

# The Falling Factorial of a Sum

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March 17, 2025

## Abstract

This entry shows that the falling factorial of a sum can be computed with an expression using binomial coefficients and the falling factorial of its summands. The entry provides three different proofs: a combinatorial proof, an induction proof and an algebraic proof using the Vandermonde identity.

The three formalizations try to follow their informal presentations from a Mathematics Stack Exchange page [1, 2, 3, 4] as close as possible. The induction and algebraic formalization end up to be very close to their informal presentation, whereas the combinatorial proof first requires the introduction of list interleavings, and significant more detail than its informal presentation.

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## 1 Proving Falling Factorial of a Sum with Combinatorics

```
theory Falling-Factorial-Sum-Combinatorics
imports
```

*Discrete-Summation.Factorials*  
*Card-Partitions.Injectivity-Solver*  
**begin**

## 1.1 Preliminaries

### 1.1.1 Addition to Factorials Theory

**lemma** *card-lists-distinct-length-eq*:  
**assumes** *finite A*  
**shows**  $\text{card } \{xs. \text{length } xs = n \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A\} = \text{ffact } n \ (\text{card } A)$   
**proof** *cases*  
**assume**  $n \leq \text{card } A$   
**have**  $\text{card } \{xs. \text{length } xs = n \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A\} = \prod \{\text{card } A - n + 1 .. \text{card } A\}$   
**using**  $\langle \text{finite } A \rangle \langle n \leq \text{card } A \rangle$  **by** (*rule card-lists-distinct-length-eq*)  
**also have**  $\dots = \text{ffact } n \ (\text{card } A)$   
**using**  $\langle n \leq \text{card } A \rangle$  **by** (*simp add: prod-rev-ffact-nat'[symmetric]*)  
**finally show** *?thesis* .  
**next**  
**assume**  $\neg n \leq \text{card } A$   
**from this**  $\langle \text{finite } A \rangle$  **have**  $\forall xs. \text{length } xs = n \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A \longrightarrow \text{False}$   
**by** (*metis card-mono distinct-card*)  
**from this** **have** *eq-empty*:  $\{xs. \text{length } xs = n \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A\} = \{\}$   
**using**  $\langle \text{finite } A \rangle$  **by** *auto*  
**from**  $\langle \neg n \leq \text{card } A \rangle$  **show** *?thesis*  
**by** (*simp add: ffact-nat-triv eq-empty*)  
**qed**

## 1.2 Interleavings of Two Lists

**inductive** *interleavings* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
**where**  
*interleavings* [] *ys ys*  
| *interleavings xs* [] *xs*  
| *interleavings xs ys zs*  $\Longrightarrow$  *interleavings (x#xs) ys (x#zs)*  
| *interleavings xs ys zs*  $\Longrightarrow$  *interleavings xs (y#ys) (y#zs)*

**lemma** *interleaving-Nil-implies-eq1*:  
**assumes** *interleavings xs ys zs*  
**assumes**  $xs = []$   
**shows**  $ys = zs$   
**using** *assms* **by** (*induct rule: interleavings.induct*) *auto*

**lemma** *interleaving-Nil-iff1*:  
*interleavings* [] *ys zs*  $\longleftrightarrow (ys = zs)$   
**using** *interleaving-Nil-implies-eq1*  
**by** (*auto simp add: interleavings.intros(1)*)

**lemma** *interleaving-Nil-implies-eq2*:  
**assumes** *interleavings xs ys zs*  
**assumes**  $ys = []$   
**shows**  $xs = zs$   
**using** *assms* **by** (*induct rule: interleavings.induct*) *auto*

**lemma** *interleaving-Nil-iff2*:  
 $interleavings\ xs\ []\ zs \longleftrightarrow (xs = zs)$   
**using** *interleaving-Nil-implies-eq2*  
**by** (*auto simp add: interleavings.intros(2)*)

**lemma** *interleavings-Cons*:  
 $\{zs. interleavings\ (x\#\!xs)\ (y\#\!ys)\ zs\} =$   
 $\{x\#\!zs \mid zs. interleavings\ xs\ (y\#\!ys)\ zs\} \cup \{y\#\!zs \mid zs. interleavings\ (x\#\!xs)\ ys\ zs\}$   
**(is**  $?S = ?expr$ **)**  
**proof**  
**show**  $?S \subseteq ?expr$   
**by** (*auto elim: interleavings.cases*)  
**next**  
**show**  $?expr \subseteq ?S$   
**by** (*auto intro: interleavings.intros*)  
**qed**

