

Proving a data flow analysis algorithm for computing dominators

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Abstract

This entry formalises a fast iterative algorithm for computing dominators [1]. It gives a specification of computing dominators on a control flow graph where each node refers to its reverse post order number. A semilattice of reversed-ordered list which represents dominators is built and a Kildall's algorithm on the semilattice is defined for computing dominators. Finally the soundness and completeness of the algorithm are proved w.r.t. the specification.

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1 The specification of computing dominators

```
theory Cfg
imports Main
begin
```

The specification of computing dominators is defined. For fast data flow analysis presented by CHK [1], a directed graph with explicit node list and

sets of initial nodes is defined. Each node refers to its rPO (reverse PostOrder) number w.r.t a DFST, and related properties as assumptions are handled using a locale.

type-synonym *'a digraph* = (*'a* × *'a*) *set*

record *'a graph-rec* =

g-V :: *'a list*
g-V0 :: *'a set*
g-E :: *'a digraph*

tail :: *'a* × *'a* ⇒ *'a*
head :: *'a* × *'a* ⇒ *'a*

definition *wf-cfg* :: *'a graph-rec* ⇒ *bool* **where**

wf-cfg *G* ≡ *g-V0* *G* ⊆ *set*(*g-V* *G*)

type-synonym *node* = *nat*

locale *cfg-doms* =

— Nodes are rPO numbers

fixes *G* :: *nat graph-rec* (**structure**)

— General properties

assumes *wf-cfg*: *wf-cfg* *G*

assumes *tail[simp]*: *e* = (*u,v*) ⇒ *tail* *G* *e* = *u*

assumes *head[simp]*: *e* = (*u,v*) ⇒ *head* *G* *e* = *v*

assumes *tail-in-verts[simp]*: *e* ∈ *g-E* *G* ⇒ *tail* *G* *e* ∈ *set* (*g-V* *G*)

assumes *head-in-verts[simp]*: *e* ∈ *g-E* *G* ⇒ *head* *G* *e* ∈ *set* (*g-V* *G*)

— Properties of a cfg where nodes are rPO numbers

assumes *entry0*: *g-V0* *G* = {0}

assumes *dfst*: ∀ *v* ∈ *set* (*g-V* *G*) − {0}. ∃ *prev*. (*prev*, *v*) ∈ *g-E* *G* ∧ *prev* < *v*

assumes *reachable*: ∀ *v* ∈ *set* (*g-V* *G*). *v* ∈ (*g-E* *G*)^{*} “ {0}

assumes *verts*: *g-V* *G* = [0 ..< (*length* (*g-V* *G*))]

— It is required that the entry node has an immediate successor which is not itself; Otherwise, no need to compute dominators It is required for proving the lemma: "wf_dom start (unstables r step start)".

assumes *succ-of-entry0*: ∃ *s*. (0,*s*) ∈ *g-E* *G* ∧ *s* ≠ 0

begin

inductive *path-entry* :: *nat digraph* ⇒ *nat list* ⇒ *nat* ⇒ *bool* **for** *E* **where**

path-entry0: *path-entry* *E* [] 0

| *path-entry-prepend*: [(u,v) ∈ *E*; *path-entry* *E* l u] ⇒ *path-entry* *E* (u#l) *v*

lemma *path-entry0-empty-conv*: *path-entry* *E* [] *v* ⇔ *v* = 0

by (*auto intro: path-entry0 elim: path-entry.cases*)

inductive-cases *path-entry-uncons*: *path-entry* $E (u' \# l) w$
inductive-simps *path-entry-cons-conv*: *path-entry* $E (u' \# l) w$

lemma *single-path-entry*: *path-entry* $E [p] w \implies p = 0$
by (*simp add: path-entry-cons-conv path-entry0-empty-conv*)

lemma *path-entry-append*:
 $\llbracket \text{path-entry } E \text{ } l \text{ } v; (v, w) \in E \rrbracket \implies \text{path-entry } E (v \# l) w$
by (*rule path-entry-prepend*)

lemma *entry-rtranc1-is-path*:
assumes $(0, v) \in E^*$
obtains p **where** *path-entry* $E p v$
using *assms*
by *induct* (*auto intro: path-entry0 path-entry-prepend*)

lemma *path-entry-is-tranc1*:
assumes *path-entry* $E l v$
and $l \neq []$
shows $(0, v) \in E^+$
using *assms*
apply *induct*
apply *auto* []
apply (*case-tac l*)
apply (*auto simp add: path-entry0-empty-conv*)
done

lemma *tail-is-vert*: $(u, v) \in g\text{-}E \text{ } G \implies u \in \text{set } (g\text{-}V \text{ } G)$
by (*auto dest: tail-in-verts[of (u, v)]*)

lemma *head-is-vert*: $(u, v) \in g\text{-}E \text{ } G \implies v \in \text{set } (g\text{-}V \text{ } G)$
by (*auto dest: head-in-verts[of (u, v)]*)

lemma *tail-is-vert2*: $(u, v) \in (g\text{-}E \text{ } G)^+ \implies u \in \text{set } (g\text{-}V \text{ } G)$
by (*induct rule: tranc1.induct*)(*auto dest: tail-in-verts*)

lemma *head-is-vert2*: $(u, v) \in (g\text{-}E \text{ } G)^+ \implies v \in \text{set } (g\text{-}V \text{ } G)$
by (*induct rule: tranc1.induct*)(*auto dest: head-in-verts*)

lemma *verts-set*: $\text{set } (g\text{-}V \text{ } G) = \{0 \dots \text{length } (g\text{-}V \text{ } G)\}$
proof –
from *verts* **have** $\text{set } (g\text{-}V \text{ } G) = \text{set } [0 \dots (\text{length } (g\text{-}V \text{ } G))]$ **by** *simp*
also **have** $\text{set } [0 \dots (\text{length } (g\text{-}V \text{ } G))] = \{0 \dots (\text{length } (g\text{-}V \text{ } G))\}$ **by** *simp*
ultimately **show** *?thesis* **by** *auto*
qed

lemma *fin-verts*: *finite* ($\text{set } (g\text{-}V \text{ } G)$)
by (*auto*)

```

lemma zero-in-verts:  $0 \in \text{set } (g\text{-}V\ G)$ 
  using wf-cfg entry0 by (unfold wf-cfg-def) auto

lemma verts-not-empty:  $g\text{-}V\ G \neq []$ 
  using zero-in-verts by auto

lemma len-verts-gt0:  $\text{length } (g\text{-}V\ G) > 0$ 
  by (simp add:verts-not-empty)

lemma len-verts-gt1:  $\text{length } (g\text{-}V\ G) > 1$ 
proof–
  from succ-of-entry0 obtain s where  $s \in \text{set } (g\text{-}V\ G)$  and  $s \neq 0$  using head-is-vert
by auto
  with zero-in-verts have  $\{0, s\} \subseteq \text{set } (g\text{-}V\ G)$  and  $c: \text{card } \{0, s\} > 1$  by auto
  then have  $\text{card } \{0, s\} \leq \text{card } (\text{set } (g\text{-}V\ G))$  by (auto simp add:card-mono)
  with c have  $\text{card } (\text{set } (g\text{-}V\ G)) > 1$  by simp
  then show ?thesis using card-length[of g-V G] by auto
qed

lemma verts-ge-Suc0 :  $\neg [0..<\text{length } (g\text{-}V\ G)] = [0]$ 
proof
  assume  $[0..<\text{length } (g\text{-}V\ G)] = [0]$ 
  then have  $\text{length } [0..<\text{length } (g\text{-}V\ G)] = 1$  by simp
  with len-verts-gt1 show False by auto
qed

lemma distinct-verts1:  $\text{distinct } [0..<\text{length } (g\text{-}V\ G)]$ 
  by simp

lemma distinct-verts2:  $\text{distinct } (g\text{-}V\ G)$ 
  by (insert distinct-verts1 verts) simp

lemma single-entry:  $\text{is-singleton } (g\text{-}V0\ G)$ 
  by (simp add:entry0)

lemma entry-is-0:  $\text{the-elem } (g\text{-}V0\ G) = 0$ 
  by (simp add: entry0)

lemma wf-digraph: cfg-doms G by intro-locales

lemma path-entry-prepend-conv:  $\text{path-entry } (g\text{-}E\ G)\ p\ n \implies p \neq [] \implies \exists v.$ 
 $\text{path-entry } (g\text{-}E\ G)\ (\text{tl } p)\ v \wedge (v, n) \in (g\text{-}E\ G)$ 
proof (induct rule:path-entry.induct)
  case path-entry0 then show ?case by auto
next
  case (path-entry-prepend u v l)
  then show ?case by auto
qed

```

lemma *path-verts*: $\text{path-entry } (g\text{-}E \ G) \ p \ n \implies n \in \text{set } (g\text{-}V \ G)$
proof (*cases* $p = []$)
 case *True*
 assume $\text{path-entry } (g\text{-}E \ G) \ p \ n$ **and** $p = []$
 then show *?thesis* **by** (*simp add: path-entry0-empty-conv zero-in-verts*)
next
 case *False*
 assume $\text{path-entry } (g\text{-}E \ G) \ p \ n$ **and** $p \neq []$
 then have $(0, n) \in (g\text{-}E \ G)^+$ **by** (*auto simp add: path-entry-is-trancl*)
 then show *?thesis* **using** *head-is-vert2* **by** *simp*
qed

lemma *path-in-verts*:
 assumes $\text{path-entry } (g\text{-}E \ G) \ l \ v$
 shows $\text{set } l \subseteq \text{set } (g\text{-}V \ G)$
 using *assms*
proof (*induct rule: path-entry.induct*)
 case *path-entry0* **then show** *?case* **by** *auto*
next
 case (*path-entry-prepend* $u \ v \ l$)
 then show *?case* **using** *path-verts* **by** *auto*
qed

lemma *any-node-exits-path*:
 assumes $v \in \text{set } (g\text{-}V \ G)$
 shows $\exists p. \text{path-entry } (g\text{-}E \ G) \ p \ v$
 using *assms*
proof (*cases* $v = 0$)
 assume $v \in \text{set } (g\text{-}V \ G)$ **and** $v = 0$
 have $\text{path-entry } (g\text{-}E \ G) \ [] \ 0$ **by** (*auto simp add: path-entry0*)
 then show *?thesis* **using** $\langle v = 0 \rangle$ **by** *auto*
next
 assume $v \in \text{set } (g\text{-}V \ G)$ **and** $v \neq 0$
 with *reachable* **have** $v \in (g\text{-}E \ G)^*$ “ $\{0\}$ **by** *auto*
 then have $(0, v) \in (g\text{-}E \ G)^*$ **by** (*simp add: Image-iff*)
 then show *?thesis* **by** (*auto intro: entry-rtrancl-is-path*)
qed

lemma *entry0-path*: $\text{path-entry } (g\text{-}E \ G) \ [] \ 0$
 by (*auto simp add: path-entry.path-entry0*)

definition *reachable* :: $\text{node} \Rightarrow \text{bool}$
 where $\text{reachable } v \equiv v \in (g\text{-}E \ G)^*$ “ $\{0\}$

lemma *path-entry-reachable*:
 assumes $\text{path-entry } (g\text{-}E \ G) \ p \ n$
 shows *reachable* n
 using *assms reachable*

by (fastforce intro:path-verts simp add:reachable-def)

lemma nin-nodes-reachable: $n \notin \text{set } (g-V \ G) \implies \neg \text{reachable } n$

proof(rule ccontr)

