$ *bool* **where**
 $\text{Ord}(k) \equiv \text{Transset}(k) \wedge (\forall x \in k. \text{Transset}(x))$

2.1.2 Definition 2.2 (Successor).

definition

$succ :: hf \Rightarrow hf$ **where**
 $succ(x) \equiv hinsert\ x\ x$

lemma *succ-iff* [simp]: $x \in succ\ y \longleftrightarrow x=y \vee x \in y$
 ⟨proof⟩

lemma *succ-ne-self* [simp]: $i \neq succ\ i$
 ⟨proof⟩

lemma *succ-notin-self*: $succ\ i \notin i$
 ⟨proof⟩

lemma *succE* [elim[?]]: **assumes** $x \in succ\ y$ **obtains** $x=y \mid x \in y$
 ⟨proof⟩

lemma *hmem-succ-ne*: $succ\ x \in y \Longrightarrow x \neq y$
 ⟨proof⟩

lemma *hball-succ* [simp]: $(\forall x \in succ\ k. P\ x) \longleftrightarrow P\ k \wedge (\forall x \in k. P\ x)$
 ⟨proof⟩

lemma *hbex-succ* [simp]: $(\exists x \in succ\ k. P\ x) \longleftrightarrow P\ k \vee (\exists x \in k. P\ x)$
 ⟨proof⟩

lemma *One-hf-eq-succ*: $1 = succ\ 0$
 ⟨proof⟩

lemma *zero-hmem-one* [iff]: $x \in 1 \longleftrightarrow x = 0$
 ⟨proof⟩

lemma *hball-One* [simp]: $(\forall x \in 1. P\ x) = P\ 0$
 ⟨proof⟩

lemma *hbex-One* [simp]: $(\exists x \in 1. P\ x) = P\ 0$
 ⟨proof⟩

lemma *hpair-neq-succ* [simp]: $\langle x, y \rangle \neq succ\ k$
 ⟨proof⟩

lemma *succ-neq-hpair* [simp]: $succ\ k \neq \langle x, y \rangle$
 ⟨proof⟩

lemma *hpair-neq-one* [simp]: $\langle x, y \rangle \neq 1$
 ⟨proof⟩

lemma *one-neq-hpair* [simp]: $1 \neq \langle x, y \rangle$
 ⟨proof⟩

lemma *hmem-succ-self* [simp]: $k \in succ\ k$

$\langle proof \rangle$

lemma *hmem-succ*: $l \in k \implies l \in succ\ k$
 $\langle proof \rangle$

Theorem 2.3.

lemma *Ord-0* [iff]: *Ord 0*
 $\langle proof \rangle$

lemma *Ord-succ*: $Ord(k) \implies Ord(succ(k))$
 $\langle proof \rangle$

lemma *Ord-1* [iff]: *Ord 1*
 $\langle proof \rangle$

lemma *OrdmemD*: $Ord(k) \implies j \in k \implies j \leq k$
 $\langle proof \rangle$

lemma *Ord-trans*: $\llbracket i \in j; j \in k; Ord(k) \rrbracket \implies i \in k$
 $\langle proof \rangle$

lemma *hmem-0-Ord*:
assumes k : *Ord*(k) and knz : $k \neq 0$ shows $0 \in k$
 $\langle proof \rangle$

lemma *Ord-in-Ord*: $\llbracket Ord(k); m \in k \rrbracket \implies Ord(m)$
 $\langle proof \rangle$

2.1.3 Induction, Linearity, etc.

lemma *Ord-induct* [consumes 1, case-names step]:
assumes k : *Ord*(k)
and step: $\bigwedge x. \llbracket Ord(x); \bigwedge y. y \in x \implies P(y) \rrbracket \implies P(x)$
shows $P(k)$
 $\langle proof \rangle$

Theorem 2.4 (Comparability of ordinals).

lemma *Ord-linear*: $Ord(k) \implies Ord(l) \implies k \in l \vee k = l \vee l \in k$
 $\langle proof \rangle$

The trichotomy law for ordinals

lemma *Ord-linear-lt*:
assumes o : *Ord*(k) *Ord*(l)
obtains $(lt) k \in l \mid (eq) k = l \mid (gt) l \in k$
 $\langle proof \rangle$

lemma *Ord-linear2*:
assumes o : *Ord*(k) *Ord*(l)
obtains $(lt) k \in l \mid (ge) l \leq k$

$\langle proof \rangle$

lemma *Ord-linear-le*:

assumes o : $Ord(k)$ $Ord(l)$

obtains (le) $k \leq l$ $\mid$ (ge) $l \leq k$

$\langle proof \rangle$

lemma *hunion-less-iff* [simp]: $\llbracket Ord\ i; Ord\ j \rrbracket \implies i \sqcup j < k \longleftrightarrow i < k \wedge j < k$

$\langle proof \rangle$

Theorem 2.5

lemma *Ord-mem-iff-lt*: $Ord(k) \implies Ord(l) \implies k \in l \longleftrightarrow k < l$

$\langle proof \rangle$

lemma *le-succE*: $succ\ i \leq succ\ j \implies i \leq j$

$\langle proof \rangle$

lemma *le-succ-iff*: $Ord\ i \implies Ord\ j \implies succ\ i \leq succ\ j \longleftrightarrow i \leq j$

$\langle proof \rangle$

lemma *succ-inject-iff* [iff]: $succ\ i = succ\ j \longleftrightarrow i = j$

$\langle proof \rangle$

lemma *mem-succ-iff* [simp]: $Ord\ j \implies succ\ i \in succ\ j \longleftrightarrow i \in j$

$\langle proof \rangle$

lemma *Ord-mem-succ-cases*:

assumes $Ord(k)$ $l \in k$

shows $succ\ l = k \vee succ\ l \in k$

$\langle proof \rangle$

2.1.4 Supremum and Infimum

lemma *Ord-Union* [intro,simp]: $\llbracket \bigwedge i. i \in A \implies Ord(i) \rrbracket \implies Ord(\bigsqcup A)$

$\langle proof \rangle$

lemma *Ord-Inter* [intro,simp]:

assumes $\bigwedge i. i \in A \implies Ord(i)$ **shows** $Ord(\bigcap A)$

$\langle proof \rangle$

Theorem 2.7. Every set x of ordinals is ordered by the binary relation $<$. Moreover if $x \neq 0$ then x has a smallest and a largest element.

lemma *hmem-Sup-Ords*: $\llbracket A \neq 0; \bigwedge i. i \in A \implies Ord(i) \rrbracket \implies \bigsqcup A \in A$

$\langle proof \rangle$

lemma *hmem-Inf-Ords*: $\llbracket A \neq 0; \bigwedge i. i \in A \implies Ord(i) \rrbracket \implies \bigcap A \in A$

$\langle proof \rangle$

lemma *Ord-pred*: $\llbracket Ord(k); k \neq 0 \rrbracket \implies succ(\bigsqcup k) = k$

$\langle proof \rangle$

lemma *Ord-cases* [*cases type: hf, case-names 0 succ*]:
 assumes *Ok: Ord(k)*
 obtains $k = 0 \mid l$ **where** *Ord l succ l = k*
 $\langle proof \rangle$

lemma *Ord-induct2* [*consumes 1, case-names 0 succ, induct type: hf*]:
 assumes $k: Ord(k)$
 and $P: P\ 0 \wedge k. Ord\ k \implies P\ k \implies P\ (succ\ k)$
 shows $P\ k$
 $\langle proof \rangle$

lemma *Ord-succ-iff* [*iff*]: $Ord\ (succ\ k) = Ord\ k$
 $\langle proof \rangle$

lemma [*simp*]: $succ\ k \neq 0$
 $\langle proof \rangle$

lemma *Ord-Sup-succ-eq* [*simp*]: $Ord\ k \implies \bigsqcup (succ\ k) = k$
 $\langle proof \rangle$

lemma *Ord-lt-succ-iff-le*: $Ord\ k \implies Ord\ l \implies k < succ\ l \longleftrightarrow k \leq l$
 $\langle proof \rangle$

lemma *zero-in-Ord*: $Ord\ k \implies k=0 \vee 0 \in k$
 $\langle proof \rangle$

lemma *hpair-neq-Ord*: $Ord\ k \implies \langle x,y \rangle \neq k$
 $\langle proof \rangle$

lemma *hpair-neq-Ord'*: **assumes** $k: Ord\ k$ **shows** $k \neq \langle x,y \rangle$
 $\langle proof \rangle$

lemma *Not-Ord-hpair* [*iff*]: $\neg Ord\ \langle x,y \rangle$
 $\langle proof \rangle$

lemma *is-hpair* [*simp*]: $is-hpair\ \langle x,y \rangle$
 $\langle proof \rangle$

lemma *Ord-not-hpair*: $Ord\ x \implies \neg is-hpair\ x$
 $\langle proof \rangle$

lemma *zero-in-succ* [*simp,intro*]: $Ord\ i \implies 0 \in succ\ i$
 $\langle proof \rangle$