**lemma** *interleavings-filter*:  
**assumes**  $X \cap Y = \{\}$  *set*  $zs \subseteq X \cup Y$   
**shows**  $interleavings\ [z\leftarrow zs \mid z \in X]\ [z\leftarrow zs \mid z \in Y]\ zs$   
**using** *assms* **by** (*induct zs*) (*auto intro: interleavings.intros*)

**lemma** *interleavings-filter-eq1*:  
**assumes** *interleavings xs ys zs*  
**assumes**  $(\forall x \in set\ xs. P\ x) \wedge (\forall y \in set\ ys. \neg P\ y)$   
**shows** *filter P zs = xs*  
**using** *assms* **by** (*induct rule: interleavings.induct*) *auto*

**lemma** *interleavings-filter-eq2*:  
**assumes** *interleavings xs ys zs*  
**assumes**  $(\forall x \in set\ xs. \neg P\ x) \wedge (\forall y \in set\ ys. P\ y)$   
**shows** *filter P zs = ys*  
**using** *assms* **by** (*induct rule: interleavings.induct*) *auto*

**lemma** *interleavings-length*:  
**assumes** *interleavings xs ys zs*  
**shows**  $length\ xs + length\ ys = length\ zs$   
**using** *assms* **by** (*induct xs ys zs rule: interleavings.induct*) *auto*

**lemma** *interleavings-set*:  
**assumes** *interleavings xs ys zs*  
**shows**  $set\ xs \cup set\ ys = set\ zs$   
**using** *assms* **by** (*induct xs ys zs rule: interleavings.induct*) *auto*

```

lemma interleavings-distinct:
  assumes interleavings xs ys zs
  shows distinct xs ∧ distinct ys ∧ set xs ∩ set ys = {} ⟷ distinct zs
using assms interleavings-set by (induct xs ys zs rule: interleavings.induct) fast-
force+

lemma two-mutual-lists-induction:
  assumes  $\bigwedge ys. P \ [] \ ys$ 
  assumes  $\bigwedge xs. P \ xs \ []$ 
  assumes  $\bigwedge x \ xs \ y \ ys. P \ xs \ (y \# \ ys) \implies P \ (x \# \ xs) \ ys \implies P \ (x \# \ xs) \ (y \# \ ys)$ 
  shows  $P \ xs \ ys$ 
using assms by (induction-schema) (pat-completeness, lexicographic-order)

lemma finite-interleavings:
  finite {zs. interleavings xs ys zs}
proof (induct xs ys rule: two-mutual-lists-induction)
  case (1 ys)
    show ?case by (simp add: interleaving-Nil-iff1)
  next
    case (2 xs)
      then show ?case by (simp add: interleaving-Nil-iff2)
  next
    case (3 x xs y ys)
      then show ?case by (simp add: interleavings-Cons)
qed

lemma card-interleavings:
  assumes set xs ∩ set ys = {}
  shows card {zs. interleavings xs ys zs} = (length xs + length ys choose (length xs))
using assms
proof (induct xs ys rule: two-mutual-lists-induction)
  case (1 ys)
    have card {zs. interleavings [] ys zs} = card {ys}
      by (simp add: interleaving-Nil-iff1)
    also have  $\dots = (\text{length } [] + \text{length } ys \text{ choose } (\text{length } []))$  by simp
    finally show ?case .
  next
    case (2 xs)
      have card {zs. interleavings xs [] zs} = card {xs}
        by (simp add: interleaving-Nil-iff2)
      also have  $\dots = (\text{length } xs + \text{length } [] \text{ choose } (\text{length } xs))$  by simp
      finally show ?case .
  next
    case (3 x xs y ys)
      have card {zs. interleavings (x # xs) (y # ys) zs} =
        card ({x # zs | zs. interleavings xs (y # ys) zs} ∪ {y # zs | zs. interleavings (x # xs) ys zs})