 assume $n \notin \text{set } (g-V \ G)$ and nn: $\neg \neg \text{reachable } n$

 from $\langle n \notin \text{set } (g-V \ G) \rangle$ have $n \neq 0$ using verts-set len-verts-gt0 entry0 by auto

 from nn have reachable n by auto

 then have $n \in (g-E \ G)^*$ “ $\{0\}$ ” by (simp add: reachable-def)

 then have $(0, n) \in (g-E \ G)^*$ by (auto simp add: Image-def)

 with $\langle n \neq 0 \rangle$ have $\exists n'. (0, n') \in (g-E \ G)^* \wedge (n', n) \in (g-E \ G)$ by (auto intro:rtranclE)

 then obtain n' where $(0, n') \in (g-E \ G)^*$ and $(n', n) \in (g-E \ G)$ by auto

 then have $n \in \text{set } (g-V \ G)$ using head-is-vert by auto

 with $\langle n \notin \text{set } (g-V \ G) \rangle$ show False by auto

qed

lemma reachable-path-entry: $\text{reachable } n \implies \exists p. \text{path-entry } (g-E \ G) \ p \ n$

proof–

 assume reachable n

 then have $(0, n) \in (g-E \ G)^*$ by (auto simp add: reachable-def Image-iff)

 then have $0 = n \vee 0 \neq n \wedge (0, n) \in (g-E \ G)^+$ by (auto simp add: rtrancl-eq-or-trancl)

 then show ?thesis

proof

 assume $0 = n$

 have path-entry $(g-E \ G) \ [] \ 0$ by (simp add: path-entry0)

 with $\langle 0 = n \rangle$ show ?thesis by auto

 next

 assume $0 \neq n \wedge (0, n) \in (g-E \ G)^+$

 then have $(0, n) \in (g-E \ G)^+$ by (auto simp add: rtranclpD)

 then have $n \in \text{set } (g-V \ G)$ by (simp add: head-is-vert2)

 then show ?thesis by (rule any-node-exits-path)

qed

qed

lemma path-entry-unconc:

 assumes path-entry $(g-E \ G) \ (la@lb) \ w$

 obtains v where path-entry $(g-E \ G) \ lb \ v$

 using assms

 apply (induct la@lb w arbitrary:la lb rule: path-entry.induct)

 apply (fastforce intro:path-entry.intros)

 by (auto intro:path-entry.intros iff add: Cons-eq-append-conv)

lemma path-entry-append-conv:

 path-entry $(g-E \ G) \ (v\#l) \ w \longleftrightarrow (\text{path-entry } (g-E \ G) \ l \ v \wedge (v, w) \in (g-E \ G))$

proof

 assume path-entry $(g-E \ G) \ (v\#l) \ w$

 then show path-entry $(g-E \ G) \ l \ v \wedge (v, w) \in g-E \ G$

```

    by (auto simp add:path-entry-cons-conv)
next
  assume path-entry (g-E G) l v  $\wedge$  (v, w)  $\in$  g-E G
  then show path-entry (g-E G) (v # l) w by (fastforce intro: path-entry-append)
qed

lemma takeWhileNot-path-entry:
  assumes path-entry E p x
    and v  $\in$  set p
    and takeWhile (( $\neq$ ) v) (rev p) = c
  shows path-entry E (rev c) v
  using assms
proof (induct rule: path-entry.induct)
  case path-entry0
  then show ?case by auto
next
  case (path-entry-prepend u va l)
  then show ?case
proof (cases v  $\in$  set l)
  case True note v-in = this
  then have takeWhile (( $\neq$ ) v) (rev (u # l)) = takeWhile (( $\neq$ ) v) (rev l) by
    auto
  with path-entry-prepend.prem1 have takeWhile (( $\neq$ ) v) (rev l) = c by simp
  with v-in show ?thesis using path-entry-prepend.hyps(3) by auto
next
  case False note v-nin = this
  with path-entry-prepend.prem1 have v-u: v = u by auto
  then have take-eq: takeWhile (( $\neq$ ) v) (rev (u # l)) = takeWhile (( $\neq$ ) v) ((rev
l) @ [u]) by auto
  from v-nin have  $\bigwedge x. x \in \text{set } (\text{rev } l) \implies ((\neq) v) x$  by auto
  then have takeWhile (( $\neq$ ) v) ((rev l) @ [u]) = (rev l) @ takeWhile (( $\neq$ ) v) [u]
    by (rule takeWhile-append2) simp
  with v-u take-eq have takeWhile (( $\neq$ ) v) (rev (u # l)) = (rev l) by simp
  then show ?thesis using path-entry-prepend.prem1 path-entry-prepend.hyps(2)
v-u by auto
qed
qed

lemma path-entry-last: path-entry (g-E G) p n  $\implies$  p  $\neq$  []  $\implies$  last p = 0
  apply (induct rule: path-entry.induct)
  apply simp
  apply (simp add: path-entry-cons-conv neq-Nil-conv)
  apply (auto simp add:path-entry0-empty-conv)
  done

lemma path-entry-loop:
  assumes n-path: path-entry (g-E G) p n
    and n: n  $\in$  set p
  shows  $\exists p'. \text{path-entry } (g-E G) p' n \wedge n \notin \text{set } p'$ 

```

using *assms*
proof –
 let $?c = \text{takeWhile } ((\neq) \ n) \ (\text{rev } (p))$
 have $\forall z \in \text{set } ?c. z \neq n$ **by** (*auto dest: set-takeWhileD*)
 then have $n\text{-nin}: n \notin \text{set } (\text{rev } ?c)$ **by** *auto*

 from $n\text{-path}$ **obtain** v **where** $\text{path-entry } (g\text{-}E \ G) \ (p) \ v$ **using** *path-entry-prepend-conv*
by *auto*
 with n **have** $\text{path-entry } (g\text{-}E \ G) \ (\text{rev } ?c) \ n$ **by** (*auto intro: takeWhileNot-path-entry*)

 with $n\text{-nin}$ **show** *?thesis* **by** *fastforce*
qed

lemma *path-entry-hd-edge*:
 assumes $\text{path-entry } (g\text{-}E \ G) \ pa \ p$
 and $pa \neq []$
 shows $(\text{hd } pa, p) \in (g\text{-}E \ G)$
using *assms*
by (*induct rule: path-entry.induct*) *auto*

lemma *path-entry-edge*:
 assumes $pa \neq []$
 and $\text{path-entry } (g\text{-}E \ G) \ pa \ p$
 shows $\exists u \in \text{set } pa. (\text{path-entry } (g\text{-}E \ G) \ (\text{rev } (\text{takeWhile } ((\neq) \ u) \ (\text{rev } pa))) \ u) \wedge$
 $(u, p) \in (g\text{-}E \ G)$
using *assms*
proof–
 from *assms* **have** $1: \text{path-entry } (g\text{-}E \ G) \ (\text{rev } (\text{takeWhile } ((\neq) \ (\text{hd } pa)) \ (\text{rev } pa)))$
 $(\text{hd } pa)$ **by** (*auto intro: takeWhileNot-path-entry*)
 from *assms* **have** $2: (\text{hd } pa, p) \in (g\text{-}E \ G)$ **by** (*auto intro: path-entry-hd-edge*)
 have $\text{hd } pa \in \text{set } pa$ **using** *assms(1)* **by** *auto*
 with $1 \ 2$ **show** *?thesis* **by** *auto*
qed

definition *is-tail* :: $\text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
 where $\text{is-tail } v \ e = (v = \text{tail } G \ e)$

definition *is-head* :: $\text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
 where $\text{is-head } v \ e = (v = \text{head } G \ e)$

definition *succs*:: $\text{node} \Rightarrow \text{node set}$
 where $\text{succs } v = (g\text{-}E \ G) \ \text{“} \ \{v\}$

lemma *succ-in-verts*: $s \in \text{succs } n \implies \{s, n\} \subseteq \text{set } (g\text{-}V \ G)$
by (*simp add: succs-def tail-is-vert head-is-vert*)

lemma *succ0-not-nil*: $\text{succs } 0 \neq \{\}$
using *succ-of-entry0* **by** (*auto simp add: succs-def*)

definition *prevs*:: $node \Rightarrow node\ set$ **where**
prevs *v* = (*converse* (*g-E* *G*))“ {*v*}

lemma $v \in succs\ u \longleftrightarrow u \in prevs\ v$
by (*auto simp add:succs-def prevs-def*)

lemma *succ-edge*: $\forall v \in succs\ n. (n, v) \in g-E\ G$
by (*auto simp add:succs-def*)

lemma *prev-edge*: $u \in set\ (g-V\ G) \implies \forall v \in prevs\ u. (v, u) \in g-E\ G$
by (*auto simp add:prevs-def*)

lemma *succ-in-G*: $\forall v \in succs\ n. v \in set\ (g-V\ G)$
by (*auto simp add: succs-def dest:head-in-verts*)

lemma *succ-is-subset-of-verts*: $\forall v \in set\ (g-V\ G). succs\ v \subseteq set(g-V\ G)$
by (*insert succ-in-G*) *auto*

lemma *fin-succs*: $\forall v \in set\ (g-V\ G). finite\ (succs\ v)$
by (*insert succ-is-subset-of-verts*) (*auto intro:rev-finite-subset*)

lemma *fin-succs'*: $v < length\ (g-V\ G) \implies finite\ (succs\ v)$
by (*subgoal-tac v \in set (g-V G)*)
(auto simp add: fin-succs verts-set)

lemma *succ-range*: $\forall v \in succs\ n. v < length\ (g-V\ G)$
by (*insert succ-in-G verts-set*) *auto*

lemma *path-entry-gt*:
assumes $\forall p. path-entry\ E\ p\ n \longrightarrow d \in set\ p$
and $\forall p. path-entry\ E\ p\ n' \longrightarrow n \in set\ p$
shows $\forall p. path-entry\ E\ p\ n' \longrightarrow d \in set\ p$
using *assms*
proof (*intro strip*)
fix *p*
let *?npath* = *takeWhile* ($(\neq)\ n$) (*rev* *p*)
have *sub*: $set\ ?npath \subseteq set\ p$ **apply** (*induct p*) **by** (*auto dest:set-takeWhileD*)

assume *ass*: *path-entry* *E* *p* *n'*
with *assms*(2) **have** *n-in-p*: $n \in set\ p$ **by** *auto*
then **have** $n \in set\ (rev\ p)$ **by** *auto*
with *ass* **have** *path-entry* *E* (*rev* *?npath*) *n*
using *takeWhileNot-path-entry* **by** *auto*
with *assms*(1) **have** $d \in set\ ?npath$ **by** *fastforce*
with *sub* **show** $d \in set\ p$ **by** *auto*
qed

definition *dominate* :: $nat \Rightarrow nat \Rightarrow bool$
where *dominate* *n1* *n2* $\equiv$

$$\forall pa. \text{path-entry } (g-E \ G) \ pa \ n2 \longrightarrow \\ (n1 \in \text{set } pa \vee n1 = n2)$$

definition *strict-dominate*:: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

$$\text{strict-dominate } n1 \ n2 \equiv \\ \forall pa. \text{path-entry } (g-E \ G) \ pa \ n2 \longrightarrow \\ (n1 \in \text{set } pa \wedge n1 \neq n2)$$

lemma *any-dominate-unreachable*: $\neg \text{reachable } n \implies \text{dominate } d \ n$

proof(*unfold reachable-def dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \text{“} \{0\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain *p* **where** *p*: $\text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume $\text{reachable } n$