2.1.5 Converting Between Ordinals and Natural Numbers

fun *ord-of* :: $nat \Rightarrow hf$

where
 $\text{ord-of } 0 = 0$
 $\mid \text{ord-of } (\text{Suc } k) = \text{succ } (\text{ord-of } k)$

lemma *Ord-ord-of [simp]: Ord (ord-of k)*
 $\langle \text{proof} \rangle$

lemma *ord-of-inject [iff]: ord-of i = ord-of j $\longleftrightarrow$ i=j*
 $\langle \text{proof} \rangle$

lemma *ord-of-minus-1: n > 0 $\implies$ ord-of n = succ (ord-of (n - 1))*
 $\langle \text{proof} \rangle$

definition *nat-of-ord :: hf $\Rightarrow$ nat*
where *nat-of-ord x = (THE n. x = ord-of n)*

lemma *nat-of-ord-ord-of [simp]: nat-of-ord (ord-of n) = n*
 $\langle \text{proof} \rangle$

lemma *nat-of-ord-0 [simp]: nat-of-ord 0 = 0*
 $\langle \text{proof} \rangle$

lemma *ord-of-nat-of-ord [simp]: Ord x $\implies$ ord-of (nat-of-ord x) = x*
 $\langle \text{proof} \rangle$

lemma *nat-of-ord-inject: Ord x $\implies$ Ord y $\implies$ nat-of-ord x = nat-of-ord y $\longleftrightarrow$ x = y*
 $\langle \text{proof} \rangle$

lemma *nat-of-ord-succ [simp]: Ord x $\implies$ nat-of-ord (succ x) = Suc (nat-of-ord x)*
 $\langle \text{proof} \rangle$

lemma *inj-ord-of: inj-on ord-of A*
 $\langle \text{proof} \rangle$

lemma *hfset-ord-of: hfset (ord-of n) = ord-of ' {0.. n }*
 $\langle \text{proof} \rangle$

lemma *bij-betw-ord-of: bij-betw ord-of {0.. n } (hfset (ord-of n))*
 $\langle \text{proof} \rangle$

lemma *bij-betw-ord-ofI:*
 $\text{bij-betw } h \text{ } A \text{ } \{0.. n \} \implies \text{bij-betw } (\text{ord-of } \circ h) \text{ } A \text{ } (\text{hfset } (\text{ord-of } n))$
 $\langle \text{proof} \rangle$

2.2 Sequences and Ordinal Recursion

Definition 3.2 (Sequence).

definition $Seq :: hf \Rightarrow hf \Rightarrow bool$

where $Seq\ s\ k \longleftrightarrow hrelation\ s \wedge hfunction\ s \wedge k \leq hdomain\ s$

lemma $Seq-0$ [iff]: $Seq\ 0\ 0$

$\langle proof \rangle$

lemma $Seq-succ-D$: $Seq\ s\ (succ\ k) \Longrightarrow Seq\ s\ k$

$\langle proof \rangle$

lemma $Seq-Ord-D$: $Seq\ s\ k \Longrightarrow l \in k \Longrightarrow Ord\ k \Longrightarrow Seq\ s\ l$

$\langle proof \rangle$

lemma $Seq-restr$: $Seq\ s\ (succ\ k) \Longrightarrow Seq\ (hrestrict\ s\ k)\ k$

$\langle proof \rangle$

lemma $Seq-Ord-restr$: $\llbracket Seq\ s\ k; l \in k; Ord\ k \rrbracket \Longrightarrow Seq\ (hrestrict\ s\ l)\ l$

$\langle proof \rangle$

lemma $Seq-ins$: $\llbracket Seq\ s\ k; k \notin hdomain\ s \rrbracket \Longrightarrow Seq\ (s \triangleleft \langle k, y \rangle)\ (succ\ k)$

$\langle proof \rangle$

definition $insf :: hf \Rightarrow hf \Rightarrow hf \Rightarrow hf$

where $insf\ s\ k\ y \equiv nonrestrict\ s\ \{\!\{k\}\!\} \triangleleft \langle k, y \rangle$

lemma $hrelation-insf$: $hrelation\ s \Longrightarrow hrelation\ (insf\ s\ k\ y)$

$\langle proof \rangle$

lemma $hfunction-insf$: $hfunction\ s \Longrightarrow hfunction\ (insf\ s\ k\ y)$

$\langle proof \rangle$

lemma $hdomain-insf$: $k \leq hdomain\ s \Longrightarrow succ\ k \leq hdomain\ (insf\ s\ k\ y)$

$\langle proof \rangle$

lemma $Seq-insf$: $Seq\ s\ k \Longrightarrow Seq\ (insf\ s\ k\ y)\ (succ\ k)$

$\langle proof \rangle$

lemma $Seq-succ-iff$: $Seq\ s\ (succ\ k) \longleftrightarrow Seq\ s\ k \wedge (\exists y. \langle k, y \rangle \in s)$

$\langle proof \rangle$

lemma $nonrestrictD$: $a \in nonrestrict\ s\ X \Longrightarrow a \in s$

$\langle proof \rangle$

lemma $hpair-in-nonrestrict-iff$ [simp]:

$\langle a, b \rangle \in nonrestrict\ s\ X \longleftrightarrow \langle a, b \rangle \in s \wedge \neg a \in X$

$\langle proof \rangle$

lemma $app-nonrestrict-Seq$: $Seq\ s\ k \Longrightarrow z \notin X \Longrightarrow app\ (nonrestrict\ s\ X)\ z = app$

$s\ z$

$\langle proof \rangle$

lemma *app-insf-Seq*: $\text{Seq } s \ k \implies \text{app } (\text{insf } s \ k \ y) \ k = y$
 $\langle \text{proof} \rangle$

lemma *app-insf2-Seq*: $\text{Seq } s \ k \implies k' \neq k \implies \text{app } (\text{insf } s \ k \ y) \ k' = \text{app } s \ k'$
 $\langle \text{proof} \rangle$

lemma *app-insf-Seq-if*: $\text{Seq } s \ k \implies \text{app } (\text{insf } s \ k \ y) \ k' = (\text{if } k' = k \text{ then } y \text{ else } \text{app } s \ k')$
 $\langle \text{proof} \rangle$

lemma *Seq-imp-eq-app*: $\llbracket \text{Seq } s \ d; \langle x, y \rangle \in s \rrbracket \implies \text{app } s \ x = y$
 $\langle \text{proof} \rangle$

lemma *Seq-iff-app*: $\llbracket \text{Seq } s \ d; x \in d \rrbracket \implies \langle x, y \rangle \in s \longleftrightarrow \text{app } s \ x = y$
 $\langle \text{proof} \rangle$

lemma *Exists-iff-app*: $\text{Seq } s \ d \implies x \in d \implies (\exists y. \langle x, y \rangle \in s \wedge P \ y) = P \ (\text{app } s \ x)$
 $\langle \text{proof} \rangle$

lemma *Ord-trans2*: $\llbracket i2 \in i; i \in j; j \in k; \text{Ord } k \rrbracket \implies i2 \in k$
 $\langle \text{proof} \rangle$

definition *ord-rec-Seq* :: $hf \Rightarrow (hf \Rightarrow hf) \Rightarrow hf \Rightarrow hf \Rightarrow hf \Rightarrow \text{bool}$
where
 $\text{ord-rec-Seq } T \ G \ s \ k \ y \longleftrightarrow$
 $(\text{Seq } s \ k \wedge y = G \ (\text{app } s \ (\bigsqcup k)) \wedge \text{app } s \ 0 = T \wedge$
 $(\forall n. \text{succ } n \in k \longrightarrow \text{app } s \ (\text{succ } n) = G \ (\text{app } s \ n)))$

lemma *Seq-succ-insf*:
assumes s : $\text{Seq } s \ (\text{succ } k)$ **shows** $\exists y. s = \text{insf } s \ k \ y$
 $\langle \text{proof} \rangle$