```

```

    by (simp add: interleavings-Cons)
  also have ... = card {x#zs | zs. interleavings xs (y#ys) zs} + card {y#zs | zs.
interleavings (x#xs) ys zs}
  proof -
    have finite {x # zs | zs. interleavings xs (y # ys) zs}
    by (simp add: finite-interleavings)
    moreover have finite {y # zs | zs. interleavings (x # xs) ys zs}
    by (simp add: finite-interleavings)
    moreover have {x # zs | zs. interleavings xs (y # ys) zs} ∩ {y # zs | zs.
interleavings (x # xs) ys zs} = {}
    using ⟨set (x # xs) ∩ set (y # ys) = {}⟩ by auto
    ultimately show ?thesis by (simp add: card-Un-disjoint)
  qed
  also have ... = card ((λzs. x # zs) ‘ {zs. interleavings xs (y # ys) zs}) +
card ((λzs. y # zs) ‘ {zs. interleavings (x#xs) ys zs})
  by (simp add: setcompr-eq-image)
  also have ... = card {zs. interleavings xs (y # ys) zs} + card {zs. interleavings
(x#xs) ys zs}
  by (simp add: card-image)
  also have ... = (length xs + length (y # ys) choose length xs) + (length (x #
xs) + length ys choose length (x # xs))
  using 3 by simp
  also have ... = length (x # xs) + length (y # ys) choose length (x # xs) by
simp
  finally show ?case .
  qed

```

### 1.3 Cardinality of Distinct Fixed-Length Lists from a Union of Two Sets

```

lemma lists-distinct-union-by-interleavings:
  assumes  $X \cap Y = \{\}$ 
  shows {zs. length zs = n ∧ distinct zs ∧ set zs ⊆ X ∪ Y} = do {
    k ← {0..n};
    xs ← {xs. length xs = k ∧ distinct xs ∧ set xs ⊆ X};
    ys ← {ys. length ys = n - k ∧ distinct ys ∧ set ys ⊆ Y};
    {zs. interleavings xs ys zs}
  } (is ?S = ?expr)
proof
  show ?S ⊆ ?expr
  proof
    fix zs
    assume zs ∈ ?S
    from this have length zs = n and distinct zs and set zs ⊆ X ∪ Y by auto
    define xs where xs = filter (λz. z ∈ X) zs
    define ys where ys = filter (λz. z ∈ Y) zs
    have eq: [z ← zs . z ∈ Y] = [z ← zs . z ∉ X]
    using ⟨set zs ⊆ X ∪ Y⟩ ⟨X ∩ Y = {}⟩
    by (auto intro: filter-cong)
  end
end

```

```

have length xs ≤ n ∧ distinct xs ∧ set xs ⊆ X
  using ⟨length zs = n⟩ ⟨distinct zs⟩ unfolding xs-def by auto
moreover have length ys = n - length xs
  using ⟨set zs ⊆ X ∪ Y⟩ ⟨length zs = n⟩
  unfolding xs-def ys-def eq
  by (metis diff-add-inverse sum-length-filter-compl)
moreover have distinct ys ∧ set ys ⊆ Y
  using ⟨distinct zs⟩ unfolding ys-def by auto
moreover have interleavings xs ys zs
  using xs-def ys-def ⟨X ∩ Y = {}⟩ ⟨set zs ⊆ X ∪ Y⟩
  by (simp add: interleavings-filter)
ultimately show zs ∈ ?expr by force
qed
next
show ?expr ⊆ ?S
proof
  fix zs
  assume zs ∈ ?expr
  from this obtain xs ys where length xs ≤ n distinct xs set xs ⊆ X
    and length ys = n - length xs distinct ys set ys ⊆ Y interleavings xs ys zs by
auto
  have length zs = n
    using ⟨length xs ≤ n⟩ ⟨length ys = n - length xs⟩ ⟨interleavings xs ys zs⟩
    using interleavings-length by force
  moreover have distinct zs
    using ⟨distinct xs⟩ ⟨distinct ys⟩ ⟨interleavings xs ys zs⟩ ⟨set xs ⊆ X⟩ ⟨set ys
⊆ Y⟩
    using ⟨X ∩ Y = {}⟩ interleavings-distinct by fastforce
  moreover have set zs ⊆ X ∪ Y
    using ⟨interleavings xs ys zs⟩ ⟨set xs ⊆ X⟩ ⟨set ys ⊆ Y⟩ interleavings-set by
blast
  ultimately show zs ∈ ?S by blast
qed
qed

lemma interleavings-inject:
  assumes (set xs ∪ set xs') ∩ (set ys ∪ set ys') = {}
  assumes interleavings xs ys zs interleavings xs' ys' zs'
  assumes zs = zs'
  shows xs = xs' and ys = ys'
proof -
  have xs = filter (λz. z ∈ set xs ∪ set xs') zs
    using ⟨(set xs ∪ set xs') ∩ (set ys ∪ set ys') = {}⟩ ⟨interleavings xs ys zs⟩
    by (auto intro: interleavings-filter-eq1[symmetric])
  also have ... = filter (λz. z ∈ set xs ∪ set xs') zs'
    using ⟨zs = zs'⟩ by simp
  also have ... = xs'
    using ⟨(set xs ∪ set xs') ∩ (set ys ∪ set ys') = {}⟩ ⟨interleavings xs' ys' zs'⟩
    by (auto intro: interleavings-filter-eq1)