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \vee d = n$ **by** *auto*

qed

lemma *any-sdominate-unreachable*: $\neg \text{reachable } n \implies \text{strict-dominate } d \ n$

proof(*unfold reachable-def strict-dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \text{“} \{0\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain *p* **where** *p*: $\text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume $\text{reachable } n$

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \wedge d \neq n$ **by** *auto*

qed

```

lemma dom-reachable: reachable  $n \implies$  dominate  $d\ n \implies$  reachable  $d$ 
proof –
  assume reach-n: reachable  $n$ 
  and dom-n: dominate  $d\ n$ 
  from reach-n have  $\exists p.$  path-entry  $(g-E\ G)\ p\ n$  by (rule reachable-path-entry)
  then obtain  $p$  where  $p:$  path-entry  $(g-E\ G)\ p\ n$  by auto

  show reachable  $d$ 
  proof (cases  $d \neq n$ )
    case True
      with dom-n  $p$  have  $d-in:$   $d \in \text{set } p$  by (auto simp add:dominate-def)
      let  $?pa = \text{takeWhile } ((\neq)\ d)\ (\text{rev } p)$ 
      from  $d-in\ p$  have path-entry  $(g-E\ G)\ (\text{rev } ?pa)\ d$  using takeWhileNot-path-entry
    by auto
    then show ?thesis using path-entry-reachable by auto
  next
    case False
      with reach-n show ?thesis by auto
  qed
qed

lemma dominate-refl: dominate  $n\ n$ 
  by (simp add:dominate-def)

lemma entry0-dominates-all:  $\forall p \in \text{set } (g-V\ G).$  dominate  $0\ p$ 
proof(intro strip)
  fix  $p$ 
  assume  $p \in \text{set } (g-V\ G)$ 
  show dominate  $0\ p$ 
  proof (cases  $p = 0$ )
    case True
      then show ?thesis by (auto simp add:dominate-def)
  next
    case False
      assume  $p\text{-neg0}: p \neq 0$ 
      have  $\forall pa.$  path-entry  $(g-E\ G)\ pa\ p \longrightarrow 0 \in \text{set } pa$ 
      proof (intro strip)
        fix  $pa$ 
        assume path-p: path-entry  $(g-E\ G)\ pa\ p$ 
        show  $0 \in \text{set } pa$ 
        proof (cases  $pa \neq []$ )
          case True note  $pa\text{-n-nil} = \text{this}$ 
          with path-p have last-pa: last  $pa = 0$  using path-entry-last by auto
          from  $pa\text{-n-nil}$  have last  $pa \in \text{set } pa$  by simp
          with last-pa show ?thesis by simp
        next
          case False
            with path-p have  $p = 0$  by (simp add:path-entry0-empty-conv)
      qed
    qed
  qed

```

```

    with p-neq0 show ?thesis by auto
  qed
  qed
  then show ?thesis by (auto simp add:dominate-def)
  qed
  qed

lemma strict-dominate i j  $\implies j \in \text{set } (g-V \ G) \implies i \neq j$ 
  using any-node-exits-path
  by (auto simp add:strict-dominate-def)

definition non-strict-dominate:: nat  $\Rightarrow$  nat  $\Rightarrow$  bool where
  non-strict-dominate n1 n2  $\equiv \exists pa. \text{path-entry } (g-E \ G) \ pa \ n2 \wedge (n1 \notin \text{set } pa)$ 

lemma any-sdominate-0:  $n \in \text{set } (g-V \ G) \implies \text{non-strict-dominate } n \ 0$ 
  apply (simp add:non-strict-dominate-def)
  by (auto intro:path-entry0)

lemma non-sdominate-succ:  $(i,j) \in g-E \ G \implies k \neq i \implies \text{non-strict-dominate } k \ i \implies \text{non-strict-dominate } k \ j$ 
  proof -
    assume i-j:  $(i,j) \in g-E \ G$  and k-neq-i:  $k \neq i$  and non-strict-dominate k i
    then obtain pa where path-entry  $(g-E \ G) \ pa \ i$  and k-nin-pa:  $k \notin \text{set } pa$  by
      (auto simp add:non-strict-dominate-def)
    with i-j have path-entry  $(g-E \ G) \ (i\#pa) \ j$  by (auto simp add:path-entry-prepend)
    with k-neq-i k-nin-pa show ?thesis by (auto simp add:non-strict-dominate-def)
  qed

lemma any-node-non-sdom0: non-strict-dominate k 0
  by (auto intro:entry0-path simp add:non-strict-dominate-def)

lemma nonstrict-eq: non-strict-dominate i j  $\implies \neg \text{strict-dominate } i \ j$ 
  by (auto simp add:non-strict-dominate-def strict-dominate-def)

lemma dominate-trans:
  assumes dominate n1 n2
    and dominate n2 n3
  shows dominate n1 n3
  using assms
proof(cases n1 = n2)
  case True
  then show ?thesis using assms(2) by auto
next
  case False
  then show dominate n1 n3
  proof (cases n1 = n3)
    case True
    then show ?thesis by (auto simp add:dominate-def)
  end
end

```

```

next
  case False
  show dominate n1 n3
  proof (cases n2 = n3)
    case True
    then show ?thesis using assms(1) by auto
  next
  case False
  with ⟨n1 ≠ n2⟩ ⟨n1 ≠ n3⟩ show ?thesis
  proof (auto simp add: dominate-def)
    fix pa
    assume n1 ≠ n2 and n1 ≠ n3 and n2 ≠ n3
    from ⟨n1 ≠ n2⟩ assms(1) have n1-n2-pa: ∀ pa. path-entry (g-E G) pa n2
    → n1 ∈ set pa
    by (auto simp add: dominate-def)
    from ⟨n2 ≠ n3⟩ assms(2) have ∀ pa. path-entry (g-E G) pa n3 → n2 ∈
    set pa
    by (auto simp add: dominate-def)
    with n1-n2-pa have ∀ pa. path-entry (g-E G) pa n3 → n1 ∈ set pa
    by (rule path-entry-gt)
    then show ∧ pa. path-entry (g-E G) pa n3 ⇒ n1 ∈ set pa by auto
  qed
qed
qed
qed

```

lemma *len-takeWhile-lt*: $x \in \text{set } xs \implies \text{length } (\text{takeWhile } ((\neq) x) xs) < \text{length } xs$
 by (*induct xs*) *auto*

lemma *len-takeWhile-comp*:
 assumes *n1* ∈ *set xs*
 and *n2* ∈ *set xs*
 and *n1* ≠ *n2*
 shows $\text{length } (\text{takeWhile } ((\neq) n1) xs) \neq \text{length } (\text{takeWhile } ((\neq) n2) xs)$
 using *assms*
 by (*induct xs*) *auto*

lemma *len-takeWhile-comp1*:
 assumes *n1* ∈ *set xs*
 and *n2* ∈ *set xs*
 and *n1* ≠ *n2*
 shows $\text{length } (\text{takeWhile } ((\neq) n1) (\text{rev } (x \# xs))) \neq \text{length } (\text{takeWhile } ((\neq) n2) (\text{rev } (x \# xs)))$
 using *assms len-takeWhile-comp*[of *n1 rev xs n2*] by *fastforce*

lemma *len-takeWhile-comp2*:
 assumes *n1* ∈ *set xs*
 and *n2* ∉ *set xs*
 shows $\text{length } (\text{takeWhile } ((\neq) n1) (\text{rev } (x \# xs))) \neq \text{length } (\text{takeWhile } ((\neq) n2) (\text{rev } (x \# xs)))$

```

n2) (rev (x # xs)))
  using assms
proof-
  let ?xs1 = takeWhile ((≠) n1) (rev (x # xs))
  let ?xs2 = takeWhile ((≠) n2) (rev (x # xs))
  from assms have len1: length (takeWhile ((≠) n1) (rev xs)) < length (rev xs)
    using len-takeWhile-lt[of -rev xs] by auto

  from assms(1) have ?xs1 = takeWhile ((≠) n1) (rev xs) by auto
  then have len2: length ?xs1 < length (rev xs) using len1 by auto

  from assms(2) have takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @ takeWhile
  ((≠) n2) [x]
    by (fastforce intro:takeWhile-append2)
  then have ?xs2 = (rev xs) @ takeWhile ((≠) n2) [x] by simp
  then show ?thesis using len2 by auto
qed

lemma len-compare1:
  assumes n1 = x and n2 ≠ x
  shows length (takeWhile ((≠) n1) (rev (x # xs))) ≠ length (takeWhile ((≠)
n2) (rev (x # xs)))
  using assms
proof(cases n1 ∈ set xs ∧ n2 ∈ set xs)
  case True
  with assms show ?thesis using len-takeWhile-comp1 by fastforce
next
  let ?xs1 = takeWhile ((≠) n1) (rev (x # xs))
  let ?xs2 = takeWhile ((≠) n2) (rev (x # xs))

  case False
  then have n1 ∈ set xs ∧ n2 ∉ set xs ∨ n1 ∉ set xs ∧ n2 ∈ set xs ∨ n1 ∉ set
xs ∧ n2 ∉ set xs by auto
  then show ?thesis
  proof
    assume n1 ∈ set xs ∧ n2 ∉ set xs
    then show ?thesis by (fastforce dest: len-takeWhile-comp2)
  next
    assume n1 ∉ set xs ∧ n2 ∈ set xs ∨ n1 ∉ set xs ∧ n2 ∉ set xs
    then show ?thesis
    proof
      assume n1 ∉ set xs ∧ n2 ∈ set xs
      then have n1: n1 ∉ set xs and n2: n2 ∈ set xs by auto
      have length ?xs2 ≠ length ?xs1 using len-takeWhile-comp2[OF n2 n1] by
auto
      then show ?thesis by simp
    next
      assume n1 ∉ set xs ∧ n2 ∉ set xs
      then have n1-nin: n1 ∉ set xs and n2-nin: n2 ∉ set xs by auto

```

```

    then have t1: takeWhile ((≠) n1) (rev xs @ [x]) = (rev xs) @ takeWhile
    ((≠) n1) [x]
    and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @ takeWhile ((≠)
    n2) [x]
    by (fastforce intro:takeWhile-append2)+
    with ⟨n1 = x⟩ ⟨n2 ≠ x⟩ have t1': takeWhile ((≠) n1) (rev xs @ [x]) = rev
    xs
    and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @
    [x] by auto
    then have length (takeWhile ((≠) n2) (rev xs @ [x])) = length ((rev xs) @
    [x])
    using arg-cong[of takeWhile ((≠) n2) (rev xs @ [x]) rev xs @ [x] length] by
    fastforce
    with t1' show ?thesis by auto
  qed
qed
qed

```

lemma *len-compare2*:

```

  assumes n1 ∈ set xs
  and n1 ≠ n2
  shows length (takeWhile ((≠) n1) (rev (x # xs))) ≠ length (takeWhile ((≠)
  n2) (rev (x # xs)))
  using assms
  apply(case-tac n2 ∈ set xs)
  apply (fastforce dest: len-takeWhile-comp1 )
  apply (fastforce dest: len-takeWhile-comp2)
  done

```

lemma *len-takeWhile-set*:

```

  assumes length (takeWhile ((≠) n1) xs) > length (takeWhile ((≠) n2) xs)
  and n1 ≠ n2
  and n1 ∈ set xs
  and n2 ∈ set xs
  shows set (takeWhile ((≠) n2) xs) ⊆ set (takeWhile ((≠) n1) xs)
  using assms