lemma *ord-rec-Seq-succ-iff*:
assumes k : $\text{Ord } k$ **and** knz : $k \neq 0$
shows $\text{ord-rec-Seq } T \ G \ s \ (\text{succ } k) \ z \longleftrightarrow (\exists s' y. \text{ord-rec-Seq } T \ G \ s' \ k \ y \wedge z = G \ y \wedge s = \text{insf } s' \ k \ y)$
 $\langle \text{proof} \rangle$

lemma *ord-rec-Seq-functional*:
 $\text{Ord } k \implies k \neq 0 \implies \text{ord-rec-Seq } T \ G \ s \ k \ y \implies \text{ord-rec-Seq } T \ G \ s' \ k \ y' \implies y' = y$
 $\langle \text{proof} \rangle$

definition *ord-recp* :: $hf \Rightarrow (hf \Rightarrow hf) \Rightarrow (hf \Rightarrow hf) \Rightarrow hf \Rightarrow hf \Rightarrow \text{bool}$
where
 $\text{ord-recp } T \ G \ H \ x \ y =$
 $(\text{if } x=0 \text{ then } y = T$

else
if $\text{Ord}(x)$ *then* $\exists s. \text{ord-rec-Seq } T \ G \ s \ x \ y$
else $y = H \ x$

lemma *ord-recp-functional*: $\text{ord-recp } T \ G \ H \ x \ y \implies \text{ord-recp } T \ G \ H \ x \ y' \implies y' = y$
 $\langle \text{proof} \rangle$

lemma *ord-recp-succ-iff*:
assumes $k: \text{Ord } k$ **shows** $\text{ord-recp } T \ G \ H \ (\text{succ } k) \ z \longleftrightarrow (\exists y. z = G \ y \wedge \text{ord-recp } T \ G \ H \ k \ y)$
 $\langle \text{proof} \rangle$

definition *ord-rec* :: $hf \Rightarrow (hf \Rightarrow hf) \Rightarrow (hf \Rightarrow hf) \Rightarrow hf \Rightarrow hf$
where
 $\text{ord-rec } T \ G \ H \ x = (\text{THE } y. \text{ord-recp } T \ G \ H \ x \ y)$

lemma *ord-rec-0* [*simp*]: $\text{ord-rec } T \ G \ H \ 0 = T$
 $\langle \text{proof} \rangle$

lemma *ord-recp-total*: $\exists y. \text{ord-recp } T \ G \ H \ x \ y$
 $\langle \text{proof} \rangle$

lemma *ord-rec-succ* [*simp*]:
assumes $k: \text{Ord } k$ **shows** $\text{ord-rec } T \ G \ H \ (\text{succ } k) = G \ (\text{ord-rec } T \ G \ H \ k)$
 $\langle \text{proof} \rangle$

lemma *ord-rec-non* [*simp*]: $\neg \text{Ord } x \implies \text{ord-rec } T \ G \ H \ x = H \ x$
 $\langle \text{proof} \rangle$

end

Chapter 3

V-Sets, Epsilon Closure, Ranks

theory *Rank* **imports** *Ordinal*
begin

3.1 V-sets

Definition 4.1

definition *Vset* :: *hf* $\Rightarrow$ *hf*
 where *Vset* *x* = *ord-rec* 0 *HPow* ($\lambda z. 0$) *x*

lemma *Vset-0* [*simp*]: *Vset* 0 = 0
 $\langle proof \rangle$

lemma *Vset-succ* [*simp*]: *Ord* *k* $\Longrightarrow$ *Vset* (*succ* *k*) = *HPow* (*Vset* *k*)
 $\langle proof \rangle$

lemma *Vset-non* [*simp*]: $\neg$ *Ord* *x* $\Longrightarrow$ *Vset* *x* = 0
 $\langle proof \rangle$

Theorem 4.2(a)

lemma *Vset-mono-strict*:
 assumes *Ord* *m* *n* $\in$ *m* **shows** *Vset* *n* < *Vset* *m*
 $\langle proof \rangle$

lemma *Vset-mono*: $\llbracket \text{Ord } m; n \leq m \rrbracket \Longrightarrow \text{Vset } n \leq \text{Vset } m$
 $\langle proof \rangle$

Theorem 4.2(b)

lemma *Vset-Transset*: *Ord* *m* $\Longrightarrow$ *Transset* (*Vset* *m*)
 $\langle proof \rangle$

lemma *Ord-sup* [*simp*]: *Ord* *k* $\Longrightarrow$ *Ord* *l* $\Longrightarrow$ *Ord* (*k* $\sqcup$ *l*)

$\langle \text{proof} \rangle$

lemma *Ord-inf [simp]*: $\text{Ord } k \implies \text{Ord } l \implies \text{Ord } (k \sqcap l)$
 $\langle \text{proof} \rangle$

Theorem 4.3

lemma *Vset-universal*: $\exists n. \text{Ord } n \wedge x \in \text{Vset } n$
 $\langle \text{proof} \rangle$

3.2 Least Ordinal Operator

Definition 4.4. For every x , let $\text{rank}(x)$ be the least ordinal n such that...

lemma *Ord-minimal*:
 $\text{Ord } k \implies P \ k \implies \exists n. \text{Ord } n \wedge P \ n \wedge (\forall m. \text{Ord } m \wedge P \ m \longrightarrow n \leq m)$
 $\langle \text{proof} \rangle$

lemma *OrdLeastI*: $\text{Ord } k \implies P \ k \implies P(\text{LEAST } n. \text{Ord } n \wedge P \ n)$
 $\langle \text{proof} \rangle$

lemma *OrdLeast-le*: $\text{Ord } k \implies P \ k \implies (\text{LEAST } n. \text{Ord } n \wedge P \ n) \leq k$
 $\langle \text{proof} \rangle$

lemma *OrdLeast-Ord*:
assumes $\text{Ord } k$ **P k shows** $\text{Ord}(\text{LEAST } n. \text{Ord } n \wedge P \ n)$
 $\langle \text{proof} \rangle$

3.3 Rank Function

definition *rank* :: $hf \Rightarrow hf$
where $\text{rank } x = (\text{LEAST } n. \text{Ord } n \wedge x \in \text{Vset } (\text{succ } n))$

lemma *[simp]*: $\text{rank } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *in-Vset-rank*: $a \in \text{Vset}(\text{succ}(\text{rank } a))$
 $\langle \text{proof} \rangle$

lemma *Ord-rank [simp]*: $\text{Ord } (\text{rank } a)$
 $\langle \text{proof} \rangle$

lemma *le-Vset-rank*: $a \leq \text{Vset}(\text{rank } a)$
 $\langle \text{proof} \rangle$

lemma *VsetI*: $\text{succ}(\text{rank } a) \leq k \implies \text{Ord } k \implies a \in \text{Vset } k$
 $\langle \text{proof} \rangle$

lemma *Vset-succ-rank-le*: $\text{Ord } k \implies a \in \text{Vset } (\text{succ } k) \implies \text{rank } a \leq k$
 $\langle \text{proof} \rangle$

lemma *Vset-rank-lt*: **assumes** $a: a \in Vset\ k$ **shows** $rank\ a < k$
 $\langle proof \rangle$

Theorem 4.5

theorem *rank-lt*: $a \in b \implies rank(a) < rank(b)$
 $\langle proof \rangle$

lemma *rank-mono*: $x \leq y \implies rank\ x \leq rank\ y$
 $\langle proof \rangle$

lemma *rank-sup* [*simp*]: $rank\ (a \sqcup b) = rank\ a \sqcup rank\ b$
 $\langle proof \rangle$

lemma *rank-singleton* [*simp*]: $rank\ \{a\} = succ(rank\ a)$
 $\langle proof \rangle$

lemma *rank-hinsert* [*simp*]: $rank\ (b \triangleleft a) = rank\ b \sqcup succ(rank\ a)$
 $\langle proof \rangle$

Definition 4.6. The transitive closure of x is the minimal transitive set y such that $x \leq y$.