```

**finally show**  $xs = xs'$  **by** *simp*  
**have**  $ys = \text{filter } (\lambda z. z \in \text{set } ys \cup \text{set } ys') \text{ } zs$   
**using**  $\langle (\text{set } xs \cup \text{set } xs') \cap (\text{set } ys \cup \text{set } ys') = \{\} \rangle \langle \text{interleavings } xs \text{ } ys \text{ } zs \rangle$   
**by** (*auto intro: interleavings-filter-eq2[symmetric]*)  
**also have**  $\dots = \text{filter } (\lambda z. z \in \text{set } ys \cup \text{set } ys') \text{ } zs'$   
**using**  $\langle zs = zs' \rangle$  **by** *simp*  
**also have**  $\dots = ys'$   
**using**  $\langle (\text{set } xs \cup \text{set } xs') \cap (\text{set } ys \cup \text{set } ys') = \{\} \rangle \langle \text{interleavings } xs' \text{ } ys' \text{ } zs' \rangle$   
**by** (*auto intro: interleavings-filter-eq2*)  
**finally show**  $ys = ys'$  .  
**qed**

**lemma** *injectivity*:

**assumes**  $X \cap Y = \{\}$   
**assumes**  $k \in \{0..n\} \wedge k' \in \{0..n\}$   
**assumes**  $(\text{length } xs = k \wedge \text{distinct } xs \wedge \text{set } xs \subseteq X) \wedge (\text{length } xs' = k' \wedge \text{distinct } xs' \wedge \text{set } xs' \subseteq X)$   
**assumes**  $(\text{length } ys = n - k \wedge \text{distinct } ys \wedge \text{set } ys \subseteq Y) \wedge (\text{length } ys' = n - k' \wedge \text{distinct } ys' \wedge \text{set } ys' \subseteq Y)$   
**assumes**  $\text{interleavings } xs \text{ } ys \text{ } zs \wedge \text{interleavings } xs' \text{ } ys' \text{ } zs'$   
**assumes**  $zs = zs'$   
**shows**  $k = k'$  **and**  $xs = xs'$  **and**  $ys = ys'$   
**proof** –  
**from** *assms(1,3,4)* **have**  $(\text{set } xs \cup \text{set } xs') \cap (\text{set } ys \cup \text{set } ys') = \{\}$  **by** *blast*  
**from** *this* *assms(5)*  $\langle zs = zs' \rangle$  **show**  $xs = xs'$  **and**  $ys = ys'$   
**using** *interleavings-inject* **by** *fastforce+*  
**from** *this* *assms(3)* **show**  $k = k'$  **by** *auto*  
**qed**

**lemma** *finite-length-distinct*:  $\text{finite } X \implies \text{finite } \{xs. \text{length } xs = k \wedge \text{distinct } xs \wedge \text{set } xs \subseteq X\}$   
**by** (*fast elim: rev-finite-subset[OF finite-subset-distinct]*)