```

proof (*induct xs*)

case Nil then show ?case by auto

next

```

  case (Cons y ys)
  note ind-hyp = Cons(1)
  note len-n2-lt-n1-y-ys = Cons(2)
  note n1-n-n2 = Cons(3)
  note n1-in-y-ys = Cons(4)
  note n2-in-y-ys = Cons(5)

```

let ?ys1-take = takeWhile ((≠) n1) ys

let ?ys2-take = takeWhile ((≠) n2) ys

```

show ?case
proof(cases n1 ∈ set ys)
  case True note n1-in-ys = this
  show ?thesis
proof(cases n2 ∈ set ys)
  case True note n2-in-ys = this
  show ?thesis
proof (cases n1 ≠ y)
  case True note n1-neq-y = this
  show ?thesis
proof (cases n2 ≠ y)
  case True note n2-neq-y = this
  from len-n2-lt-n1-y-ys have length ?ys2-take < length ?ys1-take
    using n1-n-n2 n1-in-ys n2-in-ys n1-neq-y n2-neq-y by (induct ys) auto
  from ind-hyp[OF this n1-n-n2 n1-in-ys n2-in-ys]
  have set (takeWhile ((≠) n2) ys) ⊆ set (takeWhile ((≠) n1) ys) by auto
  then show ?thesis using n1-neq-y n2-neq-y by (induct ys) auto
next
  case False
  with n1-n-n2 show ?thesis by auto
qed
next
  case False
  with n1-n-n2 show ?thesis using len-n2-lt-n1-y-ys by auto
qed
next
  case False
  with n2-in-y-ys have n2 = y by auto
  then show ?thesis by auto
qed
next
  case False
  with n1-in-y-ys have n1 = y by auto
  with n1-n-n2 show ?thesis using len-n2-lt-n1-y-ys by auto
qed
qed

lemma reachable-dom-acyclic:
  assumes reachable n2
    and dominate n1 n2
    and dominate n2 n1
  shows n1 = n2
  using assms
proof -
  from assms(1) assms(2) have reachable n1 by (auto intro: dom-reachable)
  then have ∃ pa. path-entry (g-E G) pa n1 by (auto intro: reachable-path-entry)
  then obtain pa where pa: path-entry (g-E G) pa n1 by auto

  let ?n-take-n1 = takeWhile ((≠) n1) (rev pa)

```



```

let ?n-take-n2 = takeWhile ((≠) n2) (rev pa)

show n1 = n2
proof(rule ccontr)
  assume n1-neq-n2: n1 ≠ n2
  then have pa-n1-n2: ∀ pa. path-entry (g-E G) pa n2 ⟶ n1 ∈ set pa
    and pa-n2-n1: ∀ pa. path-entry (g-E G) pa n1 ⟶ n2 ∈ set pa using
assms(2) assms(3)
    by (auto simp add:dominate-def)
  then have n1-n1-pa: ∀ pa. path-entry (g-E G) pa n1 ⟶ n1 ∈ set pa by (rule
path-entry-gt)
  with pa pa-n2-n1 have n1-in-pa: n1 ∈ set pa
    and n2-in-pa: n2 ∈ set pa by auto
  with n1-neq-n2 have len-neq: length ?n-take-n1 ≠ length ?n-take-n2
    by (auto simp add: len-takeWhile-comp)

from pa n1-in-pa n2-in-pa have path1: path-entry (g-E G) (rev ?n-take-n1) n1

    and path2: path-entry (g-E G) (rev ?n-take-n2) n2
    using takeWhileNot-path-entry by auto

  have n1-not-in: n1 ∉ set ?n-take-n1 by (auto dest: set-takeWhileD[of - rev
pa])
  have n2-not-in: n2 ∉ set ?n-take-n2 by (auto dest: set-takeWhileD[of - rev
pa])

show False
proof(cases length ?n-take-n1 > length ?n-take-n2)
  case True
    then have set ?n-take-n2 ⊆ set ?n-take-n1
      using n1-in-pa n2-in-pa by (auto dest: len-takeWhile-set[of - rev pa])
    then have n1 ∉ set ?n-take-n2 using n1-not-in by auto
    with path2 show False using pa-n1-n2 by auto
  next
    case False
      with len-neq have length ?n-take-n2 > length ?n-take-n1 by auto
      then have set ?n-take-n1 ⊆ set ?n-take-n2
        using n1-neq-n2 n2-in-pa n1-in-pa by (auto dest: len-takeWhile-set)
      then have n2 ∉ set ?n-take-n1 using n2-not-in by auto
      with path1 show False using pa-n2-n1 by auto
    qed
  qed
qed

lemma sdom-dom: strict-dominate n1 n2 ⟹ dominate n1 n2
  by (auto simp add:strict-dominate-def dominate-def)

lemma dominate-sdominate: dominate n1 n2 ⟹ n1 ≠ n2 ⟹ strict-dominate
n1 n2

```

```

    by (auto simp add:strict-dominate-def dominate-def)

lemma sdom-neq:
  assumes reachable n2
    and strict-dominate n1 n2
  shows n1  $\neq$  n2
  using assms
proof -
  from assms(1) have  $\exists p. \text{path-entry } (g-E \ G) \ p \ n2$  by (rule reachable-path-entry)

  then obtain p where path-entry (g-E G) p n2 by auto
  with assms(2) show ?thesis by (auto simp add:strict-dominate-def)
qed

lemma reachable-dom-acyclic2:
  assumes reachable n2
    and strict-dominate n1 n2
  shows  $\neg \text{dominate } n2 \ n1$ 
  using assms
proof -
  from assms have n1-dom-n2:  $\text{dominate } n1 \ n2$  and n1-neq-n2:  $n1 \neq n2$ 
    by (auto simp add:sdm-dom sdm-neq)
  with assms(1) have  $\text{dominate } n2 \ n1 \implies n1 = n2$  using reachable-dom-acyclic
  by auto
  with n1-neq-n2 show ?thesis by auto
qed

lemma not-dom-eq-not-sdom:  $\neg \text{dominate } n1 \ n2 \implies \neg \text{strict-dominate } n1 \ n2$ 
  by (auto simp add:strict-dominate-def dominate-def)

lemma reachable-sdom-acyclic:
  assumes reachable n2
    and strict-dominate n1 n2
  shows  $\neg \text{strict-dominate } n2 \ n1$ 
  using assms
  apply (insert reachable-dom-acyclic2[OF assms(1) assms(2)])
  by (auto simp add:not-dom-eq-not-sdom)

lemma strict-dominate-trans1:
  assumes strict-dominate n1 n2
    and dominate n2 n3
  shows strict-dominate n1 n3
  using assms
proof (cases reachable n2)
  case True note reach-n2 = this
  with assms(1) have n1-dom-n2:  $\text{dominate } n1 \ n2$  and n1-neq-n2:  $n1 \neq n2$ 
    by (auto simp add:sdm-dom sdm-neq)
  with assms(2) have n1-dom-n3:  $\text{dominate } n1 \ n3$  by (auto intro: dominate-trans)
  have n1-neq-n3:  $n1 \neq n3$ 

```

```

proof (rule ccontr)
  assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
  with assms(2) have  $n2\text{-dom-}n1$ : dominate  $n2\ n1$  by simp
  with  $reach\text{-}n2\ n1\text{-dom-}n2$  have  $n1 = n2$  by (auto dest:reachable-dom-acyclic)
  with  $n1\text{-neq-}n2$  show False by auto
qed
with  $n1\text{-dom-}n3$  show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False note  $not\text{-reach-}n2 = this$ 
have  $\neg reachable\ n3$ 
proof (rule ccontr)
  assume  $\neg \neg reachable\ n3$ 
  with assms(2) have reachable  $n2$  by (auto intro: dom-reachable)
  with  $not\text{-reach-}n2$  show False by auto
qed
then show ?thesis by (auto intro: any-sdominate-unreachable)
qed

lemma strict-dominate-trans2:
  assumes dominate  $n1\ n2$ 
    and strict-dominate  $n2\ n3$ 
  shows strict-dominate  $n1\ n3$ 
using assms
proof (cases reachable  $n3$ )
case True
  with assms(2) have  $n2\text{-dom-}n3$ : dominate  $n2\ n3$  and  $n1\text{-neq-}n2$ :  $n2 \neq n3$ 
    by (auto simp add:sdom-dom sdom-neq)
  with assms(1) have  $n1\text{-dom-}n3$ : dominate  $n1\ n3$  by (auto intro: dominate-trans)
  have  $n1\text{-neq-}n3$ :  $n1 \neq n3$ 
  proof (rule ccontr)
    assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
    with assms(1) have dominate  $n3\ n2$  by simp
    with  $\langle reachable\ n3 \rangle\ n2\text{-dom-}n3$  have  $n2 = n3$  by (auto dest:reachable-dom-acyclic)
    with  $n1\text{-neq-}n2$  show False by auto
  qed
  with  $n1\text{-dom-}n3$  show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False
  then have  $\neg reachable\ n3$  by simp
  then show ?thesis by (auto intro: any-sdominate-unreachable)
qed

lemma strict-dominate-trans:
  assumes strict-dominate  $n1\ n2$ 
    and strict-dominate  $n2\ n3$ 
  shows strict-dominate  $n1\ n3$ 
using assms
apply(subgoal-tac dominate  $n2\ n3$ )
apply(rule strict-dominate-trans1)

```

```

apply (auto simp add: strict-dominate-def dominate-def)
done

lemma sdominate-dominate-succs:
  assumes i-sdom-j: strict-dominate i j
    and j-in-succ-k: j ∈ succs k
  shows dominate i k
proof (rule ccontr)
  assume ass: ¬ dominate i k
  then obtain p where path-k: path-entry (g-E G) p k and i-nin-p: i ∉ set p by
(auto simp add: dominate-def)
  with j-in-succ-k i-sdom-j have i: i = k ∨ i = j by (auto intro: path-entry-append
simp add: succs-def strict-dominate-def)

  from j-in-succ-k have reachable j using succ-in-verts reachable by (auto simp
add: reachable-def)
  with i-sdom-j have i ≠ j by (auto simp add: sdom-neq)
  with i have i = k by auto
  then have dominate i k by (auto simp add: dominate-refl)
  with ass show False by auto
qed

end

end

```

2 More auxiliary lemmas for Lists Sorted wrt <

```

theory Sorted-Less2
imports Main HOL-Data-Structures.Cmp HOL-Data-Structures.Sorted-Less
begin

lemma Cons-sorted-less: sorted (rev xs) ⟹ ∀ x ∈ set xs. x < p ⟹ sorted (rev
(p # xs))
  by (induct xs) (auto simp add: sorted-wrt-append)

lemma Cons-sorted-less-nth: ∀ x < length xs. xs ! x < p ⟹ sorted (rev xs) ⟹
sorted (rev (p # xs))
  apply (subgoal-tac ∀ x ∈ set xs. x < p)
  apply (fastforce dest: Cons-sorted-less)
  apply (auto simp add: set-conv-nth)
  done

lemma distinct-sorted-rev: sorted (rev xs) ⟹ distinct xs
  by (induct xs) (auto simp add: sorted-wrt-append)

lemma sorted-le2lt:
  assumes List.sorted xs
    and distinct xs

```

```

    shows sorted xs
  using assms
proof (induction xs)
  case Nil then show ?case by auto
next
  case (Cons x xs)
  note ind-hyp-xs = Cons(1)
  note sorted-le-x-xs = Cons(2)
  note dist-x-xs = Cons(3)
  from dist-x-xs have x-neq-xs:  $\forall v \in \text{set } xs. x \neq v$ 
    and dist: distinct xs by auto
  from sorted-le-x-xs have sorted-le-xs: List.sorted xs
    and x-le-xs:  $\forall v \in \text{set } xs. v \geq x$  by auto
  from x-neq-xs x-le-xs have x-lt-xs:  $\forall v \in \text{set } xs. v > x$  by fastforce
  from ind-hyp-xs[OF sorted-le-xs dist] have sorted xs by auto
  with x-lt-xs show ?case by auto
qed