3.4 Epsilon Closure

definition

$eclose \quad ::\ hf \Rightarrow hf$ **where**
 $eclose\ X = \bigcap \{Y \in HPow(Vset\ (rank\ X)).\ Transset\ Y \wedge X \leq Y\}$

lemma *eclose-facts*:

shows *Transset-eclose*: $Transset\ (eclose\ X)$

and *le-eclose*: $X \leq eclose\ X$

$\langle proof \rangle$

lemma *eclose-minimal*:

assumes $Y: Transset\ Y\ X \leq Y$ **shows** $eclose\ X \leq Y$

$\langle proof \rangle$

lemma *eclose-0* [*simp*]: $eclose\ 0 = 0$

$\langle proof \rangle$

lemma *eclose-sup* [*simp*]: $eclose\ (a \sqcup b) = eclose\ a \sqcup eclose\ b$

$\langle proof \rangle$

lemma *eclose-singleton* [*simp*]: $eclose\ \{a\} = (eclose\ a) \triangleleft a$

$\langle proof \rangle$

lemma *eclose-hinsert* [*simp*]: $eclose\ (b \triangleleft a) = eclose\ b \sqcup (eclose\ a \triangleleft a)$

$\langle proof \rangle$

lemma *eclose-succ* [simp]: $eclose (succ\ a) = eclose\ a \triangleleft a$
 ⟨proof⟩

lemma *fst-in-eclose* [simp]: $x \in eclose\ \langle x, y \rangle$
 ⟨proof⟩

lemma *snd-in-eclose* [simp]: $y \in eclose\ \langle x, y \rangle$
 ⟨proof⟩

Theorem 4.7. $rank(x) = rank(cl(x))$.

lemma *rank-eclose* [simp]: $rank\ (eclose\ x) = rank\ x$
 ⟨proof⟩

3.5 Epsilon-Recursion

Theorem 4.9. Definition of a function by recursion on rank.

lemma *hmem-induct* [case-names step]:
 assumes *ih*: $\bigwedge x. (\bigwedge y. y \in x \implies P\ y) \implies P\ x$ **shows** $P\ x$
 ⟨proof⟩

definition
 $hmem-rel :: (hf * hf)\ set$ **where**
 $hmem-rel = transcl\ \{(x, y). x \in y\}$

lemma *wf-hmem-rel*: $wf\ hmem-rel$
 ⟨proof⟩

lemma *hmem-eclose-le*: $y \in x \implies eclose\ y \leq eclose\ x$
 ⟨proof⟩

lemma *hmem-rel-iff-hmem-eclose*: $(x, y) \in hmem-rel \longleftrightarrow x \in eclose\ y$
 ⟨proof⟩

definition *hmemrec* :: $((hf \Rightarrow 'a) \Rightarrow hf \Rightarrow 'a) \Rightarrow hf \Rightarrow 'a$ **where**
 $hmemrec\ G \equiv wfrec\ hmem-rel\ G$

definition *ecut* :: $(hf \Rightarrow 'a) \Rightarrow hf \Rightarrow hf \Rightarrow 'a$ **where**
 $ecut\ f\ x \equiv (\lambda y. \text{if } y \in eclose\ x \text{ then } f\ y \text{ else undefined})$

lemma *hmemrec*: $hmemrec\ G\ a = G\ (ecut\ (hmemrec\ G)\ a)\ a$
 ⟨proof⟩

This form avoids giant explosions in proofs.

lemma *def-hmemrec*: $f \equiv hmemrec\ G \implies f\ a = G\ (ecut\ (hmemrec\ G)\ a)\ a$
 ⟨proof⟩

lemma *ecut-apply*: $y \in eclose\ x \implies ecut\ f\ x\ y = f\ y$

$\langle proof \rangle$

lemma *RepFun-ecut*: $y \leq z \implies RepFun\ y\ (ecut\ f\ z) = RepFun\ y\ f$
 $\langle proof \rangle$

Now, a stronger induction rule, for the transitive closure of membership

lemma *hmem-rel-induct* [*case-names step*]:

assumes *ih*: $\bigwedge x. (\bigwedge y. (y, x) \in hmem-rel \implies P\ y) \implies P\ x$ **shows** $P\ x$
 $\langle proof \rangle$

lemma *rank-HUnion-less*: $x \neq 0 \implies rank\ (\bigsqcup x) < rank\ x$
 $\langle proof \rangle$

corollary *Sup-ne*: $x \neq 0 \implies \bigsqcup x \neq x$
 $\langle proof \rangle$

end

Chapter 4

An Application: Finite Automata

```
theory Finite-Automata imports Ordinal  
begin
```

The point of this example is that the HF sets are closed under disjoint sums and Cartesian products, allowing the theory of finite state machines to be developed without issues of polymorphism or any tricky encodings of states.

```
record 'a fsm = states :: hf  
          init :: hf  
          final :: hf  
          next :: hf  $\Rightarrow$  'a  $\Rightarrow$  hf  $\Rightarrow$  bool
```

```
inductive reaches :: ['a fsm, hf, 'a list, hf]  $\Rightarrow$  bool
```

```
where
```

```
  Nil: st  $\in$  states fsm  $\implies$  reaches fsm st [] st  
  | Cons:  $\llbracket$ next fsm st x st''; reaches fsm st'' xs st'; st  $\in$  states fsm $\rrbracket \implies$  reaches  
fsm st (x#xs) st'
```

```
declare reaches.intros [intro]
```

```
inductive-simps reaches-Nil [simp]: reaches fsm st [] st'
```

```
inductive-simps reaches-Cons [simp]: reaches fsm st (x#xs) st'
```

```
lemma reaches-imp-states: reaches fsm st xs st'  $\implies$  st  $\in$  states fsm  $\wedge$  st'  $\in$  states  
fsm
```

```
  <proof>
```

```
lemma reaches-append-iff:
```

```
  reaches fsm st (xs@ys) st'  $\longleftrightarrow$  ( $\exists$  st''. reaches fsm st xs st''  $\wedge$  reaches fsm st''  
ys st')
```

```
  <proof>
```

```
definition accepts :: 'a fsm  $\Rightarrow$  'a list  $\Rightarrow$  bool where
```

$accepts\ fsm\ xs \equiv \exists st\ st'.\ reaches\ fsm\ st\ xs\ st' \wedge st \in init\ fsm \wedge st' \in final\ fsm$

definition *regular* :: 'a list set $\Rightarrow$ bool **where**
 $regular\ S \equiv \exists fsm.\ S = \{xs.\ accepts\ fsm\ xs\}$

definition *Null* **where**
 $Null = (\text{states} = 0, init = 0, final = 0, next = \lambda st\ x\ st'.\ False)$

theorem *regular-empty*: $regular\ \{\}$
 $\langle proof \rangle$

abbreviation *NullStr* **where**
 $NullStr \equiv (\text{states} = 1, init = 1, final = 1, next = \lambda st\ x\ st'.\ False)$

theorem *regular-emptyst*: $regular\ \{\}\}$
 $\langle proof \rangle$

abbreviation *SingStr* **where**
 $SingStr\ a \equiv (\text{states} = \{0, 1\}, init = \{0\}, final = \{1\}, next = \lambda st\ x\ st'.\ st=0 \wedge x=a \wedge st'=1)$

theorem *regular-singstr*: $regular\ \{[a]\}$
 $\langle proof \rangle$

definition *Reverse* **where**
 $Reverse\ fsm = (\text{states} = \text{states}\ fsm, init = final\ fsm, final = init\ fsm, next = \lambda st\ x\ st'.\ next\ fsm\ st'\ x\ st)$

lemma *Reverse-Reverse-ident* [simp]: $Reverse\ (Reverse\ fsm) = fsm$
 $\langle proof \rangle$

lemma *reaches-Reverse-iff* [simp]:
 $reaches\ (Reverse\ fsm)\ st\ (rev\ xs)\ st' \longleftrightarrow reaches\ fsm\ st'\ xs\ st$
 $\langle proof \rangle$

lemma *reaches-Reverse-iff2* [simp]:
 $reaches\ (Reverse\ fsm)\ st'\ xs\ st \longleftrightarrow reaches\ fsm\ st\ (rev\ xs)\ st'$
 $\langle proof \rangle$

lemma [simp]: $init\ (Reverse\ fsm) = final\ fsm$
 $\langle proof \rangle$

lemma [simp]: $final\ (Reverse\ fsm) = init\ fsm$
 $\langle proof \rangle$

lemma *accepts-Reverse*: $rev\ \{xs.\ accepts\ fsm\ xs\} = \{xs.\ accepts\ (Reverse\ fsm)\ xs\}$
 $\langle proof \rangle$

theorem *regular-rev*: $\text{regular } S \implies \text{regular } (\text{rev } S)$
 ⟨proof⟩