**lemma** *card-lists-distinct-length-eq-union*:

**assumes**  $\text{finite } X \text{ finite } Y \text{ } X \cap Y = \{\}$   
**shows**  $\text{card } \{zs. \text{length } zs = n \wedge \text{distinct } zs \wedge \text{set } zs \subseteq X \cup Y\} =$   
 $(\sum k=0..n. (n \text{ choose } k) * \text{ffact } k (\text{card } X) * \text{ffact } (n - k) (\text{card } Y))$   
**(is**  $\text{card } ?S = -)$   
**proof** –  
**let**  $?expr = \text{do } \{$   
 $k \leftarrow \{0..n\};$   
 $xs \leftarrow \{xs. \text{length } xs = k \wedge \text{distinct } xs \wedge \text{set } xs \subseteq X\};$   
 $ys \leftarrow \{ys. \text{length } ys = n - k \wedge \text{distinct } ys \wedge \text{set } ys \subseteq Y\};$   
 $\{zs. \text{interleavings } xs \text{ } ys \text{ } zs\}$   
 $\}$   
**from**  $\langle X \cap Y = \{\} \rangle$  **have**  $\text{card } ?S = \text{card } ?expr$   
**by** (*simp add: lists-distinct-union-by-interleavings*)  
**let**  $?S \gg= ?comp = ?expr$   
**{**

```

fix k
assume k ∈ ?S
let ?expr = ?comp k
let ?S ≫= ?comp = ?expr
from ⟨finite X⟩ have finite ?S by(rule finite-length-distinct)
moreover {
  fix xs
  assume xs: xs ∈ ?S
  let ?expr = ?comp xs
  let ?S ≫= ?comp = ?expr
  from ⟨finite Y⟩ have finite ?S by(rule finite-length-distinct)
  moreover {
    fix ys
    assume ys: ys ∈ ?S
    let ?expr = ?comp ys
    have finite ?expr
      by (simp add: finite-interleavings)
    moreover have card ?expr = (n choose k)
      using xs ys ⟨X ∩ Y = {}⟩ ⟨k ∈ -⟩
      by (subst card-interleavings) auto
    ultimately have finite ?expr ∧ card ?expr = (n choose k) ..
  }
  moreover have disjoint-family-on ?comp ?S
    using ⟨k ∈ {0..n}⟩ ⟨xs ∈ {xs. length xs = k ∧ distinct xs ∧ set xs ⊆ X}⟩
    by (injectivity-solver rule: injectivity(3)[OF ⟨X ∩ Y = {}⟩])
  moreover have card ?S = ffact (n - k) (card Y)
    using ⟨finite Y⟩ by (simp add: card-lists-distinct-length-eq)
  ultimately have card ?expr = (n choose k) * ffact (n - k) (card Y)
    by (subst card-bind-constant) auto
  moreover have finite ?expr
    using ⟨finite ?S⟩ by (auto intro!: finite-bind finite-interleavings)
  ultimately have finite ?expr ∧ card ?expr = (n choose k) * ffact (n - k)
(card Y)
    by blast
}
moreover have disjoint-family-on ?comp ?S
  using ⟨k ∈ {0..n}⟩
  by (injectivity-solver rule: injectivity(2)[OF ⟨X ∩ Y = {}⟩])
moreover have card ?S = ffact k (card X)
  using ⟨finite X⟩ by (simp add: card-lists-distinct-length-eq)
ultimately have card ?expr = (n choose k) * ffact k (card X) * ffact (n - k)
(card Y)
  by (subst card-bind-constant) auto
moreover have finite ?expr
  using ⟨finite ?S⟩ ⟨finite Y⟩ by (auto intro!: finite-bind finite-interleavings
finite-length-distinct)
ultimately have finite ?expr ∧ card ?expr = (n choose k) * ffact k (card X)
* ffact (n - k) (card Y)
  by blast