```

```

lemma sorted-less-sorted-list-of-set: sorted (sorted-list-of-set S)
  by (auto intro: sorted-le2lt)

```

```

lemma distinct-sorted: sorted xs  $\implies$  distinct xs
  by (induct xs) (auto simp add: sorted-wrt-append)

```

```

lemma sorted-less-set-unique:
  assumes sorted xs
    and sorted ys
    and set xs = set ys
  shows xs = ys
  using assms
proof -
  from assms(1) have distinct xs and List.sorted xs by (induct xs) auto
  also from assms(2) have distinct ys and List.sorted ys by (induct ys) auto
  ultimately show xs = ys using assms(3) by (auto intro: sorted-distinct-set-unique)
qed

```

```

lemma sorted-less-rev-set-unique:
  assumes sorted (rev xs)
    and sorted (rev ys)
    and set xs = set ys
  shows xs = ys
  using assms sorted-less-set-unique[of rev xs rev ys] by auto

```

```

lemma sorted-less-set-eq:
  assumes sorted xs
  shows xs = sorted-list-of-set (set xs)
  using assms
  apply (subgoal-tac sorted (sorted-list-of-set (set xs)))
  apply (auto intro: sorted-less-set-unique sorted-le2lt)

```

```

done

lemma sorted-less-rev-set-eq:
  assumes sorted (rev xs)
  shows sorted-list-of-set (set xs) = rev xs
  using assms sorted-less-set-eq[of rev xs] by auto

lemma sorted-insort-remove1: sorted w  $\implies$  (insort a (remove1 a w)) = sorted-list-of-set
(insert a (set w))
proof -
  assume sorted w
  then have (sorted-list-of-set (set w - {a})) = remove1 a w using sorted-less-set-eq
    by (fastforce simp add:sorted-list-of-set-remove)
  hence insort a (remove1 a w) = insort a (sorted-list-of-set (set w - {a})) by
simp
  then show ?thesis by (auto simp add:sorted-list-of-set-insert)
qed

end

```

3 Operations on sorted lists

```

theory Sorted-List-Operations2
imports Sorted-Less2
begin

```

The definition and the `inter_sorted_correct` lemma in this theory are the same as those in `Collections` [2]. except the former is for a descending list while the latter is for an ascending one.

```

fun inter-sorted-rev :: 'a::linorder list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  inter-sorted-rev [] l2 = []
| inter-sorted-rev l1 [] = []
| inter-sorted-rev (x1 # l1) (x2 # l2) =
  (if (x1 > x2) then (inter-sorted-rev l1 (x2 # l2)) else
   (if (x1 = x2) then x1 # (inter-sorted-rev l1 l2) else inter-sorted-rev (x1 #
l1) l2))

lemma inter-sorted-correct :
  assumes l1-OK: sorted (rev l1)
  assumes l2-OK: sorted (rev l2)
  shows sorted (rev (inter-sorted-rev l1 l2))  $\wedge$  set (inter-sorted-rev l1 l2) = set
l1  $\cap$  set l2
using assms
proof (induct l1 arbitrary: l2)
  case Nil thus ?case by simp
next
  case (Cons x1 l1 l2)
  note x1-l1-props = Cons(2)

```

```

note  $l2\text{-props} = \text{Cons}(3)$ 

from  $x1\text{-}l1\text{-props}$  have  $l1\text{-props}$ : sorted (rev  $l1$ )
  and  $x1\text{-}nin\text{-}l1$ :  $x1 \notin \text{set } l1$ 
  and  $x1\text{-gt}$ :  $\bigwedge x. x \in \text{set } l1 \implies x1 > x$ 
by (auto simp add: Ball-def sorted-wrt-append)

note  $ind\text{-hyp}\text{-}l1 = \text{Cons}(1)[OF\ l1\text{-props}]$ 
show ?case
using  $l2\text{-props}$ 
proof (induct  $l2$ )
  case Nil with  $x1\text{-}l1\text{-props}$  show ?case by simp
next
  case (Cons  $x2\ l2$ )
  note  $x2\text{-}l2\text{-props} = \text{Cons}(2)$ 
  from  $x2\text{-}l2\text{-props}$  have  $l2\text{-props}$ : sorted (rev  $l2$ )
    and  $x2\text{-}nin\text{-}l2$ :  $x2 \notin \text{set } l2$ 
    and  $x2\text{-gt}$ :  $\bigwedge x. x \in \text{set } l2 \implies x2 > x$ 
  by (auto simp add: Ball-def sorted-wrt-append)

  note  $ind\text{-hyp}\text{-}l2 = \text{Cons}(1)[OF\ l2\text{-props}]$ 
  show ?case
  proof (cases  $x1 > x2$ )
    case True note  $x1\text{-gt}\text{-}x2 = \text{this}$ 
    have  $\text{set } l1 \cap \text{set } (x2 \# l2) = \text{set } (x1 \# l1) \cap \text{set } (x2 \# l2)$ 
    using  $x1\text{-gt}\text{-}x2\ x1\text{-nin}\text{-}l1\ x2\text{-nin}\text{-}l2\ x1\text{-gt}\ x2\text{-gt}$ 
    by fastforce
    then show ?thesis using  $ind\text{-hyp}\text{-}l1[OF\ x2\text{-}l2\text{-props}]\ \text{using}\ x1\text{-gt}\text{-}x2\ x1\text{-nin}\text{-}l1\ x2\text{-nin}\text{-}l2\ x1\text{-gt}\ x2\text{-gt}$ 
    by (auto simp add: Ball-def sorted-wrt-append)
  next
    case False note  $x2\text{-ge}\text{-}x1 = \text{this}$ 
    show ?thesis
    proof (cases  $x1 = x2$ )
      case True note  $x1\text{-eq}\text{-}x2 = \text{this}$ 
      then show ?thesis using  $ind\text{-hyp}\text{-}l1[OF\ l2\text{-props}]\ \text{using}\ x1\text{-eq}\text{-}x2\ x1\text{-nin}\text{-}l1\ x2\text{-nin}\text{-}l2\ x1\text{-gt}\ x2\text{-gt}$  by (auto simp add: Ball-def sorted-wrt-append)
    next
      case False note  $x1\text{-neq}\text{-}x2 = \text{this}$ 
      with  $x2\text{-ge}\text{-}x1$  have  $x2\text{-gt}\text{-}x1 : x2 > x1$  by auto
      from  $ind\text{-hyp}\text{-}l2\ x2\text{-ge}\text{-}x1\ x1\text{-neq}\text{-}x2\ x2\text{-gt}\ x2\text{-nin}\text{-}l2\ x1\text{-gt}$ 
      show ?thesis by auto
    qed
  qed
qed
qed

```

lemma *inter-sorted-rev-refl*: $\text{inter-sorted-rev } xs\ xs = xs$

```

    by (induct xs) auto

lemma inter-sorted-correct-col:
  assumes sorted (rev xs)
    and sorted (rev ys)
  shows (inter-sorted-rev xs ys) = rev (sorted-list-of-set (set xs ∩ set ys))
  using assms
proof-
  from assms have 1: sorted (rev (inter-sorted-rev xs ys))
    and 2: set (inter-sorted-rev xs ys) = set xs ∩ set ys using in-
ter-sorted-correct by auto
  have sorted (rev (rev (sorted-list-of-set (set xs ∩ set ys)))) by (simp add:sorted-less-sorted-list-of-set)
  with 1 2 show ?thesis by (auto intro:sorted-less-rev-set-unique)
qed

lemma cons-set-eq: set (x # xs) ∩ set xs = set xs
  by auto

lemma inter-sorted-cons: sorted (rev (x # xs))  $\implies$  inter-sorted-rev (x # xs) xs
= xs
proof-
  assume ass: sorted (rev (x # xs))
  then have sorted-xs: sorted (rev xs) by (auto simp add:sorted-wrt-append)
  with ass have inter-sorted-rev (x # xs) xs = rev (sorted-list-of-set (set (x # xs)
∩ set xs))
    by (simp add:inter-sorted-correct-col)
  then have inter-sorted-rev (x # xs) xs = rev (rev xs) using sorted-xs by (simp
only:cons-set-eq sorted-less-rev-set-eq)
  then show ?thesis using sorted-xs by auto
qed

end

```

4 A semilattice of reversed-ordered list

```

theory Dom-Semi-List
imports Main Jinja.Semilat Sorted-List-Operations2 Sorted-Less2 Cfg
begin

type-synonym node = nat

context cfg-doms
begin

definition nodes :: nat list
  where nodes  $\equiv$  (g-V G)

definition nodes-le :: node list  $\Rightarrow$  node list  $\Rightarrow$  bool where
nodes-le xs ys  $\equiv$  (sorted (rev ys)  $\wedge$  sorted (rev xs)  $\wedge$  (set ys)  $\subseteq$  (set xs))  $\vee$  xs = ys

```


definition $nodes-sup :: node\ list \Rightarrow node\ list \Rightarrow node\ list$ **where**
 $nodes-sup = (\lambda x\ y. inter-sorted-rev\ x\ y)$

definition $nodes-semi :: node\ list\ sl$ **where**
 $nodes-semi \equiv ((rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ (nodes)))), nodes-le, nodes-sup$
 $)$

lemma $subset-nodes-inpow$:

assumes $sorted\ (rev\ xs)$

and $set\ xs \subseteq set\ nodes$

shows $xs \in (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ nodes))$

proof $-$

from $assms(1)$ **have** $(sorted-list-of-set\ (set\ xs)) = rev\ xs$ **by** $(auto\ intro:sorted-less-rev-set-eq)$

then have $rev\ (rev\ xs) = rev\ (sorted-list-of-set\ (set\ xs))$ **by** $simp$

with $assms(2)$ **show** $?thesis$ **by** $auto$

qed

lemma $nil-in-A$: $[] \in (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ nodes))$

proof $(simp\ add: Pow-def\ image-def)$

have $sorted-list-of-set\ \{\} = []$ **by** $auto$

then show $\exists x \subseteq set\ nodes. sorted-list-of-set\ x = []$ **by** $blast$

qed

lemma $single-n-in-A$: $p < length\ nodes \implies [p] \in (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ nodes))$

proof $(unfold\ nodes-def)$

let $?S = (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ (g-V\ G)))$

assume $p < length\ (g-V\ G)$

then have $p: \{p\} \in Pow\ (set\ (g-V\ G))$ **by** $(auto\ simp\ add: Pow-def\ verts-set)$

then have $[p] \in ?S$ **by** $(unfold\ image-def)\ force$

then show $[p] \in ?S$ **by** $auto$

qed

lemma $inpow-subset-nodes$:

assumes $xs \in (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ nodes))$

shows $set\ xs \subseteq set\ nodes$

proof $-$

from $assms$ **obtain** x **where** $x: x \in Pow\ (set\ nodes)$ **and** $xs = (rev \circ sorted-list-of-set)\ x$ **by** $auto$

then have $eq: set\ xs = set\ (sorted-list-of-set\ x)$ **by** $auto$

have $\forall x \in Pow\ (set\ nodes). finite\ x$ **by** $(auto\ intro: rev-finite-subset)$

with $x\ eq$ **show** $set\ xs \subseteq set\ nodes$ **by** $auto$

qed

lemma $inter-in-pow-nodes$:

assumes $xs \in (rev \circ sorted-list-of-set) \text{ ` } (Pow\ (set\ nodes))$

shows $(rev \circ sorted-list-of-set)(set\ xs \cap set\ ys) \in (rev \circ (sorted-list-of-set)) \text{ ` } (Pow\ (set\ nodes))$

```

    using assms
  proof -
    let ?res = set xs ∩ set ys
    from assms have set xs ⊆ set nodes using inpow-subset-nodes by auto
    then have ?res ⊆ set nodes by auto
    then show ?thesis using subset-nodes-inpow by auto
  qed

lemma nodes-le-order: order nodes-le ((rev ∘ sorted-list-of-set) ‘ (Pow (set nodes)))
proof -
  let ?A = (rev ∘ sorted-list-of-set) ‘ (Pow (set nodes))

  have ∀ x ∈ ?A. sorted (rev x) by (auto intro: sorted-less-sorted-list-of-set)
  then have ∀ x ∈ ?A. nodes-le x x by (auto simp add: nodes-le-def)

  moreover have ∀ x ∈ ?A. ∀ y ∈ ?A. (nodes-le x y ∧ nodes-le y x ⟶ x = y)
  proof (intro strip)
    fix x y
    assume x ∈ ?A and y ∈ ?A and nodes-le x y ∧ nodes-le y x
    then have sorted (rev x) ∧ sorted (rev (y::nat list)) ∧ set x = set y ∨ x = y
      by (auto simp add: nodes-le-def intro: subset-antisym sorted-less-sorted-list-of-set)
    then show x = y by (auto dest: sorted-less-rev-set-unique)
  qed

  moreover have ∀ x ∈ ?A. ∀ y ∈ ?A. ∀ z ∈ ?A. nodes-le x y ∧ nodes-le y z ⟶
nodes-le x z
    by (auto simp add: nodes-le-def)

  ultimately show ?thesis by (unfold order-def lesub-def lesssub-def) fastforce
qed

lemma nodes-semi-auxi:
  let A = (rev ∘ sorted-list-of-set) ‘ (Pow (set (nodes)));
  r = nodes-le;
  f = (λx y. (inter-sorted-rev x y))
  in semilat(A, r, f)
proof -
  let ?A = (rev ∘ sorted-list-of-set) ‘ (Pow (set (nodes)))
  let ?r = nodes-le
  let ?f = (λx y. (inter-sorted-rev x y))

  have order ?r ?A by (rule nodes-le-order)

  moreover have closed ?A ?f
  proof (unfold closed-def, intro strip)
    fix xs ys assume xs-in: xs ∈ ?A and ys-in: ys ∈ ?A
    then have sorted-xs: sorted (rev xs)
      and sorted-ys: sorted (rev ys)

```

```

    by (auto intro: sorted-less-sorted-list-of-set)
  then have inter-xs-ys: set (?f xs ys) = set xs  $\cap$  set ys and
    sorted-res: sorted (rev (?f xs ys))
  using inter-sorted-correct by auto

  from xs-in have set xs  $\subseteq$  set nodes using inpow-subset-nodes by auto
  with inter-xs-ys have set (?f xs ys)  $\subseteq$  set nodes by auto
  with sorted-res show xs  $\sqcup_{?f}$  ys  $\in$  ?A using subset-nodes-inpow by (auto simp
add:plussub-def)
qed

moreover have ( $\forall x \in ?A. \forall y \in ?A. x \sqsubseteq_{?r} x \sqcup_{?f} y$ )  $\wedge$  ( $\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r} x \sqcup_{?f} y$ )
proof(rule conjI, intro strip)
  fix xs ys
  assume xs-in: xs  $\in$  ?A and ys-in: ys  $\in$  ?A
  then have sorted-xs: sorted (rev xs) and sorted-ys: sorted (rev ys)
    by (auto intro: sorted-less-sorted-list-of-set)
  then have set (?f xs ys)  $\subseteq$  set xs and sorted-f-xs-ys: sorted (rev (?f xs ys))
    by (auto simp add: inter-sorted-correct)
  then show xs  $\sqsubseteq_{?r} xs \sqcup_{?f} ys$  by (simp add: lesub-def sorted-xs sorted-ys
sorted-f-xs-ys nodes-le-def plussub-def)
next
  show  $\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r} x \sqcup_{?f} y$ 
  proof (intro strip)
    fix xs ys
    assume xs-in: xs  $\in$  ?A and ys-in: ys  $\in$  ?A
    then have sorted-xs: sorted (rev xs) and sorted-ys: sorted (rev ys)
      by (auto intro: sorted-less-sorted-list-of-set)
    then have set (?f xs ys)  $\subseteq$  set ys and sorted-f-xs-ys: sorted (rev (?f xs ys))
      by (auto simp add: inter-sorted-correct)
    then show ys  $\sqsubseteq_{?r} xs \sqcup_{?f} ys$  by (simp add: lesub-def sorted-ys sorted-xs
sorted-f-xs-ys nodes-le-def plussub-def)
  qed
qed

moreover have  $\forall x \in ?A. \forall y \in ?A. \forall z \in ?A. x \sqsubseteq_{?r} z \wedge y \sqsubseteq_{?r} z \longrightarrow x \sqcup_{?f} y \sqsubseteq_{?r} z$ 

proof (intro strip)
  fix xs ys zs
  assume xin: xs  $\in$  ?A and yin: ys  $\in$  ?A and zin: zs  $\in$  ?A and xs  $\sqsubseteq_{?r} zs \wedge ys \sqsubseteq_{?r} zs$ 
  then have xs-zs: xs  $\sqsubseteq_{?r} zs$  and ys-zs: ys  $\sqsubseteq_{?r} zs$  and sorted-xs: sorted (rev xs)
and sorted-ys: sorted (rev ys) by (auto simp add: sorted-less-sorted-list-of-set)
  then have inter-xs-ys: set (?f xs ys) = (set xs  $\cap$  set ys) and sorted-f-xs-ys:
sorted (rev (?f xs ys))
  by (auto simp add: inter-sorted-correct)

  from xs-zs ys-zs sorted-xs have sorted-zs: sorted (rev zs)

```

```

      and set zs  $\subseteq$  set xs
      and set zs  $\subseteq$  set ys by (auto simp add: lesub-def
nodes-le-def)
    then have zs: set zs  $\subseteq$  set xs  $\cap$  set ys by auto
    with inter-xs-ys sorted-zs sorted-f-xs-ys show xs  $\sqcup_{?f}$  ys  $\subseteq_{?r}$  zs
      by (auto simp add: plussub-def lesub-def sorted-xs sorted-ys sorted-f-xs-ys
sorted-zs nodes-le-def)
    qed
    ultimately show ?thesis by (unfold semilat-def) simp
  qed

```

```

lemma nodes-semi-is-semilat: semilat (nodes-semi)
  using nodes-semi-axi
  by (auto simp add: nodes-sup-def nodes-semi-def)

```

```

lemma sorted-rev-subset-len-lt:
  assumes sorted (rev a)
    and sorted (rev b)
    and set a  $\subset$  set b
  shows length a < length b
  using assms
proof -
  from assms(1) assms(2) have dist-a: distinct a and dist-b: distinct b by (auto
dest: distinct-sorted-rev)
  from assms(3) have card (set a) < card (set b) by (auto intro: psubset-card-mono)
  with dist-a dist-b show ?thesis by (auto simp add: distinct-card)
qed

```

```

lemma wf-nodes-le-axi: wf {(y, x). (sorted (rev y)  $\wedge$  sorted (rev x)  $\wedge$  set y  $\subset$  set
x)  $\wedge$  x  $\neq$  y}
  apply(rule wf-measure [THEN wf-subset])
  apply(simp only: measure-def inv-image-def)
  apply clarify
  apply(frule sorted-rev-subset-len-lt)
  defer
  defer
  apply fastforce
  by (auto intro: sorted-less-rev-set-unique)

```

```

lemma wf-nodes-le-axi2:
  wf {(y, x). sorted (rev y)  $\wedge$  sorted (rev x)  $\wedge$  set y  $\subset$  set x  $\wedge$  rev x  $\neq$  rev y}
  using wf-nodes-le-axi by auto

```

```

lemma wf-nodes-le: wf {(y, x). nodes-le x y  $\wedge$  x  $\neq$  y}
proof -
  have eq-set: {(y, x). (sorted (rev y)  $\wedge$  sorted (rev x)  $\wedge$  set y  $\subseteq$  set x)  $\wedge$  x  $\neq$  y}
  =
    {(y, x). nodes-le x y  $\wedge$  x  $\neq$  y} by (unfold nodes-le-def) auto
  have {(y, x). (sorted (rev y)  $\wedge$  sorted (rev x)  $\wedge$  set y  $\subset$  set x)  $\wedge$  x  $\neq$  y} =

```

```

      {(y, x). (sorted (rev y) ∧ sorted (rev x) ∧ set y ⊆ set x) ∧ x ≠ y}
    by (auto simp add: sorted-less-rev-set-unique)
    from this wf-nodes-le-auxi have wf {(y, x). (sorted (rev y) ∧ sorted (rev x) ∧
set y ⊆ set x) ∧ x ≠ y} by (rule subst)
    with eq-set show ?thesis by (rule subst)
qed

lemma acc-nodes-le: acc nodes-le
  apply (unfold acc-def lesssub-def lesub-def)
  apply (rule wf-nodes-le)
  done

lemma acc-nodes-le2: acc (fst (snd nodes-semi))
  apply (unfold nodes-semi-def)
  apply (auto simp add: lesssub-def lesub-def intro: acc-nodes-le)
  done

lemma nodes-le-refl [iff]: nodes-le s s
  apply (unfold nodes-le-def lesssub-def lesub-def)
  apply (auto)
  done

end

end

```

5 A kildall's algorithm for computing dominators

```

theory Dom-Kildall
imports Dom-Semi-List HOL-Library.While-Combinator Jinja.SemilatAlg
begin

```

A kildall's algorithm for computing dominators. It uses the ideas and the framework of kildall's algorithm implemented in Jinja [3], and modifications are needed to make it work for a fast algorithm for computing dominators

```

type-synonym state-dom = nat list

```

```

primrec propa ::
  's binop ⇒ (nat × 's) list ⇒ 's list ⇒ nat list ⇒ 's list * nat list
where
  propa f [] τ s wl = (τ s, wl)
| propa f (q'# qs) τ s wl = (let (q, τ) = q';
    u = (τ ⊔f τ s!q);
    wl' = (if u = τ s!q then wl
      else (insort q (remove1 q wl)))
    in propa f qs (τ s[q := u]) wl')

```

```

definition iter ::

```

$'s \text{ binop} \Rightarrow 's \text{ step-type} \Rightarrow 's \text{ list} \Rightarrow \text{nat list} \Rightarrow 's \text{ list} \times \text{nat list}$

where

$\text{iter } f \text{ step } \tau s \ w =$
 $\text{while } (\lambda(\tau s, w). w \neq [])$
 $\quad (\lambda(\tau s, w). \text{let } p = \text{hd } w$
 $\quad \quad \text{in propa } f \text{ (step } p \text{ (}\tau s!p\text{)) } \tau s \text{ (tl } w))$
 $\quad (\tau s, w)$

definition $\text{unstables} :: \text{state-dom ord} \Rightarrow \text{state-dom step-type} \Rightarrow \text{state-dom list} \Rightarrow \text{nat list}$

where

$\text{unstables } r \text{ step } \tau s = \text{sorted-list-of-set } \{p. p < \text{size } \tau s \wedge \neg \text{stable } r \text{ step } \tau s \ p\}$

definition $\text{kildall} :: \text{state-dom ord} \Rightarrow \text{state-dom binop} \Rightarrow \text{state-dom step-type} \Rightarrow \text{state-dom list} \Rightarrow \text{state-dom list}$ **where**