definition *Times* **where**

$\text{Times fsm1 fsm2} = \langle \text{states} = \text{states fsm1} * \text{states fsm2},$
 $\text{init} = \text{init fsm1} * \text{init fsm2},$
 $\text{final} = \text{final fsm1} * \text{final fsm2},$
 $\text{next} = \lambda st \ x \ st'. (\exists st1 \ st2 \ st1' \ st2'. st = \langle st1, st2 \rangle \wedge st' =$
 $\langle st1', st2' \rangle \wedge$
 $\text{next fsm1 } st1 \ x \ st1' \wedge \text{next fsm2 } st2 \ x \ st2') \rangle$

lemma *states-Times* [simp]: $\text{states } (\text{Times fsm1 fsm2}) = \text{states fsm1} * \text{states fsm2}$
 ⟨proof⟩

lemma *init-Times* [simp]: $\text{init } (\text{Times fsm1 fsm2}) = \text{init fsm1} * \text{init fsm2}$
 ⟨proof⟩

lemma *final-Times* [simp]: $\text{final } (\text{Times fsm1 fsm2}) = \text{final fsm1} * \text{final fsm2}$
 ⟨proof⟩

lemma *next-Times*: $\text{next } (\text{Times fsm1 fsm2}) \langle st1, st2 \rangle x \ st' \longleftrightarrow$
 $(\exists st1' \ st2'. st' = \langle st1', st2' \rangle \wedge \text{next fsm1 } st1 \ x \ st1' \wedge \text{next fsm2 } st2 \ x \ st2')$
 ⟨proof⟩

lemma *reaches-Times-iff* [simp]:
 $\text{reaches } (\text{Times fsm1 fsm2}) \langle st1, st2 \rangle xs \langle st1', st2' \rangle \longleftrightarrow$
 $\text{reaches fsm1 } st1 \ xs \ st1' \wedge \text{reaches fsm2 } st2 \ xs \ st2'$
 ⟨proof⟩

lemma *accepts-Times-iff* [simp]:
 $\text{accepts } (\text{Times fsm1 fsm2}) xs \longleftrightarrow \text{accepts fsm1 } xs \wedge \text{accepts fsm2 } xs$
 ⟨proof⟩

theorem *regular-Int*:

assumes *S*: $\text{regular } S$ **and** *T*: $\text{regular } T$ **shows** $\text{regular } (S \cap T)$
 ⟨proof⟩

definition *Plus* **where**

$\text{Plus fsm1 fsm2} = \langle \text{states} = \text{states fsm1} + \text{states fsm2},$
 $\text{init} = \text{init fsm1} + \text{init fsm2},$
 $\text{final} = \text{final fsm1} + \text{final fsm2},$
 $\text{next} = \lambda st \ x \ st'. (\exists st1 \ st1'. st = \text{Inl } st1 \wedge st' = \text{Inl } st1' \wedge \text{next}$
 $\text{fsm1 } st1 \ x \ st1') \vee$
 $(\exists st2 \ st2'. st = \text{Inr } st2 \wedge st' = \text{Inr } st2' \wedge \text{next}$
 $\text{fsm2 } st2 \ x \ st2') \rangle$

lemma *states-Plus* [simp]: $\text{states } (\text{Plus fsm1 fsm2}) = \text{states fsm1} + \text{states fsm2}$
 ⟨proof⟩

lemma *init-Plus* [simp]: $\text{init } (\text{Plus fsm1 fsm2}) = \text{init fsm1} + \text{init fsm2}$
 ⟨proof⟩

lemma *final-Plus* [simp]: $\text{final } (\text{Plus fsm1 fsm2}) = \text{final fsm1} + \text{final fsm2}$
 ⟨proof⟩

lemma *next-Plus1*: $\text{next } (\text{Plus fsm1 fsm2}) (\text{Inl st1}) x \text{ st}' \longleftrightarrow (\exists st1'. st' = \text{Inl } st1' \wedge \text{next fsm1 st1 } x \text{ st1}')$
 ⟨proof⟩

lemma *next-Plus2*: $\text{next } (\text{Plus fsm1 fsm2}) (\text{Inr st2}) x \text{ st}' \longleftrightarrow (\exists st2'. st' = \text{Inr } st2' \wedge \text{next fsm2 st2 } x \text{ st2}')$
 ⟨proof⟩

lemma *reaches-Plus-iff1* [simp]:
 $\text{reaches } (\text{Plus fsm1 fsm2}) (\text{Inl st1}) xs \text{ st}' \longleftrightarrow$
 $(\exists st1'. st' = \text{Inl } st1' \wedge \text{reaches fsm1 st1 } xs \text{ st1}')$
 ⟨proof⟩

lemma *reaches-Plus-iff2* [simp]:
 $\text{reaches } (\text{Plus fsm1 fsm2}) (\text{Inr st2}) xs \text{ st}' \longleftrightarrow$
 $(\exists st2'. st' = \text{Inr } st2' \wedge \text{reaches fsm2 st2 } xs \text{ st2}')$
 ⟨proof⟩

lemma *reaches-Plus-iff* [simp]:
 $\text{reaches } (\text{Plus fsm1 fsm2}) st \text{ xs } st' \longleftrightarrow$
 $(\exists st1 \text{ st1}'. st = \text{Inl } st1 \wedge st' = \text{Inl } st1' \wedge \text{reaches fsm1 st1 } xs \text{ st1}') \vee$
 $(\exists st2 \text{ st2}'. st = \text{Inr } st2 \wedge st' = \text{Inr } st2' \wedge \text{reaches fsm2 st2 } xs \text{ st2}')$
 ⟨proof⟩

lemma *accepts-Plus-iff* [simp]:
 $\text{accepts } (\text{Plus fsm1 fsm2}) xs \longleftrightarrow \text{accepts fsm1 } xs \vee \text{accepts fsm2 } xs$
 ⟨proof⟩

lemma *regular-Un*:
assumes *S*: regular *S* **and** *T*: regular *T* **shows** regular (*S* ∪ *T*)
 ⟨proof⟩

end
theory *Finitary*
imports *Ordinal*
begin

class *finitary* =
fixes *hf-of* :: 'a ⇒ hf
assumes *inj*: *inj* hf-of
begin
lemma *hf-of-eq-iff* [simp]: $\text{hf-of } x = \text{hf-of } y \longleftrightarrow x=y$
 ⟨proof⟩

end

instantiation *unit* :: *finitary*

begin

definition *hf-of-unit-def*: *hf-of* (*u*::*unit*) $\equiv 0$

instance

$\langle proof \rangle$

end

instantiation *bool* :: *finitary*

begin

definition *hf-of-bool-def*: *hf-of* *b* $\equiv$ if *b* then 1 else 0

instance

$\langle proof \rangle$

end

instantiation *nat* :: *finitary*

begin

definition *hf-of-nat-def*: *hf-of* $\equiv$ *ord-of*

instance

$\langle proof \rangle$

end

instantiation *int* :: *finitary*

begin

definition *hf-of-int-def*:

hf-of *i* $\equiv$ if $i \geq (0::int)$ then $\langle 0, hf-of (nat\ i) \rangle$ else $\langle 1, hf-of (nat\ (-i)) \rangle$

instance

$\langle proof \rangle$

end

Strings are char lists, and are not considered separately.

instantiation *char* :: *finitary*

begin

definition *hf-of-char-def*:

hf-of *x* $\equiv$ *hf-of* (*of-char* *x* :: *nat*)

instance

$\langle proof \rangle$

end

instantiation *prod* :: (*finitary*,*finitary*) *finitary*

begin

definition *hf-of-prod-def*:

hf-of $\equiv \lambda(x,y). \langle hf-of\ x, hf-of\ y \rangle$

instance

$\langle proof \rangle$

end

instantiation *sum* :: (*finitary*,*finitary*) *finitary*

```

begin
  definition hf-of-sum-def:
    hf-of  $\equiv$  case-sum (HF.Inl o hf-of) (HF.Inr o hf-of)
  instance
    <proof>
end

instantiation option :: (finitary) finitary
begin
  definition hf-of-option-def:
    hf-of  $\equiv$  case-option 0 ( $\lambda x.$  {hf-of x})
  instance
    <proof>
end

instantiation list :: (finitary) finitary
begin
  primrec hf-of-list where
    hf-of-list Nil = 0
  | hf-of-list (x#xs) = <hf-of x, hf-of-list xs>

lemma [simp]: fixes x :: 'a list shows hf-of x = hf-of y  $\implies$  x = y
  <proof>

instance
  <proof>
end

end