```



```

}
moreover have disjoint-family-on ?comp ?S
  by (injectivity-solver rule: injectivity(1)[OF ⟨X ∩ Y = {}⟩])
ultimately have card ?expr = (∑ k=0..n. (n choose k) * ffact k (card X) *
ffact (n - k) (card Y))
  by (auto simp add: card-bind)
from ⟨card - = card ?expr⟩ this show ?thesis by simp
qed

lemma
  ffact n (x + y) = (∑ k=0..n. (n choose k) * ffact k x * ffact (n - k) y)
proof -
  define X where X = {..define Y where Y = {x..have finite X and card X = x unfolding X-def by auto
  have finite Y and card Y = y unfolding Y-def by auto
  have X ∩ Y = {} unfolding X-def Y-def by auto
  have ffact n (x + y) = ffact n (card X + card Y)
    using ⟨card X = x⟩ ⟨card Y = y⟩ by simp
  also have ... = ffact n (card (X ∪ Y))
    using ⟨X ∩ Y = {}⟩ ⟨finite X⟩ ⟨finite Y⟩ by (simp add: card-Un-disjoint)
  also have ... = card {xs. length xs = n ∧ distinct xs ∧ set xs ⊆ X ∪ Y}
    using ⟨finite X⟩ ⟨finite Y⟩ by (simp add: card-lists-distinct-length-eq)
  also have ... = (∑ k=0..n. (n choose k) * ffact k (card X) * ffact (n - k) (card
Y))
    using ⟨X ∩ Y = {}⟩ ⟨finite X⟩ ⟨finite Y⟩ by (simp add: card-lists-distinct-length-eq-union)
  also have ... = (∑ k=0..n. (n choose k) * ffact k x * ffact (n - k) y)
    using ⟨card X = x⟩ ⟨card Y = y⟩ by simp
  finally show ?thesis .
qed

end

```

## 2 Proving Falling Factorial of a Sum with Induction

```

theory Falling-Factorial-Sum-Induction
imports
  Discrete-Summation.Factorials
begin

```

Note the potentially special copyright license condition of the following proof.

```

lemma ffact-add-nat:
  ffact n (x + y) = (∑ k=0..n. (n choose k) * ffact k x * ffact (n - k) y)
proof (induct n)
  case 0
  show ?case by simp

```

```

next
  case (Suc n)
  let ?s =  $\lambda k. (n \text{ choose } k) * \text{ffact } k \ x * \text{ffact } (n - k) \ y$ 
  let ?t =  $\lambda k. \text{ffact } k \ x * \text{ffact } (Suc \ n - k) \ y$ 
  let ?u =  $\lambda k. \text{ffact } (Suc \ k) \ x * \text{ffact } (n - k) \ y$ 
  have  $\text{ffact } (Suc \ n) \ (x + y) = (x + y - n) * \text{ffact } n \ (x + y)$ 
    by (simp add: ffact-Suc-rev-nat)
  also have  $\dots = (x + y - n) * (\sum k = 0..n. (n \text{ choose } k) * \text{ffact } k \ x * \text{ffact } (n - k) \ y)$ 
  using Suc.hyps by simp
  also have  $\dots = (\sum k = 0..n. ?s \ k * (x + y - n))$ 
    by (simp add: mult.commute sum-distrib-left)
  also have  $\dots = (\sum k = 0..n. ?s \ k * ((y + k - n) + (x - k)))$ 
  proof -
    have  $?s \ k * (x + y - n) = ?s \ k * ((y + k - n) + (x - k))$  for k
      by (cases  $k \leq x \vee n - k \leq y$ ) (auto simp add: ffact-nat-triv)
    from this show ?thesis
      by (auto intro: sum.cong simp only: refl)
  qed
  also have  $\dots = (\sum k = 0..n. (n \text{ choose } k) * (?t \ k + ?u \ k))$ 
    by (auto intro: sum.cong simp add: Suc-diff-le ffact-Suc-rev-nat) algebra
  also have  $\dots = (\sum k = 0..n. (n \text{ choose } k) * ?t \ k) + (\sum k = 0..n. (n \text{ choose } k) * ?u \ k)$ 
  by (simp add: sum.distrib add-mult-distrib2 mult.commute mult.left-commute)
  also have  $\dots = ?t \ 0 + (\sum k = 0..n. ((n \text{ choose } k) + (n \text{ choose } Suc \ k)) * ?u \ k)$ 
  proof -
    have  $\dots = (?t \ 0 + (\sum k = 0..n. (n \text{ choose } Suc \ k) * ?u \ k)) + (\sum k = 0..n. (n \text{ choose } k) * ?u \ k)$ 
    proof -
      have  $(\sum k = Suc \ 0..n. (n \text{ choose } k) * ?t \ k) = (\sum k = 0..n. (n \text{ choose } Suc \ k) * ?t \ k)$ 
      proof -
        have  $(\sum k = Suc \ 0..n. (n \text{ choose } k) * ?t \ k) = (\sum k = Suc \ 0..Suc \ n. (n \text{ choose } k) * ?t \ k)$ 
        by simp
        also have  $\dots = (\text{sum } ((\lambda k. (n \text{ choose } k) * ?t \ k) \circ Suc) \ \{0..n\})$ 
        by (simp only: sum.reindex[symmetric, of Suc] inj-Suc image-Suc-atLeastAtMost)
        also have  $\dots = (\sum k = 0..n. (n \text{ choose } Suc \ k) * ?u \ k)$ 
        by simp
        finally show ?thesis .
      qed
    qed
  qed
  from this show ?thesis
    by (simp add: sum.atLeast-Suc-atMost[of - -  $\lambda k. (n \text{ choose } k) * ?t \ k$ ])
  qed
  also have  $\dots = ?t \ 0 + (\sum k = 0..n. ((n \text{ choose } k) + (n \text{ choose } Suc \ k)) * ?u \ k)$ 
  by (simp add: distrib-right sum.distrib)
  finally show ?thesis .
qed