$\text{kildall } r \text{ f step } \tau s = \text{fst}(\text{iter } f \text{ step } \tau s \text{ (unstables } r \text{ step } \tau s))$

lemma $\text{init-worklist-is-sorted}: \text{sorted } (\text{unstables } r \text{ step } \tau s)$

by ($\text{simp add:sorted-less-sorted-list-of-set unstables-def}$)

context cfg-doms

begin

definition $\text{transf} :: \text{node} \Rightarrow \text{state-dom} \Rightarrow \text{state-dom}$ **where**

$\text{transf } n \text{ input} \equiv (n \ \# \ \text{input})$

definition $\text{exec} :: \text{node} \Rightarrow \text{state-dom} \Rightarrow (\text{node} \times \text{state-dom}) \text{ list}$

where $\text{exec } n \ xs = \text{map } (\lambda pc. (pc, (\text{transf } n \ xs))) \ (\text{rev } (\text{sorted-list-of-set}(\text{succs } n)))$

lemma $\text{transf-res-is-rev}: \text{sorted } (\text{rev } ns) \Longrightarrow n > \text{hd } ns \Longrightarrow \text{sorted } (\text{rev } ((\text{transf } n \ (ns))))$

by ($\text{induct } ns$) ($\text{auto simp add:transf-def sorted-wrt-append}$)

abbreviation $\text{start} \equiv [] \ \# \ (\text{replicate } (\text{length } (g-V \ G) - 1) \ ((\text{rev}[0..<\text{length}(g-V \ G)])))$

definition $\text{dom-kildall} :: \text{state-dom list} \Rightarrow \text{state-dom list}$

where $\text{dom-kildall} = \text{kildall } (\text{fst } (\text{snd } \text{nodes-semi})) \ (\text{snd } (\text{snd } \text{nodes-semi})) \ \text{exec}$

definition $\text{dom} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

$\text{dom } i \ j \equiv (\text{let } \text{res} = (\text{dom-kildall } \text{start}) \ !j \text{ in } i \in (\text{set } \text{res}) \vee i = j)$

lemma $\text{dom-refl}: \text{dom } i \ i$

by (unfold dom-def) simp

definition $\text{strict-dom} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

strict-dom $i\ j \equiv (\text{let } \text{res} = (\text{dom-kildall } \text{start})\ !j \text{ in } i \in \text{set } \text{res})$

lemma *strict-domI1*: $(\text{dom-kildall } (\square \# (\text{replicate } (\text{length } (g-V\ G)) - 1) (\text{rev}[0..\text{length}(g-V\ G)]))\ !j = \text{res} \implies i \in \text{set } \text{res} \implies \text{strict-dom } i\ j$
by (*auto simp add:strict-dom-def*)

lemma *strict-domD*:

strict-dom $i\ j \implies$
 $\text{dom-kildall } ((\square \# (\text{replicate } (\text{length } (g-V\ G)) - 1) (\text{rev}[0..\text{length}(g-V\ G)]))\ !j$
 $= a \implies$
 $i \in \text{set } a$
by (*auto simp add:strict-dom-def*)

lemma *sdom-dom*: $\text{strict-dom } i\ j \implies \text{dom } i\ j$
by (*unfold strict-dom-def*) (*auto simp add:dom-def*)

lemma *not-sdom-not-dom*: $\neg \text{strict-dom } i\ j \implies i \neq j \implies \neg \text{dom } i\ j$
by (*unfold strict-dom-def*) (*auto simp add:dom-def*)

lemma *dom-sdom*: $\text{dom } i\ j \implies i \neq j \implies \text{strict-dom } i\ j$
by (*unfold dom-def*) (*auto simp add:dom-def strict-dom-def*)

end

end

6 Properties of the kildall's algorithm on the semi-lattice

theory *Dom-Kildall-Property*

imports *Dom-Kildall Jinja.Listn Jinja.Kildall-1*

begin

lemma *sorted-list-len-lt*: $x \subset y \implies \text{finite } y \implies \text{length } (\text{sorted-list-of-set } x) < \text{length } (\text{sorted-list-of-set } y)$

proof–

let $?x = \text{sorted-list-of-set } x$

let $?y = \text{sorted-list-of-set } y$

assume $x-y$: $x \subset y$ **and** $\text{fin-}y$: $\text{finite } y$

then have $\text{card-}x-y$: $\text{card } x < \text{card } y$ **and** $\text{fin-}x$: $\text{finite } x$

by (*auto simp add:psubset-card-mono finite-subset*)

with $\text{fin-}y$ **have** $\text{length } ?x = \text{card } x$ **and** $\text{length } ?y = \text{card } y$ **by** *auto*

with $\text{card-}x-y$ **show** $?thesis$ **by** *auto*

qed

lemma *wf-sorted-list*:

```

wf ((λ(x,y). (sorted-list-of-set x, sorted-list-of-set y)) ‘ finite-psubset)
apply (unfold finite-psubset-def)
apply (rule wf-measure [THEN wf-subset])
apply (simp add: measure-def inv-image-def image-def)
by (auto intro: sorted-list-len-lt)

lemma sorted-list-psub:
  sorted w ⟶
  w ≠ [] ⟶
  (sorted-list-of-set (set (tl w)), w) ∈ (λ(x, y). (sorted-list-of-set x, sorted-list-of-set
  y)) ‘ {(A, B). A ⊂ B ∧ finite B}
proof(intro strip, simp add:image-iff)
  assume sorted-w: sorted w and w-n-nil: w ≠ []
  let ?a = set (tl w)
  let ?b = set w

  from sorted-w have sorted-tl-w: sorted (tl w) and dist: distinct w by (induct w)
  (auto simp add: sorted-wrt-append )
  with w-n-nil have a-psub-b: ?a ⊂ ?b by (induct w)auto
  from sorted-w sorted-tl-w have w = sorted-list-of-set ?b and tl w = sorted-list-of-set
  (set (tl w))
  by (auto simp add: sorted-less-set-eq)
  with a-psub-b show ∃ a b. a ⊂ b ∧ finite b ∧ sorted-list-of-set (set (tl w)) =
  sorted-list-of-set a ∧ w = sorted-list-of-set b
  by auto
qed

locale dom-sl = cfg-doms +
  fixes A and r and f and step and start and n
  defines A ≡ ((rev ◦ sorted-list-of-set) ‘ (Pow (set (nodes))))
  defines r ≡ nodes-le
  defines f ≡ nodes-sup
  defines n ≡ (size nodes)
  defines step ≡ exec
  defines start ≡ ([ # (replicate (length (g-V G) - 1) ( (rev[0..begin

lemma is-semi: semilat(A,r,f)
  by(insert nodes-semi-is-semilat) (auto simp add:nodes-semi-def A-def r-def f-def)

— used by acc_le_listI
lemma Cons-less-Conss [simp]:
  x#xs [⊆r] y#ys = (x ⊆r y ∧ xs [⊆r] ys ∨ x = y ∧ xs [⊆r] ys)
  apply (unfold lesssub-def)
  apply auto
  apply (unfold lesssub-def lesub-def r-def)
  apply (simp only: nodes-le-refl)

```


done

```

lemma acc-le-listI [intro!]:
  acc r  $\implies$  acc (Listn.le r)
  apply (unfold acc-def)
  apply (subgoal-tac Wellfounded.wf(UN n. {(ys,xs). size xs = n  $\wedge$  size ys = n  $\wedge$ 
xs <-(Listn.le r) ys}))
  apply (erule wf-subset)
  apply (blast intro: lesssub-lengthD)
  apply (rule wf-UN)
  prefer 2
  apply (rename-tac m n)
  apply (case-tac m=n)
  apply simp
  apply (fast intro!: equals0I dest: not-sym)
  apply (rename-tac n)
  apply (induct-tac n)
  apply (simp add: lesssub-def cong: conj-cong)
  apply (rename-tac k)
  apply (simp add: wf-eq-minimal)
  apply (simp (no-asm) add: length-Suc-conv cong: conj-cong)
  apply clarify
  apply (rename-tac M m)
  apply (case-tac  $\exists x xs. size xs = k \wedge x \# xs \in M$ )
  prefer 2
  apply (erule thin-rl)
  apply (erule thin-rl)
  apply blast
  apply (erule-tac  $x = \{a. \exists xs. size xs = k \wedge a \# xs : M\}$  in allE)
  apply (erule impE)
  apply blast
  apply (thin-tac  $\exists x xs. P x xs$  for P)
  apply clarify
  apply (rename-tac maxA xs)
  apply (erule-tac  $x = \{ys. size ys = size xs \wedge maxA \# ys \in M\}$  in allE)
  apply (erule impE)
  apply blast
  apply clarify
  apply (thin-tac  $m \in M$ )
  apply (thin-tac  $maxA \# xs \in M$ )
  apply (rule beXI)
  prefer 2
  apply assumption
  apply clarify
  apply simp
  apply blast
  done

```

```

lemma wf-listn: wf {(y,x). x  $\sqsubset_{Listn.le}$  r y}

```

```

  by (insert acc-nodes-le acc-le-listI r-def) (simp add:acc-def)

lemma wf-listn': wf {(y,x). x [⊆r] y}
  by (rule wf-listn)

lemma wf-listn-termination-rel:
  wf {(y,x). x ⊆Listn.le r y} <*> (λ(x, y). (sorted-list-of-set x, sorted-list-of-set
y)) 'finite-psubset)
  by (insert wf-listn wf-sorted-list) (fastforce dest:wf-lex-prod)

lemma inA-is-sorted: xs ∈ A ⇒ sorted (rev xs)
  by (auto simp add:A-def sorted-less-sorted-list-of-set)

lemma list-nA-lt-reft: xs ∈ nlists n A → xs [⊆r] xs
proof
  assume xs ∈ nlists n A
  then have set xs ⊆ A by (rule nlistsE-set)
  then have ∀ i < length xs. xs!i ∈ A by auto
  then have ∀ i < length xs. sorted (rev (xs!i)) by (simp add:inA-is-sorted)
  then show xs [⊆r] xs by (unfold Listn.le-def lesub-def)
    (auto simp add:list-all2-conv-all-nth Listn.le-def r-def nodes-le-def)
qed

lemma nil-inA: [] ∈ A
  apply (unfold A-def)
  apply (subgoal-tac {} ∈ Pow (set nodes))
  apply (subgoal-tac [] = (λx. rev (sorted-list-of-set x)) {})
  apply (fastforce intro:rev-image-eqI)
  by auto

lemma upt-n-in-pow-nodes: {0..<n} ∈ Pow (set nodes)
  by (auto simp add:n-def nodes-def verts-set)

lemma rev-all-inA: rev [0..<n] ∈ A
proof (unfold A-def, simp)
  let ?f = λx. rev (sorted-list-of-set x)
  have rev [0..<n] = ?f {0..<n} by auto
  with upt-n-in-pow-nodes show rev [0..<n] ∈ ?f ' Pow (set nodes)
    by (fastforce intro: image-eqI)
qed

lemma len-start-is-n: length start = n
  by (insert len-verts-gt0) (auto simp add:start-def n-def nodes-def dest:Suc-pred)

lemma len-start-is-len-verts: length start = length (g-V G)
  using len-verts-gt0 by (simp add:start-def)

lemma start-len-gt-0: length start > 0
  by (insert len-verts-gt0) (simp add:start-def)