```

Chapter 5

Addition, Sequences and their Concatenation

theory *OrdArith* **imports** *Rank*
begin

5.1 Generalised Addition — Also for Ordinals

Source: Laurence Kirby, Addition and multiplication of sets *Math. Log. Quart.* 53, No. 1, 52-65 (2007) / DOI 10.1002/malq.200610026 <http://faculty.baruch.cuny.edu/lkirby/mlqarticlejan2007.pdf>

definition

$\text{hadd} \quad :: hf \Rightarrow hf \Rightarrow hf \quad (\text{infixl } \langle @+ \rangle 65) \text{ where}$
 $\text{hadd } x \equiv \text{hmemrec } (\lambda f z. x \sqcup \text{RepFun } z f)$

lemma *hadd*: $x @+ y = x \sqcup \text{RepFun } y (\lambda z. x @+ z)$
<proof>

lemma *hmem-hadd-E*:

assumes $l: l \in x @+ y$
obtains $l \in x \mid z \text{ where } z \in y \text{ } l = x @+ z$
<proof>

lemma *hadd-0-right* [*simp*]: $x @+ 0 = x$
<proof>

lemma *hadd-hinsert-right*: $x @+ \text{hinsert } y z = \text{hinsert } (x @+ y) (x @+ z)$
<proof>

lemma *hadd-succ-right* [*simp*]: $x @+ \text{succ } y = \text{succ } (x @+ y)$
<proof>

lemma *not-add-less-right*: $\neg (x @+ y < x)$
<proof>

lemma *not-add-mem-right*: $\neg (x @+ y \in x)$

<proof>

lemma *hadd-0-left* [simp]: $0 @+ x = x$

<proof>

lemma *hadd-succ-left* [simp]: $Ord\ y \implies succ\ x @+ y = succ\ (x @+ y)$

<proof>

lemma *hadd-assoc*: $(x @+ y) @+ z = x @+ (y @+ z)$

<proof>

lemma *RepFun-hadd-disjoint*: $x \sqcap RepFun\ y\ ((@+) x) = 0$

<proof>

5.1.1 Cancellation laws for addition

lemma *Rep-le-Cancel*: $x \sqcup RepFun\ y\ ((@+) x) \leq x \sqcup RepFun\ z\ ((@+) x)$

$\implies RepFun\ y\ ((@+) x) \leq RepFun\ z\ ((@+) x)$

<proof>

lemma *hadd-cancel-right* [simp]: $x @+ y = x @+ z \longleftrightarrow y = z$

<proof>

lemma *RepFun-hadd-cancel*: $RepFun\ y\ (\lambda z. x @+ z) = RepFun\ z\ (\lambda z. x @+ z)$

$\longleftrightarrow y = z$

<proof>

lemma *hadd-hmem-cancel* [simp]: $x @+ y \in x @+ z \longleftrightarrow y \in z$

<proof>

lemma *ord-of-add*: $ord-of\ (i+j) = ord-of\ i @+ ord-of\ j$

<proof>

lemma *Ord-hadd*: $Ord\ x \implies Ord\ y \implies Ord\ (x @+ y)$

<proof>

lemma *hmem-self-hadd* [simp]: $k1 \in k1 @+ k2 \longleftrightarrow 0 \in k2$

<proof>

lemma *hadd-commute*: $Ord\ x \implies Ord\ y \implies x @+ y = y @+ x$

<proof>

lemma *hadd-cancel-left* [simp]: $Ord\ x \implies y @+ x = z @+ x \longleftrightarrow y = z$

<proof>

5.1.2 The predecessor function

definition *pred* :: $hf \Rightarrow hf$

where $\text{pred } x \equiv (\text{THE } y. \text{succ } y = x \vee x=0 \wedge y=0)$

lemma *pred-succ* [simp]: $\text{pred } (\text{succ } x) = x$
 $\langle \text{proof} \rangle$

lemma *pred-0* [simp]: $\text{pred } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *succ-pred* [simp]: $\text{Ord } x \implies x \neq 0 \implies \text{succ } (\text{pred } x) = x$
 $\langle \text{proof} \rangle$

lemma *pred-mem* [simp]: $\text{Ord } x \implies x \neq 0 \implies \text{pred } x \in x$
 $\langle \text{proof} \rangle$

lemma *Ord-pred* [simp]: $\text{Ord } x \implies \text{Ord } (\text{pred } x)$
 $\langle \text{proof} \rangle$

lemma *hadd-pred-right*: $\text{Ord } y \implies y \neq 0 \implies x @+ \text{pred } y = \text{pred } (x @+ y)$
 $\langle \text{proof} \rangle$

lemma *Ord-pred-HUnion*: $\text{Ord}(k) \implies \text{pred } k = \bigsqcup k$
 $\langle \text{proof} \rangle$

5.2 A Concatentation Operation for Sequences

definition *shift* :: $hf \Rightarrow hf \Rightarrow hf$
where $\text{shift } f \text{ delta} = \{v . u \in f, \exists n \ y. u = \langle n, y \rangle \wedge v = \langle \text{delta } @+ \ n, y \rangle\}$

lemma *shiftD*: $x \in \text{shift } f \text{ delta} \implies \exists u. u \in f \wedge x = \langle \text{delta } @+ \ \text{hfst } u, \text{hsnd } u \rangle$
 $\langle \text{proof} \rangle$

lemma *hmem-shift-iff*: $\langle m, y \rangle \in \text{shift } f \text{ delta} \longleftrightarrow (\exists n. m = \text{delta } @+ \ n \wedge \langle n, y \rangle \in f)$
 $\langle \text{proof} \rangle$

lemma *hmem-shift-add-iff* [simp]: $\langle \text{delta } @+ \ n, y \rangle \in \text{shift } f \text{ delta} \longleftrightarrow \langle n, y \rangle \in f$
 $\langle \text{proof} \rangle$

lemma *hrelation-shift* [simp]: $\text{hrelation } (\text{shift } f \text{ delta})$
 $\langle \text{proof} \rangle$

lemma *app-shift* [simp]: $\text{app } (\text{shift } f \text{ delta}) (k @+ j) = \text{app } f j$
 $\langle \text{proof} \rangle$

lemma *hfunction-shift-iff* [simp]: $\text{hfunction } (\text{shift } f \text{ delta}) = \text{hfunction } f$
 $\langle \text{proof} \rangle$

lemma *hdomain-shift-add*: $\text{hdomain } (\text{shift } f \text{ delta}) = \{\text{delta } @+ \ n . n \in \text{hdomain } f\}$

$\langle \text{proof} \rangle$

lemma *hdomain-shift-disjoint*: $\text{delta} \sqcap \text{hdomain} (\text{shift } f \text{ delta}) = 0$
 $\langle \text{proof} \rangle$

definition *seq-append* :: $hf \Rightarrow hf \Rightarrow hf \Rightarrow hf$
where *seq-append* $k f g \equiv \text{hrestrict } f k \sqcup \text{shift } g k$

lemma *hrelation-seq-append* [*simp*]: $\text{hrelation} (\text{seq-append } k f g)$
 $\langle \text{proof} \rangle$

lemma *Seq-append*:
assumes *Seq* $s1 k1$ *Seq* $s2 k2$
shows *Seq* $(\text{seq-append } k1 s1 s2) (k1 @+ k2)$
 $\langle \text{proof} \rangle$

lemma *app-hunion1*: $x \notin \text{hdomain } g \Longrightarrow \text{app } (f \sqcup g) x = \text{app } f x$
 $\langle \text{proof} \rangle$

lemma *app-hunion2*: $x \notin \text{hdomain } f \Longrightarrow \text{app } (f \sqcup g) x = \text{app } g x$
 $\langle \text{proof} \rangle$

lemma *Seq-append-app1*: $\text{Seq } s k \Longrightarrow l \in k \Longrightarrow \text{app } (\text{seq-append } k s s') l = \text{app } s l$
 $\langle \text{proof} \rangle$

lemma *Seq-append-app2*: $\text{Seq } s1 k1 \Longrightarrow \text{Seq } s2 k2 \Longrightarrow l = k1 @+ j \Longrightarrow \text{app } (\text{seq-append } k1 s1 s2) l = \text{app } s2 j$
 $\langle \text{proof} \rangle$