```

```

also have ... = (∑ k = 0..Suc n. (Suc n choose k) * ffact k x * ffact (Suc n -
k) y)
proof -
  let ?v = λk. (Suc n choose k) * ffact k x * ffact (Suc n - k) y
  have ... = ?v 0 + (∑ k = 0..n. (Suc n choose (Suc k)) * ?u k)
    by simp
  also have ... = ?v 0 + (∑ k = Suc 0..Suc n. ?v k)
    by (simp only: sum.shift-bounds-cl-Suc-ivl diff-Suc-Suc mult.assoc)
  also have ... = (∑ k = 0..Suc n. (Suc n choose k) * ffact k x * ffact (Suc n
- k) y)
    by (simp add: sum.atLeast-Suc-atMost)
  finally show ?thesis .
qed
finally show ?case .
qed

```

**lemma** ffact-add:

```

fixes x y :: 'a::{ab-group-add, comm-semiring-1-cancel, ring-1}
shows ffact n (x + y) = (∑ k=0..n. of-nat (n choose k) * ffact k x * ffact (n -
k) y)
proof (induct n)
  case 0
    show ?case by simp
next
  case (Suc n)
    let ?s = λk. of-nat (n choose k) * ffact k x * ffact (n - k) y
    let ?t = λk. ffact k x * ffact (Suc n - k) y
    let ?u = λk. ffact (Suc k) x * ffact (n - k) y
    have ffact (Suc n) (x + y) = (x + y - of-nat n) * ffact n (x + y)
      by (simp add: ffact-Suc-rev)
    also have ... = (x + y - of-nat n) * (∑ k = 0..n. of-nat (n choose k) * ffact k
x * ffact (n - k) y)
      using Suc.hyps by simp
    also have ... = (∑ k = 0..n. ?s k * (x + y - of-nat n))
      by (simp add: mult.commute sum-distrib-left)
    also have ... = (∑ k = 0..n. ?s k * ((y + of-nat k - of-nat n) + (x - of-nat
k)))
      by (auto intro: sum.cong simp add: diff-add-eq add-diff-eq add.commute)
    also have ... = (∑ k = 0..n. of-nat (n choose k) * (?t k + ?u k))
proof -
  {
    fix k
    assume k ≤ n
    have ?u k = ffact k x * ffact (n - k) y * (x - of-nat k)
    by (simp add: ffact-Suc-rev Suc-diff-le of-nat-diff mult.commute mult.left-commute)
    moreover from ⟨k ≤ n⟩ have ?t k = ffact k x * ffact (n - k) y * (y +
of-nat k - of-nat n)
    by (simp add: ffact-Suc-rev Suc-diff-le of-nat-diff diff-diff-eq2 mult.commute)
  }