```

```

lemma start-subset-A: set start  $\subseteq$  A
  by (auto simp add:nil-inA rev-all-inA start-def)

lemma start-in-A : start  $\in$  (nlists n A)
  by (insert start-subset-A len-start-is-n)(fastforce intro:nlistsI)

lemma sorted-start-nth:  $i < n \implies \text{sorted } (\text{rev } (\text{start}!i))$ 
  apply (subgoal-tac start!i  $\in$  A)
  apply (fastforce dest:inA-is-sorted)
  by (auto simp add:start-subset-A len-start-is-n)

lemma start-nth0-empty: start!0 = []
  by (simp add:start-def)

lemma start-nth-lt0-all:  $\forall p \in \{1..< \text{length } \text{start}\}. \text{start}!p = (\text{rev } [0..<n])$ 
  by (auto simp add:start-def)

lemma in-nodes-lt-n:  $x \in \text{set } (g\text{-}V\ G) \implies x < n$ 
  by (simp add:n-def nodes-def verts-set)

lemma start-nth0-unstable-auxi:  $\neg [0] \sqsubseteq_r (\text{rev } [0..<n])$ 
  by (insert len-verts-gt1 verts-ge-Suc0)
  (auto simp add:r-def lesssub-def lesub-def nodes-le-def n-def nodes-def)

lemma start-nth0-unstable:  $\neg \text{stable } r \text{ step } \text{start } 0$ 
proof (rule notI, auto simp add: start-nth0-empty stable-def step-def exec-def transf-def)

  assume ass:  $\forall x \in \text{set } (\text{sorted-list-of-set } (\text{succs } 0)). [0] \sqsubseteq_r \text{start} ! x$ 
  from succ-of-entry0 obtain s where  $s \in \text{succs } 0$  and  $s \neq 0 \wedge s \in \text{set } (g\text{-}V\ G)$ 
using head-is-vert
  by (auto simp add:succs-def)
  then have  $s \in \text{set } (\text{sorted-list-of-set } (\text{succs } 0))$ 
  and  $\text{start}!s = (\text{rev } [0..<n])$  using fin-succs verts-set len-verts-gt0 by (auto
simp add:start-def)
  then show False using ass start-nth0-unstable-auxi by auto
qed

lemma start-nth-unstable:
  assumes  $p \in \{1..< \text{length } (g\text{-}V\ G)\}$ 
  and  $\text{succs } p \neq \{\}$ 
  shows  $\neg \text{stable } r \text{ step } \text{start } p$ 
proof (rule notI, unfold stable-def)
  let ?step-p = step p (start ! p)
  let ?rev-all = rev[0..<length(g-V G)]
  assume sta:  $\forall (q, \tau) \in \text{set } ?\text{step-p}. \tau \sqsubseteq_r \text{start} ! q$ 

  from assms(1) have n-sorted:  $\neg \text{sorted } (\text{rev } (p \# ?\text{rev-all}))$ 
  and  $p:p \in \text{set } (g\text{-}V\ G)$  and  $\text{start}!p = ?\text{rev-all}$  using verts-set by

```

```

(auto simp add:n-def nodes-def start-def sorted-wrt-append)
  with sta have step-p:  $\forall (q, \tau) \in \text{set } ?\text{step-p}. \text{sorted } (\text{rev } (p \# ?\text{rev-all})) \vee (p \# ?\text{rev-all} = \text{start!}q)$ 
  by (auto simp add:step-def exec-def transf-def lesssub-def lesub-def r-def nodes-le-def)

  from assms(2) fin-succs p obtain a b where a-b:  $(a, b) \in \text{set } ?\text{step-p}$  by (auto simp add:step-def exec-def transf-def)
  with step-p have sorted  $(\text{rev } (p \# ?\text{rev-all})) \vee (p \# ?\text{rev-all} = \text{start!}a)$  by auto
  with n-sorted have eq-p-cons:  $(p \# ?\text{rev-all} = \text{start!}a)$  by auto

  from p have  $\forall (q, \tau) \in \text{set } ?\text{step-p}. q < n$  using succ-in-G fin-succs verts-set n-def nodes-def by (auto simp add:step-def exec-def)
  with a-b have  $a < n$  using len-start-is-n by auto
  then have sorted  $(\text{rev } (\text{start!}a))$  using sorted-start-nth by auto
  with eq-p-cons n-sorted show False by auto

```

qed

```

lemma start-unstable-cond:
  assumes succs p  $\neq \{\}$ 
    and  $p < \text{length } (g-V \ G)$ 
  shows  $\neg \text{stable } r \text{ step } \text{start } p$ 
  using assms start-nth0-unstable start-nth-unstable
  by(cases p = 0) auto

```

```

lemma unstable-start:  $\text{unstabiles } r \text{ step } \text{start} = \text{sorted-list-of-set } (\{p. \text{succs } p \neq \{\} \wedge p < \text{length } \text{start}\})$ 
  using len-start-is-len-verts start-unstable-cond
  by (subgoal-tac  $\{p. p < \text{length } \text{start} \wedge \neg \text{stable } r \text{ step } \text{start } p\} = \{p. \text{succs } p \neq \{\} \wedge p < \text{length } \text{start}\}$ )
  (auto simp add: unstabiles-def stable-def step-def exec-def)

```

end

declare sorted-list-of-set-insert-remove[simp del]

```

context dom-sl
begin

```

```

lemma (in dom-sl) decomp-propa:  $\bigwedge ss \ w. (\forall (q,t) \in \text{set } qs. q < \text{size } ss \wedge t \in A) \implies \text{sorted } w \implies \text{set } ss \subseteq A \implies \text{propa } f \ qs \ ss \ w = (\text{merges } f \ qs \ ss, (\text{sorted-list-of-set } (\{q. \exists t. (q,t) \in \text{set } qs \wedge t \sqcup_f (ss!q) \neq ss!q\} \cup \text{set } w)))$ 
lemma (in Semilat) list-update-le-listI [rule-format]:
  set xs  $\subseteq A \longrightarrow \text{set } ys \subseteq A \longrightarrow xs \sqsubseteq_r ys \longrightarrow p < \text{size } xs \longrightarrow x \sqsubseteq_r ys!p \longrightarrow x \in A \longrightarrow xs[p := x \sqcup_f xs!p] \sqsubseteq_r ys$ 

```

7 Soundness and completeness

```

theory Dom-Kildall-Correct
imports Dom-Kildall-Property
begin

context dom-sl
begin

lemma entry-dominate-dom:
  assumes  $i \in \text{set } (g\text{-}V\ G)$ 
    and  $\text{dominate } i\ 0$ 
  shows  $\text{dom } i\ 0$ 
  using assms
proof –
  from assms(1) entry0-dominates-all have  $\text{dominate } 0\ i$  by auto
  with assms(2) reachable have  $i = 0$  using reachable-dom-acyclic by (auto simp
add:reachable-def)
  then show ?thesis using dom-refl by auto
qed

lemma path-entry-dom:
  fixes  $pa\ i\ d$ 
  assumes path-entry ( $g\text{-}E\ G$ )  $pa\ i$ 
    and  $\text{dom } d\ i$ 
  shows  $d \in \text{set } pa \vee d = i$ 
  using assms
proof(induct rule:path-entry.induct)
  case path-entry0
  then show ?case using zero-dom-zero by auto
next
  case (path-entry-prepend  $u\ v\ l$ )
  note  $u\text{-}v = \text{path-entry-prepend.hyps}(1)$ 
  note  $\text{ind} = \text{path-entry-prepend.hyps}(3)$ 
  note  $d\text{-}v = \text{path-entry-prepend.premis}$ 
  show ?case
  proof(cases  $d \neq v$ )
    case True note  $d\text{-}n\text{-}v = \text{this}$ 
    from  $u\text{-}v$  have  $v \in \text{succs } u$  by (simp add:succs-def)
    with  $d\text{-}v\ d\text{-}n\text{-}v$  have  $\text{dom } d\ u$  by (auto intro:adom-succs)
    with  $\text{ind}$  have  $d \in \text{set } l \vee d = u$  by auto
    then show ?thesis by auto
  next
  case False
  then show ?thesis by auto
qed
qed

```

— soundness

lemma *dom-sound*: $\text{dom } i \ j \implies \text{dominate } i \ j$
by (*fastforce simp add: dominate-def dest:path-entry-dom*)

lemma *sdom-sound*: $\text{strict-dom } i \ j \implies j \in \text{set } (g \cdot V \ G) \implies \text{strict-dominate } i \ j$

proof –

assume *sdom*: $\text{strict-dom } i \ j$ **and** $j \in \text{set } (g \cdot V \ G)$
then have *i-n-j*: $i \neq j$ **by** (*rule sdom-async*)
from *sdom* **have** $\text{dom } i \ j$ **using** *sdom-dom* **by** *auto*
then have *domi*: $\text{dominate } i \ j$ **by** (*rule dom-sound*)
with *i-n-j* **show** *?thesis* **by** (*fastforce dest: dominate-sdominate*)
qed

— completeness

lemma *dom-complete-auxi*: $i < \text{length } \text{start} \implies (\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}' \implies \text{non-strict-dominate } k \ i$

proof –

assume *i-lt*: $i < \text{length } \text{start}$ **and** *dom-kil*: $(\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}'$
then have *dom-iter*: $(\text{fst } (\text{iter } f \ \text{step } \text{start } (\text{unstables } r \ \text{step } \text{start})))!i = \text{ss}'$ **and** *k-nin*: $k \notin \text{set } \text{ss}'$
using *nodes-semi-def r-def f-def step-def dom-kildall-def kildall-def* **by** *auto*
then obtain *s w* **where** *iter*: $\text{iter } f \ \text{step } \text{start } (\text{unstables } r \ \text{step } \text{start}) = (s, w)$
by *fastforce*
with *dom-iter* **have** $s!i = \text{ss}'$ **by** *auto*
with *iter-dom-invariant-complete iter k-nin i-lt len-start-is-n*
show *?thesis* **by** *auto*
qed

lemma *notsdom-notsdominate*: $\neg \text{strict-dom } i \ j \implies j < \text{length } \text{start} \implies \text{non-strict-dominate } i \ j$

proof –

assume *i-not-sdom-j*: $\neg \text{strict-dom } i \ j$ **and** *j-lt*: $j < \text{length } \text{start}$
then obtain *res* **where** *j-res*: $\text{dom-kildall } \text{start} ! j = \text{res}$ **by** (*auto simp add: strict-dom-def*)
then have $\text{strict-dom } i \ j = (i \in \text{set } \text{res})$ **by** (*auto simp add: strict-dom-def start-def n-def nodes-def*)
with *i-not-sdom-j* **have** *i-nin*: $i \notin \text{set } \text{res}$ **by** *auto*
with *j-res j-lt* **show** $\text{non-strict-dominate } i \ j$ **using** *dom-complete-auxi* **by** *fastforce*
qed

lemma *notsdom-notsdominate'*: $\neg \text{strict-dom } i \ j \implies j < \text{length } \text{start} \implies \neg \text{strict-dominate } i \ j$

using *notsdom-notsdominate nonstrict-eq* **by** *auto*

lemma *dom-complete*: $\text{strict-dominate } i \ j \implies j < \text{size } \text{start} \implies \text{strict-dom } i \ j$

by (*insert notsdom-notsdominate'*) (*auto intro: contrapos-nn nonstrict-eq*)

end

end

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