5.3 Nonempty sequences indexed by ordinals

definition *OrdDom* **where**
 $\text{OrdDom } r \equiv \forall x y. \langle x, y \rangle \in r \longrightarrow \text{Ord } x$

lemma *OrdDom-insf*: $\llbracket \text{OrdDom } s; \text{Ord } k \rrbracket \Longrightarrow \text{OrdDom } (\text{insf } s (\text{succ } k) y)$
 $\langle \text{proof} \rangle$

lemma *OrdDom-hunion* [*simp*]: $\text{OrdDom } (s1 \sqcup s2) \longleftrightarrow \text{OrdDom } s1 \wedge \text{OrdDom } s2$
 $\langle \text{proof} \rangle$

lemma *OrdDom-hrestrict*: $\text{OrdDom } s \Longrightarrow \text{OrdDom } (\text{hrestrict } s A)$
 $\langle \text{proof} \rangle$

lemma *OrdDom-shift*: $\llbracket \text{OrdDom } s; \text{Ord } k \rrbracket \Longrightarrow \text{OrdDom } (\text{shift } s k)$
 $\langle \text{proof} \rangle$

A sequence of positive length ending with y

definition $LstSeq :: hf \Rightarrow hf \Rightarrow hf \Rightarrow bool$

where $LstSeq\ s\ k\ y \equiv Seq\ s\ (succ\ k) \wedge Ord\ k \wedge \langle k, y \rangle \in s \wedge OrdDom\ s$

lemma $LstSeq\ imp\ Seq\ succ$: $LstSeq\ s\ k\ y \implies Seq\ s\ (succ\ k)$
 $\langle proof \rangle$

lemma $LstSeq\ imp\ Seq\ same$: $LstSeq\ s\ k\ y \implies Seq\ s\ k$
 $\langle proof \rangle$

lemma $LstSeq\ imp\ Ord$: $LstSeq\ s\ k\ y \implies Ord\ k$
 $\langle proof \rangle$

lemma $LstSeq\ trunc$: $LstSeq\ s\ k\ y \implies l \in k \implies LstSeq\ s\ l\ (app\ s\ l)$
 $\langle proof \rangle$

lemma $LstSeq\ insf$: $LstSeq\ s\ k\ z \implies LstSeq\ (insf\ s\ (succ\ k)\ y)\ (succ\ k)\ y$
 $\langle proof \rangle$

lemma $app\ insf\ LstSeq$: $LstSeq\ s\ k\ z \implies app\ (insf\ s\ (succ\ k)\ y)\ (succ\ k) = y$
 $\langle proof \rangle$

lemma $app\ insf2\ LstSeq$: $LstSeq\ s\ k\ z \implies k' \neq succ\ k \implies app\ (insf\ s\ (succ\ k)\ y)\ k' = app\ s\ k'$
 $\langle proof \rangle$

lemma $app\ insf\ LstSeq\ if$: $LstSeq\ s\ k\ z \implies app\ (insf\ s\ (succ\ k)\ y)\ k' = (if\ k' = succ\ k\ then\ y\ else\ app\ s\ k')$
 $\langle proof \rangle$

lemma $LstSeq\ append\ app1$:
 $LstSeq\ s\ k\ y \implies l \in succ\ k \implies app\ (seq\ append\ (succ\ k)\ s\ s')\ l = app\ s\ l$
 $\langle proof \rangle$

lemma $LstSeq\ append\ app2$:
 $\llbracket LstSeq\ s1\ k1\ y1; LstSeq\ s2\ k2\ y2; l = succ\ k1\ @+ j \rrbracket$
 $\implies app\ (seq\ append\ (succ\ k1)\ s1\ s2)\ l = app\ s2\ j$
 $\langle proof \rangle$

lemma $Seq\ append\ pair$:
 $\llbracket Seq\ s1\ k1; Seq\ s2\ (succ\ n); \langle n, y \rangle \in s2; Ord\ n \rrbracket \implies \langle k1\ @+ n, y \rangle \in (seq\ append\ k1\ s1\ s2)$
 $\langle proof \rangle$

lemma $Seq\ append\ OrdDom$: $\llbracket Ord\ k; OrdDom\ s1; OrdDom\ s2 \rrbracket \implies OrdDom\ (seq\ append\ k\ s1\ s2)$
 $\langle proof \rangle$

lemma $LstSeq\ append$:
 $\llbracket LstSeq\ s1\ k1\ y1; LstSeq\ s2\ k2\ y2 \rrbracket \implies LstSeq\ (seq\ append\ (succ\ k1)\ s1\ s2)\ (succ$

$(k1 \text{ @+ } k2)) \ y2$
 $\langle \text{proof} \rangle$

lemma *LstSeq-app [simp]*: $LstSeq \ s \ k \ y \implies app \ s \ k = y$
 $\langle \text{proof} \rangle$

5.3.1 Sequence-building operators

definition *Builds* :: $(hf \Rightarrow bool) \Rightarrow (hf \Rightarrow hf \Rightarrow hf \Rightarrow bool) \Rightarrow hf \Rightarrow hf \Rightarrow bool$
where $Builds \ B \ C \ s \ l \equiv B \ (app \ s \ l) \vee (\exists m \in l. \exists n \in l. C \ (app \ s \ l) \ (app \ s \ m) \ (app \ s \ n))$

definition *BuildSeq* :: $(hf \Rightarrow bool) \Rightarrow (hf \Rightarrow hf \Rightarrow hf \Rightarrow bool) \Rightarrow hf \Rightarrow hf \Rightarrow hf \Rightarrow bool$
where $BuildSeq \ B \ C \ s \ k \ y \equiv LstSeq \ s \ k \ y \wedge (\forall l \in succ \ k. Builds \ B \ C \ s \ l)$

lemma *BuildSeqI*: $LstSeq \ s \ k \ y \implies (\bigwedge l. l \in succ \ k \implies Builds \ B \ C \ s \ l) \implies BuildSeq \ B \ C \ s \ k \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-imp-LstSeq*: $BuildSeq \ B \ C \ s \ k \ y \implies LstSeq \ s \ k \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-imp-Seq*: $BuildSeq \ B \ C \ s \ k \ y \implies Seq \ s \ (succ \ k)$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-conj-distrib*:
 $BuildSeq \ (\lambda x. B \ x \wedge P \ x) \ (\lambda x \ y \ z. C \ x \ y \ z \wedge P \ x) \ s \ k \ y \longleftrightarrow BuildSeq \ B \ C \ s \ k \ y \wedge (\forall l \in succ \ k. P \ (app \ s \ l))$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-mono*:
assumes $y: BuildSeq \ B \ C \ s \ k \ y$
and $B: \bigwedge x. B \ x \implies B' \ x$ **and** $C: \bigwedge x \ y \ z. C \ x \ y \ z \implies C' \ x \ y \ z$
shows $BuildSeq \ B' \ C' \ s \ k \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-trunc*:
assumes $b: BuildSeq \ B \ C \ s \ k \ y$
and $l: l \in k$
shows $BuildSeq \ B \ C \ s \ l \ (app \ s \ l)$
 $\langle \text{proof} \rangle$

5.3.2 Showing that Sequences can be Constructed

lemma *Builds-insf*: $Builds \ B \ C \ s \ l \implies LstSeq \ s \ k \ z \implies l \in succ \ k \implies Builds \ B \ C \ (insf \ s \ (succ \ k) \ y) \ l$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-insf*:

assumes $b: \text{BuildSeq } B \ C \ s \ k \ z$
and $m: m \in \text{succ } k$
and $n: n \in \text{succ } k$
and $y: B \ y \vee C \ y \ (\text{app } s \ m) \ (\text{app } s \ n)$
shows $\text{BuildSeq } B \ C \ (\text{insf } s \ (\text{succ } k) \ y) \ (\text{succ } k) \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-insf1*:
assumes $b: \text{BuildSeq } B \ C \ s \ k \ z$
and $y: B \ y$
shows $\text{BuildSeq } B \ C \ (\text{insf } s \ (\text{succ } k) \ y) \ (\text{succ } k) \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-insf2*:
assumes $b: \text{BuildSeq } B \ C \ s \ k \ z$
and $m: m \in k$
and $n: n \in k$
and $y: C \ y \ (\text{app } s \ m) \ (\text{app } s \ n)$
shows $\text{BuildSeq } B \ C \ (\text{insf } s \ (\text{succ } k) \ y) \ (\text{succ } k) \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-append*:
assumes $s1: \text{BuildSeq } B \ C \ s1 \ k1 \ y1$ **and** $s2: \text{BuildSeq } B \ C \ s2 \ k2 \ y2$
shows $\text{BuildSeq } B \ C \ (\text{seq-append } (\text{succ } k1) \ s1 \ s2) \ (\text{succ } (k1 \ @+ \ k2)) \ y2$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-combine*:
assumes $b1: \text{BuildSeq } B \ C \ s1 \ k1 \ y1$ **and** $b2: \text{BuildSeq } B \ C \ s2 \ k2 \ y2$
and $y: C \ y \ y1 \ y2$
shows $\text{BuildSeq } B \ C \ (\text{insf } (\text{seq-append } (\text{succ } k1) \ s1 \ s2) \ (\text{succ } (\text{succ } (k1 \ @+ \ k2)))) \ y$
 $\langle \text{proof} \rangle$

lemma *LstSeq-1*: $\text{LstSeq } \llbracket \langle 0, y \rangle \rrbracket \ 0 \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-1*: $B \ y \implies \text{BuildSeq } B \ C \ \llbracket \langle 0, y \rangle \rrbracket \ 0 \ y$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-exI*: $B \ t \implies \exists s \ k. \text{BuildSeq } B \ C \ s \ k \ t$
 $\langle \text{proof} \rangle$