```

```

mult.left-commute)
  ultimately have
    ?s k * ((y + of-nat k - of-nat n) + (x - of-nat k)) = of-nat (n choose k)
  * (?t k + ?u k)
  by (metis (no-types, lifting) distrib-left mult.assoc)
}
from this show ?thesis by (auto intro: sum.cong)
qed
also have ... = (∑ k = 0..n. of-nat (n choose k) * ?t k) + (∑ k = 0..n. of-nat
(n choose k) * ?u k)
  by (simp add: sum.distrib distrib-left mult.commute mult.left-commute)
also have ... = ?t 0 + (∑ k = 0..n. of-nat ((n choose k) + (n choose Suc k))
* ?u k)
proof -
  have ... = (?t 0 + (∑ k = 0..n. of-nat (n choose Suc k) * ?u k)) + (∑ k =
0..n. of-nat (n choose k) * ?u k)
  proof -
    have (∑ k = Suc 0..n. of-nat (n choose k) * ?t k) = (∑ k = 0..n. of-nat (n
choose Suc k) * ?u k)
    proof -
      have (∑ k = Suc 0..n. of-nat (n choose k) * ?t k) = (∑ k = Suc 0..Suc n.
of-nat (n choose k) * ?t k)
      by (simp add: binomial-eq-0)
      also have ... = (sum ((λk. of-nat (n choose k) * ?t k) o Suc) {0..n})
      by (simp only: sum.reindex[symmetric, of Suc] inj-Suc image-Suc-atLeastAtMost)
      also have ... = (∑ k = 0..n. of-nat (n choose Suc k) * ?u k)
      by simp
      finally show ?thesis .
    qed
  from this show ?thesis
  by (simp add: sum.atLeast-Suc-atMost[of - - λk. of-nat (n choose k) * ?t k])
qed
also have ... = ?t 0 + (∑ k = 0..n. of-nat ((n choose k) + (n choose Suc k))
* ?u k)
  by (simp add: distrib-right sum.distrib)
finally show ?thesis .
qed
also have ... = (∑ k = 0..Suc n. of-nat (Suc n choose k) * ffact k x * ffact (Suc
n - k) y)
proof -
  let ?v = λk. of-nat (Suc n choose k) * ffact k x * ffact (Suc n - k) y
  have ... = ?v 0 + (∑ k = 0..n. of-nat (Suc n choose (Suc k)) * ?u k)
  by simp
  also have ... = ?v 0 + (∑ k = Suc 0..Suc n. ?v k)
  by (simp only: sum.shift-bounds-cl-Suc-ivl diff-Suc-Suc mult.assoc)
  also have ... = (∑ k = 0..Suc n. of-nat (Suc n choose k) * ffact k x * ffact
(Suc n - k) y)
  by (simp add: sum.atLeast-Suc-atMost)
  finally show ?thesis .

```

```

qed
finally show ?case .
qed
end

```

### 3 Proving Falling Factorial of a Sum with Vandermonde Identity

```

theory Falling-Factorial-Sum-Vandermonde
imports
  Discrete-Summation.Factorials
begin

```

Note the potentially special copyright license condition of the following proof.

```

lemma ffact-add-nat:
  shows ffact k (n + m) = (∑ i≤k. (k choose i) * ffact i n * ffact (k - i) m)
proof -
  have ffact k (n + m) = fact k * ((n + m) choose k)
    by (simp only: ffact-eq-fact-mult-binomial)
  also have ... = fact k * (∑ i≤k. (n choose i) * (m choose (k - i)))
    by (simp only: vandermonde)
  also have ... = (∑ i≤k. fact k * (n choose i) * (m choose (k - i)))
    by (simp add: sum-distrib-left field-simps)
  also have ... = (∑ i≤k. (fact i * fact (k - i) * (k choose i)) * (n choose i) *
(m choose (k - i)))
    by (simp add: binomial-fact-lemma)
  also have ... = (∑ i≤k. (k choose i) * (fact i * (n choose i)) * (fact (k - i) *
(m choose (k - i))))
    by (auto intro: sum.cong)
  also have ... = (∑ i≤k. (k choose i) * ffact i n * ffact (k - i) m)
    by (simp only: ffact-eq-fact-mult-binomial)
  finally show ?thesis .
qed
end

```

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