5.3.3 Proving Properties of Given Sequences

lemma *BuildSeq-succ-E*:
assumes $s: \text{BuildSeq } B \ C \ s \ k \ y$
obtains $B \ y \mid m \ n$ **where** $m \in k \ n \in k \ C \ y \ (\text{app } s \ m) \ (\text{app } s \ n)$
 $\langle \text{proof} \rangle$

lemma *BuildSeq-induct* [consumes 1, case-names B C]:

assumes major: *BuildSeq* B C s k a

and B: $\bigwedge x. B\ x \implies P\ x$

and C: $\bigwedge x\ y\ z. C\ x\ y\ z \implies P\ y \implies P\ z \implies P\ x$

shows $P\ a$

<proof>

definition *BuildSeq2* :: $[[hf, hf] \Rightarrow bool, [hf, hf, hf, hf, hf, hf] \Rightarrow bool, hf, hf, hf, hf] \Rightarrow bool$

$\Rightarrow bool$

where *BuildSeq2* B C s k y y' $\equiv$

BuildSeq ($\lambda p. \exists x\ x'. p = \langle x, x' \rangle \wedge B\ x\ x'$)

$(\lambda p\ q\ r. \exists x\ x'\ y\ y'\ z\ z'. p = \langle x, x' \rangle \wedge q = \langle y, y' \rangle \wedge r = \langle z, z' \rangle \wedge C\ x\ x'\ y\ y'\ z\ z')$
 $s\ k\ \langle y, y' \rangle$

lemma *BuildSeq2-combine*:

assumes b1: *BuildSeq2* B C s1 k1 y1 y1' and b2: *BuildSeq2* B C s2 k2 y2 y2'

and y: $C\ y\ y'\ y1\ y1'\ y2\ y2'$

shows *BuildSeq2* B C (insf (seq-append (succ k1) s1 s2) (succ (succ (k1 @+ k2)))) $\langle y, y' \rangle$

(succ (succ (k1 @+ k2))) y y'

<proof>

lemma *BuildSeq2-1*: $B\ y\ y' \implies \text{BuildSeq2}\ B\ C\ \{\langle 0, y, y' \rangle\}\ 0\ y\ y'$

<proof>

lemma *BuildSeq2-exI*: $B\ t\ t' \implies \exists s\ k. \text{BuildSeq2}\ B\ C\ s\ k\ t\ t'$

<proof>

lemma *BuildSeq2-induct* [consumes 1, case-names B C]:

assumes *BuildSeq2* B C s k a a'

and B: $\bigwedge x\ x'. B\ x\ x' \implies P\ x\ x'$

and C: $\bigwedge x\ x'\ y\ y'\ z\ z'. C\ x\ x'\ y\ y'\ z\ z' \implies P\ y\ y' \implies P\ z\ z' \implies P\ x\ x'$

shows $P\ a\ a'$

<proof>

definition *BuildSeq3*

:: $[[hf, hf, hf] \Rightarrow bool, [hf, hf, hf, hf, hf, hf, hf, hf, hf] \Rightarrow bool, hf, hf, hf, hf, hf] \Rightarrow bool$

where *BuildSeq3* B C s k y y' y'' $\equiv$

BuildSeq ($\lambda p. \exists x\ x'\ x''. p = \langle x, x', x'' \rangle \wedge B\ x\ x'\ x''$)

$(\lambda p\ q\ r. \exists x\ x'\ x''\ y\ y'\ y''\ z\ z'\ z''.$

$p = \langle x, x', x'' \rangle \wedge q = \langle y, y', y'' \rangle \wedge r = \langle z, z', z'' \rangle \wedge$

$C\ x\ x'\ x''\ y\ y'\ y''\ z\ z'\ z'')$

$s\ k\ \langle y, y', y'' \rangle$

lemma *BuildSeq3-combine*:

assumes b1: *BuildSeq3* B C s1 k1 y1 y1' y1'' and b2: *BuildSeq3* B C s2 k2 y2 y2' y2''

and $y: C\ y\ y'\ y''\ y1\ y1'\ y1''\ y2\ y2'\ y2''$
shows $BuildSeq3\ B\ C\ (insf\ (seq-append\ (succ\ k1)\ s1\ s2)\ (succ\ (succ\ (k1\ @+ k2))))\ \langle y, y', y'' \rangle$
 $(succ\ (succ\ (k1\ @+ k2)))\ y\ y'\ y''$
 $\langle proof \rangle$

lemma $BuildSeq3-1: B\ y\ y'\ y'' \implies BuildSeq3\ B\ C\ \{\langle 0, y, y', y'' \rangle\}\ 0\ y\ y'\ y''$
 $\langle proof \rangle$

lemma $BuildSeq3-exI: B\ t\ t'\ t'' \implies \exists s\ k. BuildSeq3\ B\ C\ s\ k\ t\ t'\ t''$
 $\langle proof \rangle$

lemma $BuildSeq3-induct\ [consumes\ 1,\ case-names\ B\ C]:$
assumes $BuildSeq3\ B\ C\ s\ k\ a\ a''$
and $B: \bigwedge x\ x'\ x''. B\ x\ x'\ x'' \implies P\ x\ x'\ x''$
and $C: \bigwedge x\ x'\ x''\ y\ y'\ y''\ z\ z'\ z''. C\ x\ x'\ x''\ y\ y'\ y''\ z\ z'\ z'' \implies P\ y\ y'\ y'' \implies P\ z\ z'\ z'' \implies P\ x\ x'\ x''$
shows $P\ a\ a'\ a''$
 $\langle proof \rangle$

5.4 A Unique Predecessor for every non-empty set

lemma $Rep-hf-0\ [simp]: Rep-hf\ 0 = 0$
 $\langle proof \rangle$

lemma $hmem-imp-less: x \in y \implies Rep-hf\ x < Rep-hf\ y$
 $\langle proof \rangle$

lemma $hsubset-imp-le:$
assumes $x \leq y$ **shows** $Rep-hf\ x \leq Rep-hf\ y$
 $\langle proof \rangle$

lemma $diff-hmem-imp-less: \text{assumes } x \in y \text{ shows } Rep-hf\ (y - \{x\}) < Rep-hf\ y$
 $\langle proof \rangle$

definition $least :: hf \Rightarrow hf$
where $least\ a \equiv (THE\ x. x \in a \wedge (\forall y. y \in a \longrightarrow Rep-hf\ x \leq Rep-hf\ y))$

lemma $least-equality:$
assumes $x \in a$ **and** $\bigwedge y. y \in a \implies Rep-hf\ x \leq Rep-hf\ y$
shows $least\ a = x$
 $\langle proof \rangle$

lemma $leastI2-order:$
assumes $x \in a$
and $\bigwedge y. y \in a \implies Rep-hf\ x \leq Rep-hf\ y$
and $\bigwedge z. z \in a \implies \forall y. y \in a \longrightarrow Rep-hf\ z \leq Rep-hf\ y \implies Q\ z$
shows $Q\ (least\ a)$
 $\langle proof \rangle$

lemma *nonempty-imp-ex-least*: $a \neq 0 \implies \exists x. x \in a \wedge (\forall y. y \in a \longrightarrow \text{Rep-hf } x \leq \text{Rep-hf } y)$
<proof>

lemma *least-hmem*: $a \neq 0 \implies \text{least } a \in a$
<proof>

end

Bibliography

- [1] L. Kirby. Addition and multiplication of sets. *Mathematical Logic Quarterly*, 53(1):52–65, 2007.
- [2] S. Świerczkowski. Finite sets and Gödel’s incompleteness theorems. *Dissertationes Mathematicae*, 422:1–58, 2003. <http://journals.impan.gov.pl/dm/Inf/422-0-1.html>.